# Spanning Trees With Edge Conflicts and Wireless Connectivity

Magnús M. Halldórsson<sup>\*</sup> Guy Kortsarz<sup>†</sup> Pradipta Mitra<sup>‡</sup>

Tigran Tonoyan<sup>\*</sup>

November 30, 2018

#### Abstract

We introduce the problem of finding a spanning tree along with a partition of the tree edges into the fewest number of feasible sets, where constraints on the edges define feasibility. The motivation comes from wireless networking, where we seek to model the irregularities seen in actual wireless environments. Not all node pairs may be able to communicate, even if geographically close — thus, the available pairs are specified with a link graph  $\mathcal{G} = (V, E)$ . Also, signal attenuation need not follow a nice geometric formula — hence, interference is modeled by a conflict (hyper)graph  $\mathcal{C} = (E, F)$  on the links. The objective is to maximize the efficiency of the communication, or equivalently, to minimize the length of a schedule of the tree edges in the form of a coloring.

We find that in spite of all this generality, the problem can be approximated linearly in terms of a versatile parameter, the inductive independence of the interference graph. Specifically, we give a simple algorithm that attains a  $O(\rho \log n)$ -approximation, where nis the number of nodes and  $\rho$  is the inductive independence, and show that near-linear dependence on  $\rho$  is also necessary. We also treat an extension to Steiner trees, modeling multicasting, and obtain a comparable result.

Our results suggest that several canonical assumptions of geometry, regularity and "niceness" in wireless settings can sometimes be relaxed without a significant hit in algorithm performance.

## 1 Introduction

We introduce the problem of finding a spanning tree along with a partition of the tree edges into fewest number of feasible sets, which are independent sets in a given conflict (hyper)graph. The motivation comes from wireless networking, where we seek a basic communication structure while capturing the irregularities seen in actual wireless environments.

A spanning tree is the minimal structure for connecting the given set of nodes into a mutually communicable network. The *cost* of a communication spanning tree is the *time* required to *schedule* all the tree edges – the transmission *links* – while obeying the *interference* caused by simultaneous transmissions.

The *scheduling complexity* of the tree represents its throughput capacity: how much communication can be sustained in the long run. The task might be to *aggregate* the data measured at the sensor nodes, or to *broadcast* using one-to-one communication to all nodes of the network.

Algorithmic studies of wireless connectivity to date have generally involved strong "niceness" assumptions. One core assumption is that points are located in the Euclidean plane and *all* (close enough) pairs of nodes are available as links for use in the spanning tree. Interference

<sup>\*</sup>School of Computer Science, Reykjavik University, Iceland. Email: mmh@ru.is,ttonoyan@gmail.com. Supported by grants nos. 152679-05 and 174484-05 from the Icelandic Research Fund.

<sup>&</sup>lt;sup>†</sup>Rutgers University, Camden, NJ. guyk@camden.rutgers.edu. Supported by NSF grant 1540547.

<sup>&</sup>lt;sup>‡</sup>Google Research, New York. Email: ppmitra@gmail.com

modeling has become progressively more realistic, starting with range-based graph models to the fractional *SINR* model of interference, but the common thread is that *interference is a direct function of the geometry*. While natural, these assumptions depend on a simplified view of the nature of wireless communication.

Wireless networking in the real world behaves quite different from these theoretical models [10, 32, 38] and typically displays a high degree of irregularity. This manifests in how the strength of signals (and the corresponding interference) often varies greatly within the same region, and is often poorly correlated with distance [2]. This behavior holds even in simple outdoor environments, but is magnified inside buildings. It is also evidenced by fluctuations, sensitivity to environmental changes (even levels of humidity), and hard-to-explain unreliability.

There has been increased emphasis for greater robustness in the design and analysis of wireless algorithms to address the observed irregularities. In the world of communications engineering, the default is to introduce stochastic distributions, e.g., on signal strengths. The algorithms world prefers more adversarial effects, but that can easily lead to intractability.

The objective of this work is to embrace this irregularity in connectivity problems. We replace the previous assumptions by the opposite premises:

A link may not be usable even if it should be.

and

Interference need not follow (or even relate to) the underlying geometry.

Technically, the former premise means that the set of usable or *available links* is now given as a link graph  $\mathcal{G} = (V, L)$  over the set V of nodes. We place no restrictions on the structure of this graph. The second premise implies another (hyper)graph, the conflict graph  $\mathcal{C} = (L, F)$ , this time on top of the *links*. In the CONNECTIVITY SCHEDULING problem, we seek a spanning tree T of  $\mathcal{G}$  and a coloring of the links of T minimizing the number of colors used, where the conflict graph  $\mathcal{C}$  specifies whether a given set of links in L can coexist in the same color class.

These formulations naturally raise a number of questions: Can arbitrary sets of available/usable links actually be handled effectively? Can we disconnect the conflicts/interference from the geometry? Since the ugly specter of intractability is bound to raise its head somewhere, what are minimal restrictions that keep these problems well-approximable?

**Our Results**: Given the generality of the CONNECTIVITY SCHEDULING problem, it is unsurprising that it is very hard even to approximate. We show that strong  $n^{1-\epsilon}$ -approximation hardness holds, even for the natural special case of 2-hop interference. Instead, we aim to obtain approximations in terms of natural instance parameters.

We show that the problem is approximable within a  $O(\rho \log n)$ -factor, where  $\rho$  is the *inductive independence* of the (fractional) conflict graph. This is particularly relevant since  $\rho$  is known to be constant in both of the predominant interference models: the physical (or SINR) model, and the protocol model. This is attained by a simple greedy algorithm that can be viewed as a combination of Kruskal's MST algorithm and a link scheduling algorithm for the physical model. Interestingly, the result implies that the approximability of CONNECTIVITY SCHEDULING is not significantly affected by restricting the set of allowable links.

In contrast, we find that the (perhaps more natural) approach of selecting and coloring an MST fails badly. We also obtain improved results for the natural special case where links are embedded in the plane and all short links are reliable.

We also generalize the problem to Steiner trees and obtain a similar logarithmic approximation.

**Definitions**: In line with a modern view of wireless interference, we represent the interference conflicts by a fractional conflict graph  $\mathcal{C} = (L, W)$ . Here L is the set of communication links

and  $W: L \times L \to \mathbb{R}^+$  is a function on ordered pairs of links, where W(e, f) represents (or approximates) the degree to which a transmission on link e interferes with a transmission on link f. Of particular interest are functions W in terms of geometric relationships involving link lengths and distances between links. Note that W may be *asymmetric*. For convenience, let W(e, e) = 0. We shall write  $W(S, e) = \sum_{f \in S} W(f, e)$  and  $W(f, S) = \sum_{e \in S} W(f, e)$ . Let  $\mathcal{C}[Y] = (Y, W|_Y)$  denote the subgraph induced by a given subset  $Y \subseteq L$  of links.

A set S of links is an independent or a feasible set if  $W(S, e) \leq 1$ , for all  $e \in S$ . A coloring of  $\mathcal{C} = (L, W)$  is a partition of L into independent sets. Observe that when W is a 0-1 function, we have the usual independent sets and colorings of graphs. Also, the formulation with fractional conflicts corresponds to hypergraphs that contain a hyperedge for each minimal set S' where  $W(S', e) \geq 1$  holds for some  $e \in S'$ .

We can now state our CONNECTIVITY SCHEDULING problem formally:

Given a link graph  $\mathcal{G} = (V, L)$  and a fractional conflict graph  $\mathcal{C} = (L, W)$ , we seek a spanning tree T of  $\mathcal{G}$  and a coloring of  $\mathcal{C}[T]$ , using the fewest number of colors.

A fractional conflict graph  $\mathcal{C} = (L, W)$  is said to be  $\rho$ -inductive independent, w.r.t. an ordering  $\prec$  of the links, if for every link e and every feasible set I with  $e \prec I$ ,  $W(I, e) + W(e, I) \leq \rho$ , where  $e \prec I$  means that e precedes each link in I. Here, "inductive" refers to how the interference is measured only towards later links, and "independence" that it is towards independent sets. In geometric settings (including range-based and SINR models),  $\prec$ corresponds to a non-decreasing ordering by link length.

For a fractional conflict graph  $\mathcal{C} = (L, W)$ , let  $\chi(\mathcal{C})$  denote the smallest number of independent sets into which L can be partitioned; when  $\mathcal{C}$  is an ordinary graph,  $\chi(\mathcal{C})$  is the chromatic number of  $\mathcal{C}$ .

**Notable Instantiations**: CONNECTIVITY SCHEDULING has a number of special cases of independent interest, both graph-based and geometric:

- A well-studied setting is where two links conflict if they are incident on a common link, i.e., when C is the square of the line graph of the link graph G. This case corresponds to bidirectional version of the classic *radio network* model. The directed version of CONNECTIVITY SCHEDULING was treated in [9] as the *radio aggregation scheduling problem*.
- In range-based or disk models, nodes are embedded in the plane and two links are adjacent if the distance between (the closest points on) them is less than K times the length of the longer link, where K is some fixed constant. In a variant, the condition is on distances between particular nodes on the links. Also, in the the related *protocol* model, adjacency occurs if the distance is less than  $K_1$  times the length of the longer link plus  $K_2$  times the length of the shorter link, for some constants  $K_1, K_2$ .
- The original driving motivation is when nodes and links are embedded in a metric space and the fractional conflicts follow the *geometric SINR model* of interference in terms of the lengths and distances between links. Before this work, only the case when  $\mathcal{G}$  is the complete graph over a set of points in a Euclidean metric was considered.
- A different geometric version is when we view that no signal gets transmitted between nodes on unavailable links, perhaps due to an obstacle. The links are then unavailable, but the nodes also don't interfere with each other. We refer to this as the Missing Links version.
- A natural special case occurs when link unreliability is restricted by link length, so that only reasonably long links are unavailable or attenuated, but short links follow the normal SINR laws (short links are reliable).

• Finally, when the conflict graph C is the *line graph* of the link graph  $\mathcal{G}$ , i.e.,  $\mathcal{C} = L(\mathcal{G})$ , we obtain the well-known *minimum degree spanning tree* (MDST) problem, where given a graph  $\mathcal{G}$ , the goal is to find a spanning tree of smallest maximum degree. By König's theorem, the chromatic number of the line graph of a tree (in fact, of any bipartite graph) is equal to the maximum degree of the tree. This problem has more structure that allows for better solution: while it is NP-hard, it can be approximated within an additive one [8]. In particular,  $L(\mathcal{G})$  is claw-free (does not contain an induced star graph  $K_{1,3}$ ), which is stronger than being 2-inductive independent), and is intimately related to  $\mathcal{G}$ .

**Related Work**: The connectivity problem in the geometric SINR model was first considered by Moscibroda and Wattenhofer [35]. It was, in fact, the first work on worst-case analysis in the SINR model. They show that unlike in random networks, the worst-case connectivity depends crucially on the use of power control, and with optimal power control,  $O(\log^4 n)$  colors suffice to connect the nodes. They soon improved this to  $O(\log^2 n)$  [36, 34]. Currently, the best upper bounds known are  $O(\log n)$  [18] and  $O(\log^* \Lambda)$  [23], where  $\Lambda$  is the ratio between the longest to the shortest length of a link in a minimum spanning tree (MST), a structural parameter that is independent of n. Both of these results hold for the MST of the pointset; there are pointsets where  $\Omega(\log^* \Lambda)$  colors are necessary for coloring an MST [23].

The scheduling complexity of connectivity relates closely to the efficiency of *aggregation*, a key primitive for wireless sensor networks. We refer the reader to [26] for bibliography on aggregation/collection problems.

There are many approaches that have been proposed to model irregularity in wireless networks. We first examine static cases, or the modeling of non-geometric behavior. The basic SINR model allows the pathloss constant  $\alpha$  to be adjusted [14], giving a first-order approximation of the signal gain. In the engineering community, it is most common to assume that the deviations are drawn from a particular stochastic distribution, typically assuming independence of events. In the TCS camp, the prevailing approach is to view the variations as conforming the plane into a non-Euclidean metric space [7, 17], while retaining some tractable characteristics. This can also entail identifying appropriate parameters [4].

For frequent temporal changes, the standard engineering assumption is Rayleigh fading. Dams et al. [6] (see also [22]) showed that link scheduling algorithms are not significantly affected by such variation, assuming independence across time.

For unpredictably changing behavior, there is much research on adapting to new conditions, particularly with exponential backoff. A theoretic model proposed to specifically capture unreliability is the *dual graph model* [33], which extends the radio network model to a pair of graphs, the reliable and the unreliable links, where the latter are under adversarial control. The focus there is on distributed algorithms for one-shot problems, like global and local broadcast problems, where the nodes do not know which links are reliable. As far as we know, it has not been considered in settings involving a long-term communication structure.

Inductive independence was first defined by [1] and studied by [37] in the graph setting, while the weighted version was introduced by Hoefer and Kesselheim [25]. It has been used as a performance measure for various problems related to wireless networks, including admission control [11], dynamic packet scheduling [31, 16], and spectrum auctions [25, 24, 16].

**Outline of the paper**: We first examine, in Sec. 2, how the standard approach – finding a minimum spanning tree – fares for our problem, and show that it can give poor solutions in every known interference model when there are missing or unreliable links. We then give in Sec. 3.1 a greedy algorithm for CONNECTIVITY SCHEDULING achieving  $O(\rho \log n)$ -approximation, where  $\rho$  is the inductive independence number of the conflict graph. This dependence on  $\rho$  is shown to be essentially tight in Sec. 5. We also obtain a similar approximation of a *Steiner or multicast* 

version of the problem in Sec. 3.2. We additionally treat in Sec. 4 a special case involving natural geometric interference assumptions.

Implications of our results to the SINR (or physical) model are given in Sec. 6. The rest of the paper can safely be read without any background in that model. We then close with open problems.

A brief primer on SINR concepts is given in Appendix A, for completeness.

#### 2 MST Fails

In a basic setting, the nodes are located in the plane, and the interference between two links is a function of the lengths of links (distance between the two end-nodes), and the distance between the (endpoints of) links. For instance, in the SINR model, the interference between two links is a decreasing function of their distance, and an increasing function of the length of the interfered link. In this setting, the Euclidean minimum spanning tree (MST) over the set of nodes is a natural candidate for connectivity, since it favors short links and has low degree (or, more generally, contains few links in the vicinity of any node). Indeed, the MST of n nodes  $O(\log n)$ -colorable under the Euclidean SINR model [18].

Somewhat surprisingly, we find that when the set of possible links is restricted, the MST can actually fail quite badly. This holds under every conflict graph that satisfies the following natural conditions.

We say that a conflict graph C over a set of links in the plane is <u>reasonable</u> if: a) no feasible set contains incident links, while b) <u>sparse</u> sets of equal length links can be colored with O(1) colors in C, where a set of length  $\ell$ links is sparse if any ball of radius  $\ell$  contains O(1) endpoints of those links.

Every geometrically-defined wireless inter-



Figure 1: The construction from Thm. 1

ference model known satisfies this reasonableness property. In particular, this holds in the protocol and Euclidean SINR models.

**Theorem 1** For any integer n > 0, there is an instance  $\mathcal{G} = (V, L)$  of links over n nodes embedded in the plane, such that  $\mathcal{G}$  contains a spanning tree that is O(1)-colorable while the MST requires  $\Omega(n^{1/3})$  colors, in every reasonable conflict graph  $\mathcal{C}$ .

**Proof:** Let  $k \ge 1$  be a number and  $K = 2k^2$ . Let  $V = \{o\} \cup \{v_{i,j} : i = 0, 1, \dots, k-1, j = 0, 1, \dots, K-1\}$  denote the set of  $n = kK + 1 = 2k^3 + 1$  nodes. We position the nodes in the plane using polar coordinates, with the node o as the origin. For node  $v_{i,j}$ , angular coordinate  $r_{i,j}$  is  $2\pi \cdot i/k$ , while its radial coordinate is k + j.

The links are given by  $L = O \cup S \cup Y$ , where  $O = \{(o, v_{i,1}) : i = 0, ..., k - 1\}$ ,  $S = \{(v_{i,j}, v_{i,j+1}) : i = 0, ..., k-1, j = 0, ..., K-2\}$ ,  $Y = \{(v_{i,K-1}, v_{i+1 \mod k,K-1}) : i = 0, ..., k-1\}$ , or the ordinary, the short and the yuge links. That is, the link graph is in the form of a wheel, centered at origin, with k spokes, and K nodes on each spoke (see Fig. 1). Ordinary links are incident with the origin, while the yuge links form the tire of the wheel.

We observe that  $d(v_{i,K-1}, v_{i+1 \mod k,K-1}) > k = d(o, v_{i',1})$ , for any i, i'. Thus, the MST consists of the short and the ordinary links,  $S \cup O$ . Since all the ordinary links have an endpoint in the origin, they must all be colored with different colors in C, implying that the MST requires  $k = \Theta(n^{1/3})$  colors.

On the other hand, a more efficient solution is to use the short links, the yuge links, and a single (arbitrary) ordinary link. As a union of three sparse subsets, it can be colored with O(1) colors.

This same example shows why the known results for Euclidean SINR do not carry over to general metric spaces (even without missing links). Namely, one could simply form a metric space on the n nodes by shortest-path distances in the link graph.

One way to try to overcome the hard example above would be to consider *bounded degree* minimum spanning trees. However, under a slight variation of the definition of reasonable conflict graphs, the example above can be modified so that the maximum degree of the resulting link graph  $\mathcal{G}$  is at most 3, but the result is similar. To this end, one can replace the top vertex o in the construction with a chain of k equally spaced nodes connected into a simple path (which is a sparse subset), where each node is incident with one ordinary link. Even though the ordinary links are not incident, their mutual distances are still arbitrarily small compared with their lengths. The variation of the definition of reasonable conflict graphs is that such links must all use different colors. This is still in accord with standard geometric interference models.

## 3 Approximations in Terms of Inductive Independence

#### 3.1 Greedy Algorithm

A natural greedy approach is to find a large feasible subset of edges, assign it a fresh color, contract it, and iterate on the contracted graph. The key step is obtaining a constant-approximation for a maximum feasible subset. A logarithmic approximation then follows from a set cover argument.

We assume in this section that  $\mathcal{G}$  can have parallel edges but no loops. We assume that the conflict graph  $\mathcal{C}$  is  $\rho$ -inductive independent for a number  $\rho > 0$ , and the corresponding conflict function W and ordering of edges  $\prec$  are given. In the maximum feasible forest problem, the goal is to find a maximum cardinality subset of edges of  $\overline{\mathcal{G}}$ , which is both independent in  $\mathcal{C}$  and acyclic in  $\mathcal{G}$ .

The algorithm, given as Alg. 1, is a greedy Kruskal-like algorithm that mixes the edge selection criteria of wireless capacity algorithms [17, 29] with the classic MST algorithm of Kruskal, thus the name CAPKRUSKAL. It processes the edges in order of precedence  $\prec$  and adds an edge to the forest if: a) the interference on that edge from previously selected edges is small, and b) the edge does not induce a cycle (as per Kruskal). We state it in terms of the classic union-find operations of MAKESET, CONNECTED, and UNION.

Recall that a subset S of edges in  $\mathcal{G}$  is feasible if  $W(S, e) = \sum_{f \in S} W(f, e) \leq 1$ , for all  $e \in S$ . Define the (ordered) weight function  $W^+$  as  $W^+(e, f) = W(e, f)$  if  $e \prec f$ , and  $W^+(e, f) = 0$ , otherwise. Similarly, define  $W^-$  as  $W^-(e, f) = W(e, f)$  if  $f \prec e$ , and  $W^-(e, f) = 0$ , otherwise. Also define the cumulative versions  $W^+(S, e)$ ,  $W^+(e, S)$  as before.

We say that a set S is <u>skew-feasible</u> if for each  $e \in S$ ,  $W^+(S, e) + W^-(e, S) \leq 1/2$ . Namely, the weighted indegree from shorter nodes and to longer nodes is bounded, but the total indegree of e may not be. By an averaging argument, a skew-feasible set I contains a feasible subset of at least half its size. Indeed, using skew-feasibility and sum rearrangements, we have,

$$\sum_{e \in S} W(S, e) = \sum_{e, f \in S} W(f, e) = \sum_{e \in S} \left( W^+(S, e) + W^-(e, S) \right) \le \frac{|S|}{2} , \tag{1}$$

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so for at least half of the links  $e \in S$  it holds that  $W(S, e) \leq 1$ .

Algorithm 2 CONNECT $(\mathcal{G}, \mathcal{C})$
1: $i \leftarrow 0$
2: $\mathcal{G}_0 \leftarrow \mathcal{G}$
3: while $\mathcal{G}_i$ has an edge <b>do</b>
4: $S_i \leftarrow \text{CapKruskal}(\mathcal{G}_i, \mathcal{C}[\mathcal{G}_i])$
5: $\mathcal{G}_{i+1} \leftarrow Contract(\mathcal{G}_i, S_i)$
$6:  i \leftarrow i+1$
7: end while
8: return $S_0, S_1, \dots, S_{i-1}$

**Theorem 2** Let F be a maximum feasible forest of  $\mathcal{G}$ . Then CAPKRUSKAL $(\mathcal{G}, \mathcal{C})$  outputs a feasible forest containing  $\Omega(|F|/\rho)$  edges.

**Proof:** Let S and S' be the sets computed in CAPKRUSKAL( $\mathcal{G}, \mathcal{C}$ ). By definition, S' is feasible. To argue that S' is large, we examine an arbitrary feasible forest, break it into three parts, and show that none of the parts can be too large compared to S'. This will hold, in particular, for the optimal feasible forest.

Observe that the selection condition of the algorithm is equivalent to  $W^+(S, e) + W^-(e, S) \le 1/2$ , since the edges are considered in the order of  $\prec$ . Hence, the set S is skew-feasible, and we can focus on bounding |S|, as by (1),  $|S'| \ge |S|/2$ .

Let I be an arbitrary feasible forest. Let  $I_R$  be those edges e in I that failed the degree condition  $(W^+(S, e) + W^-(e, S) > 1/2)$ , and  $I_T$  those edges e = (u, v) in I that failed the connectivity condition (CONNECTED(u, v)). The rest,  $I_S = I \setminus (I_R \cup I_T)$  are contained in S. We bound these sets in terms of S.

Since  $I_T$  contains only edges inside components that S also connects (recalling that I induces a forest),  $|I_T| \leq |S|$ . Also, clearly  $I_S \subseteq I \cap S \subseteq S$ , so  $|I_S| \leq |S|$ . To bound the size of  $I_R$ , observe first that by the definition of  $\rho$ -inductive independence,  $W^-(I_R, f) + W^+(f, I_R) \leq \rho$ , for every edge  $f \in S$ . This implies that

$$W^{-}(I_{R},S) + W^{+}(S,I_{R}) = \sum_{f \in S} \left[ W^{-}(I_{R},f) + W^{+}(f,I_{R}) \right] \le \rho \cdot |S|$$

On the other hand, by the selection criteria,

$$W^{+}(S, I_{R}) + W^{-}(I_{R}, S) = \sum_{e \in I_{R}} \left[ W^{+}(S, e) + W^{-}(e, S) \right] > \sum_{e \in I_{R}} \frac{1}{2} = \frac{|I_{R}|}{2} .$$
  
Thus,  $|I_{R}| \le 2\rho \cdot |S|$  and  $|I| \le (2\rho + 2)|S| \le 4(\rho + 1)|S'|$ .

**Coloring Algorithm**: The algorithm CONNECT repeatedly calls CAPKRUSKAL to obtain a large independent set of links and assigns it to a new color class. These links are then contracted

and the process repeated until we have obtained a spanning tree.

The contraction of an edge is defined in the standard way, except we discard loops. Note that *contraction leaves the conflict graph* C *intact*. The operation  $Contract(\mathcal{G}, S)$  contracts all edges in S of a link graph  $\mathcal{G}$  and outputs the resulting graph.

The pseudocode of the algorithm is given in Alg. 2. The proof of the following theorem follows the classic set cover argument [27].

**Theorem 3** CONNECT terminates in  $O(\rho \log n) \cdot \chi$  rounds, where  $\chi$  is the number of colors needed for coloring an optimum spanning tree.

**Proof:** Let  $S_0, S_2, \ldots, S_i$  be the collection of edge-sets returned by CONNECT. For each index k, denote  $s_k = |S_k|$ ,  $n_k = |V(\mathcal{G}_k)|$  and  $x_k$  the cardinality of the optimum independent (in  $\mathcal{C}[\mathcal{G}_k]$ ) forest in  $\mathcal{G}_k$ . Note that  $\mathcal{C}[\mathcal{G}_k]$  is also  $\rho$ -inductive independent. Let  $c\rho$  be an upper bound on the approximation ratio of CAPKRUSKAL, where c > 0 is a constant. Hence, by Thm. 2,

$$n_k \ge \frac{x_k}{c\rho} \ . \tag{2}$$

Observe that  $x_k \ge n_k/\chi$  (by the pigeonhole principle), and  $n_k = n - \sum_{j < k} n_j$ , since each iteration j decreases the number of vertices by  $|S_j|$  (as  $S_j$  is a forest). Moreover, we can assume that  $n_1, n_2, \ldots$  is a non-decreasing sequence, as otherwise we could rearrange the sets  $S_k$  without violating (2). Thus, using monotonicity of  $n_k$  and (2), we have

$$\frac{\sum_{j < k} n_j}{k - 1} \ge n_k \ge \frac{n - \sum_{j < k} n_j}{c\rho\chi}$$

so taking  $k = \lceil c\rho\chi \rceil + 1$ , we see that  $\sum_{j < k} n_j \ge n/2$ . Namely, after every  $\lceil c\rho\chi \rceil$  iterations the number of nodes is halved. This implies the required bound.

#### 3.2 Algorithm for a Steiner Variant

A natural generalization of CONNECTIVITY SCHEDULING is to allow for a set of optional nodes that can be used in the tree construction but need not. Formally, the node set V contains a subset X of terminals and we seek a Steiner (or multicast) tree that spans all the terminals. As before, we ask also for the minimum number of colors to color the tree links under the conflict graph C. We refer to this as the STEINER CONNECTIVITY SCHEDULING problem.

It is not hard to construct examples for which optimal multicast trees are arbitrarily better than trees that use only the terminals, even in a geometric setting. One instance can be obtained from the example of Sec. 2 by restricting the terminals to only the origin and the nodes incident on yuge links.

We give a  $O(\rho \log n)$ -approximation algorithm for STEINER CONNECTIVITY SCHEDULING with *unweighted*  $\rho$ -inductive independent conflict graph C. Thus, by definition, there is an ordering  $\prec$  of L such that for each link  $v \in L$ , the subgraph induced by v's neighbors that are later in the ordering has no independent set of size greater than  $\rho$ . We refer to neighbors later in the ordering as <u>post-neighbors</u>. As before, in the geometric setting, the ordering is given by link length.

Our algorithm is a reduction to a multi-dimensional version of the Steiner tree (MMST) problem, recently treated by Bilò et al. [3]. In MMST, each edge of the input graph has an associated *d*-dimensional weight vector, where the weight of edge *e* along dimension *i* indicates how much of the *i*-th resource is required by *e*. The objective is to find a tree that minimizes the  $\ell_p$ -norm of its load vector, where the load vector of a Steiner tree is the sum of the weight vectors of its edges. We use the  $\ell_{\infty}$ -norm, as we want to minimize the maximum use of a resource. They give a greedy  $O(\log d)$ -approximation algorithm for that case.

Given an instance of STEINER CONNECTIVITY SCHEDULING with link graph  $\mathcal{G}$  and conflict graph  $\mathcal{C}$ , our reduction is as follows. Each link e in  $\mathcal{G}$  is itself (or corresponds to) a resource, so there are n (=number of links) resources. The weight of link f along dimension e is 1 if f is a post-neighbor of e in the conflict graph  $\mathcal{C}$ , and 0 otherwise.

**Theorem 4** There is a  $O(\rho \log n)$ -approximation algorithm for STEINER CONNECTIVITY SCHE-DULING with an unweighted  $\rho$ -inductive independent conflict graph C. **Proof:** Suppose that the MMST algorithm of [3], when executed on the input constructed above, returns a tree T with  $\ell_{\infty}$ -norm Z. Then, the sum of the tree edges along each dimension is at most Z, i.e., each link (whether in T or not) has at most Z post-neighbors in T. In other words, the subgraph C[T] is Z-inductive, and can then be colored greedily using Z + 1 colors.

On the other hand, consider an optimal tree  $T^*$  and let  $Z^*$  denote the infinity norm of its load vector. From [3], we know that  $Z = O(\log n) \cdot Z^*$ . Let f be a link with  $Z^*$  postneighbors in  $T^*$ , and let  $N_f$  be its set of post-neighbors in  $T^*$ . By assumption, the maximum independent set in  $\mathcal{C}[N_f]$  contains at most  $\rho$  links, and hence cannot be colored with less than  $Z^*/\rho$  colors. Consequently,  $T^*$  also requires at least  $Z^*/\rho$  colors. Thus, our solution yields a  $O(\rho \log n)$ -approximation.

#### 4 Reliable Short Links

We consider here the case when the nodes are located in the Euclidean plane (or in a doubling metric), and all short links are reliable. This is motivated by experimental results which indicate on one hand that signal strength is poorly correlated with distance, but also that short links are nevertheless almost always strong and reliable [38], with most of the variability in the links of intermediate range. This is probably the most natural relaxation of the problem involving geometry. The setting is as follows.

The link graph: There is a threshold distance, normalized to 1, i.e., the unit distance, such that for every pair of nodes  $u, v \in V$  at distance below 1, there is a link  $\{u, v\} \in L$ . Let  $\Pi$  then denote the maximum link length that might be used, e.g., corresponding to the maximum distance at which signals can be properly received. Then, node pairs  $u, v \in V$  of distance in the range 1 to  $\Pi$  may or may not form an edge in  $\mathcal{G}$ . We call the links of length at most 1 *short* links.

We make limited assumptions about the conflict graph C, which can be seen as more specialized variants of the reasonable conflict graphs defined in Sec. 2. We first define some notions. By a <u>t-square</u> we mean a square of side t in the plane. A square <u>hits</u> a link if an endpoint of the link is within the square. A set of links of length at most  $\ell$  is said to be <u>s-sparse</u> if every  $\ell$ -square hits at most s links, and a set of links of length at least  $\ell$  is <u>d-dense</u> if some  $\ell$ -square hits at least d links.

The conflict graph: We assume that in C, every s-sparse set of links is O(s)-colorable, while a d-dense set requires  $\Omega(d)$  colors. These assumptions are satisfied by all major interference models defined in the plane (or in doubling metrics); we will argue this for the SINR model in App. A.

We examine how the approximability of the problem CONNECTIVITY SCHEDULING varies with  $\Pi$ . It turns out that MSTs work well here, unlike in the general setting (cf. Sec. 2).

**Theorem 5** Every MST of  $\mathcal{G}$  can be colored using  $\zeta + O(\Pi_{\sqrt{\chi}})$  colors under the conflict graph  $\mathcal{C}$ , where  $\chi$  is the optimum number of colors of a spanning tree and  $\zeta$  is the number of colors required to schedule an MST of the complete graph over V.

In many settings,  $\zeta$  is a negligible term, in which case we obtain a  $O(\Pi/\sqrt{\chi})$ -approximation.

Before proceeding to the proof, we state several technical lemmas. In the following lemmas, we work with a fixed MST T of the link graph  $\mathcal{G}$ . Denote  $a = \prod \sqrt{\chi}$ . We split the non-short links into *medium* links, of length from 1 to  $\sqrt{a}$ , and *long*, of length at least  $\sqrt{a}$ . We refer to the *maximal* connected subgraphs of T containing only short (non-long) links as <u>clusters</u> (resp. <u>blocks</u>). A *t*-square *hits* a cluster (or a block) if it contains a vertex of that cluster (block).

The plan is to show that both medium and long links of T form a O(a)-sparse subset, hence can be colored using O(a) colors. To that end, we show that such links are only used to connect different clusters and blocks, which cannot be too close to each other, since otherwise the MST property would be violated. As for the short links, they are part of a MST of the complete graph over V, and hence can be colored using  $\zeta$  colors.

**Lemma 6** There is no short link in  $\mathcal{G}$  connecting two clusters. Similarly, there is no non-long link connecting two blocks.

**Proof:** Suppose there is a short link e connecting two clusters  $C_1$  and  $C_2$ . If  $e \in T$ , then this contradicts the maximality of clusters. Otherwise, e could be used to improve T, contradicting minimality of T.

#### **Lemma 7** Every $\sqrt{a}$ -square S hits O(a) clusters.

**Proof:** A  $1/\sqrt{2}$ -square can hit at most one cluster, as otherwise the respective vertices contained in the  $1/\sqrt{2}$ -square would be within unit distance and could be connected by a short link, contradicting Lemma 6. Thus, a given  $\sqrt{a}$ -square S hits at most  $(\sqrt{2a} + 1)^2$  clusters, since it can be covered with that many  $1/\sqrt{2}$ -squares.

#### **Lemma 8** Every $\Pi$ -square S hits O(a) blocks.

**Proof:** We account for the number of blocks hit by S by reasoning about their relation to some fixed optimal spanning tree  $T_{OPT}$ . First, observe that if there are at least 2 blocks, every block B must have a vertex incident to a long link in  $T_{OPT}$ , because  $T_{OPT}$  has to connect B to some other block, and by Lemma 6, it has to use a long link for that. We partition the set of blocks hit by S into Class 1 and 2, where the former consists of blocks containing a vertex inside S that is incident to a long link in  $T_{OPT}$ , and Class 2, the remaining blocks. We bound the two classes separately.

Let t denote the number of long links of  $T_{OPT}$  that are hit by S. Since S can be covered with  $O(\Pi/\sqrt{a}+1)^2 = O(\Pi/\sqrt{\chi})$  of  $\sqrt{a}$ -squares, by an averaging argument, at least one of them hits  $\Omega(t/(\Pi/\sqrt{\chi})) = \Omega(t\sqrt{\chi}/\Pi)$  long links. Thus, the long links of  $T_{OPT}$  are  $t\sqrt{\chi}/\Pi$ -dense, and by our assumption on C,  $\chi = \Omega(t\sqrt{\chi}/\Pi)$ . Rearranging, we have that t = O(a). We conclude that the number of Class 1 blocks hit by S is in O(a).

Next, we consider Class 2 blocks. Each such block must have a vertex that is incident to a long link e in  $T_{OPT}$ , with both its endpoints outside of S. Thus, a Class 2 block must have vertices both inside and outside of S, and  $T_{OPT}$  uses only short or medium links to connect vertices from the two sides. For each Class 2 block B, identify a single link used in  $T_{OPT}$  to connect the vertices of B inside S to those outside S, and refer to it as B's linker. Note that each  $1/\sqrt{2}$ -square hits at most one linker, as otherwise the corresponding blocks could be connected with a short edge, contradicting Lemma 6. Short linkers are of length at most  $\sqrt{\chi}$ ; they must have an endpoint in S within distance  $\sqrt{\chi}$  from the border of S, as they must cross the border. Thus, the total area in S that can contain an endpoint of a short linker is at most  $2\Pi\sqrt{\chi}$ , and by covering it with  $1/\sqrt{2}$ -squares, we see that there can be at most  $O(\Pi\sqrt{\chi})$  short linkers.

We partition the non-short linkers into <u>i-linkers</u> of length between  $Q := 2^i \cdot \sqrt{\chi}$  and  $2Q = 2^{i+1} \cdot \sqrt{\chi}$ , for  $i = 0, 1, \ldots$  Let  $q_i$  be the number of Class 2 blocks with *i*-linkers. Observe that an *i*-linker has an endpoint in *S* within distance 2*Q* from the border of *S*. Thus, the total area in *S* that can contain an *i*-linker is less than 2 $\Pi Q$ , and it can be covered with  $O(\Pi/Q)$ different *Q*-squares. Thus, some *Q*-square hits  $\Omega(Q/\Pi)$  of *i*-linkers (each in  $T_{OPT}$ , and of length at least *Q*), so  $T_{OPT}$  is  $\Omega(q_i Q/\Pi)$ -dense. Hence, by our assumption on C,  $\chi = \Omega(q_i Q/\Pi)$ , and by rearranging,  $q_i = O(\sqrt{\chi}\Pi/2^i) = O(a/2^i)$ . The total number of Class 2 blocks is then bounded by  $O(\Pi\sqrt{\chi}) + \sum_{i=0}^{i} q_i = O(a) + \sum_{i=0}^{i} O(a/2^i) = O(a) \sum_{i=0}^{i-1} 2^{-i} = O(a)$ .

**Proof:** [of Thm. 5] The short links are contained in an MST of the complete graph on the pointset, hence they can be colored using  $\zeta$  colors.

Next, we show that medium links form a O(a)-sparse set, and can be colored using O(a) colors, by our assumptions on C. Let S be a  $\sqrt{a}$ -square. Note that the medium links of T that are hit by S are all used to connect clusters hit by the  $3\sqrt{a}$ -square S' that has S in the center. Then Lemma 7 implies at most O(a) medium links are hit by S, since there are at most O(a) clusters hit by S', and T is a tree.

Concerning the long links, we can apply a nearly identical argument as above, but considering a  $\Pi$ -square instead of a  $\sqrt{a}$ -square, and blocks instead of clusters. This shows that long links form a O(a)-sparse set, and can be colored using O(a) colors as well.

**Limitations**: The bound of Thm. 5 on the MST is in fact best possible, by the result of Sec. 2. Namely, in the construction of Sec. 2, the threshold under which all links are available is 1 (and all short links are present in  $\mathcal{G}$ ), while  $\Pi < 2k$ . We have shown that the MST must use  $k = \Omega(\Pi)$  colors. On the other hand, there is a spanning tree that can be colored using O(1) colors.

**Observation 1** For any integer n > 0, there is an instance  $\mathcal{G} = (V, L)$  over n nodes that contains all short links, such that the optimum solution to CONNECTIVITY SCHEDULING is  $\chi = O(1)$ -colorable, while an MST requires  $\Omega(\Pi) = \Omega(\Pi\sqrt{\chi})$  colors.

## 5 Hardness of Approximation

It is easy to see that with an arbitrary conflict graph C, the CONNECTIVITY SCHEDULING problem is hard to approximate. For instance, if the link graph G is already a spanning tree, CONNECTIVITY SCHEDULING becomes simply the classical graph coloring problem (of C). We show below that the hardness extends to other more restricted settings. These results also show that near-linear dependence on  $\rho$ , the inductive independence, is unavoidable.

We first show that hardness holds when C is the square of the line graph of  $\mathcal{G}$  (for general  $\mathcal{G}$ ),  $\mathcal{C} = L^2(\mathcal{G})$ , then extend the construction to the case when  $\mathcal{G}$  is a complete graph and  $\mathcal{C}$  is general (Thm. 10), and to signal strength models (Sec. 6). This corresponds to (bidirectional) 2-hop interferences: two transmission links conflict if they are incident on a common edge. The reduction is from the DISTANCE-2 EDGE COLORING problem in general graphs, also known as STRONG EDGE COLORING: Given a graph  $\mathcal{G}$ , find a partition of the edge set into induced matchings, i.e., induced subgraphs where every vertex is of degree 1.

**Theorem 9** The CONNECTIVITY SCHEDULING problem is hard to approximate within a  $n^{1-\epsilon}$ -factor, for any  $\epsilon > 0$ , even when  $\mathcal{C} = L^2(\mathcal{G})$ .

**Proof:** Given an instance of STRONG EDGE COLORING with graph G' = (V', E'), we construct an instance of CONNECTIVITY SCHEDULING problem with the graph  $\mathcal{G}$  constructed as follows. Consider a bipartite graph  $G'' = (V_1, V_2, E)$ , as follows. For each vertex v in V', there are two vertices  $v_1, v_2$  in  $V = V_1 \cup V_2$ , where  $v_i \in V_i$ , i = 1, 2. If  $uv \in E'$  then  $v_1u_2$  and  $v_2u_1$  are in E. Link graph  $\mathcal{G}$  is obtained from G'' by taking a complete binary tree with  $|V_2|$  leaves and identifying each leaf with a vertex of  $V_2$ . The conflict graph is given by a simple graph  $\mathcal{C}$  with vertex set E, where  $e_1, e_2 \in E$  are adjacent in  $\mathcal{C}$  if and only if they form an induced matching in G', i.e., there is no edge in G' connecting an endpoint of  $e_1$  to an endpoint of  $e_2$ . This completes the construction.

First, let us show that a strong edge coloring of G' can be used to construct a spanning tree in  $\mathcal{G}$  with a similar coloring number. Consider a strong coloring that partitions the edges of G'into c color classes  $E_1, E_2, \ldots, E_c$ . Each class  $E_i$  induces a pair of feasible sets  $S_i, S'_i$  in  $\mathcal{G}$ , where  $S_i = \{v_1u_2 : uv \in E_i\}$  and  $S'_i = \{u_1v_2 : uv \in E_i\}$ . Indeed, since  $E_i$  is an induced matching in G', each of the sets  $S_i, S'_i$  is also an induced matching in  $\mathcal{G}$  (and hence independent in  $\mathcal{C}$ ). Note that the edges in these sets cover all vertices of  $\mathcal{G}$ , except for the binary tree. We also add  $O(\log n)$  color classes, two for each layer in the binary tree. The number of colors used then is  $O(c + \log n)$ . This gives us a connected subgraph of  $\mathcal{G}$  that can be colored using  $O(c + \log n)$ colors.

Next, consider a spanning tree of  $\mathcal{G}$  with a corresponding coloring of the edges in t color classes  $S_1, S_2, \ldots, S_t$ . Ignoring all edges within the binary tree, we obtain a partition of the edges of the bipartite graph G'' between  $V_1$  and  $V_2$ . We claim that each partition corresponds to an induced matching in G', leading to a strong edge coloring of G' with t colors.

Consider a pair of edges  $v_1u_2$  and  $w_1x_2$  in the same feasible set. Since they do not conflict, there are no edges  $v_1x_2$  nor  $w_1u_2$  in  $\mathcal{G}$ , and thus no edges vx nor wu in E. Then vu and wx form an induced matching in G'.

Hence, the optimum number of colors in strong edge coloring of G' is within a constant factor plus a logarithmic term of the optimal number of colors needed for coloring a spanning tree in  $\mathcal{G}$ . Since the former is hard to approximate within  $n^{1-\epsilon}$ -factor [5], so is the latter.  $\Box$ 

**Theorem 10** For general graphs C, the CONNECTIVITY SCHEDULING problem is hard to approximate within a  $n^{1-\epsilon}$ -factor, for any  $\epsilon > 0$ , even if the link graph G is complete.

**Proof:** We modify the instance of Thm. 9, by adding to  $\mathcal{G}$  all edges that were not there and make them adjacent (in  $\mathcal{C}$ ) to *all* other edges in the graph. If these new edges are used in a spanning tree, they have to be given distinct colors. Thus, using them can only increase the length of any coloring.

## 6 Implications to Signal Strength Models

We consider in this section the implementation and implication of our results to signal strength models, most importantly metric SINR model.

SINR-feasibility, besides the underlying metric, also depends on the *transmission power* control regime. Different regimes give different notions of feasibility. Nevertheless, it is known that for most interesting cases, SINR-feasibility has constant-inductive independence property. In particular, power control is usually split into two modes: fixed monotone power schemes, where links use only local information, such as the link length, to define the power level, and global power control, where all power levels are controlled simultaneously to give larger independent sets. The former includes the uniform power mode, where all links use equal power. Another technical issue is *directionality* of links, which is not explicitly addressed by our general results, but will be addressed below.

Let us start the discussion from Euclidean metrics (or more generally doubling metrics). For the global power control mode, [29] introduced a weight function W and proved that with this function, the conflict graph of any set of links is constant-inductive independent (see [29, Thm. 1]), so our results apply here directly (except for directionality issues, addressed below). Similarly, for fixed monotone power schemes (excluding uniform power), [16] showed that in order to get constant-inductive independence, one may take the natural weight function, <u>affectance</u> (also called <u>relative</u> or <u>normalized interference</u>) [16, Thm.3.3]. In all cases, the ordering  $\prec$  corresponds to a non-decreasing order of links by length.

For general metric spaces, a slightly more technical definition of inductive independence is used, where a fractional conflict graph  $\mathcal{C} = (L, W)$  is  $(\rho, \gamma)$ -inductive independent, w.r.t. an ordering  $\prec$  of the links, if for every link e and every feasible set  $I \in \mathcal{F}$  with  $e \prec I$ , there is a subset  $I' \subseteq I$  of size  $|I'| \ge |I|/\gamma$ , such that  $W(I, e) + W(e, I) \le \rho$ . The old definition corresponds to the setting  $\gamma = 1$ . It is easily verified that Thms. 2 and 3 extend to cover this new definition, with approximation ratios multiplied by a factor of  $\gamma$ . Now, the counterparts of the results from the previous paragraph in general metrics can be found in [19, Lemmas 2,4] and [30, Thm. 1, Lemma 3], where it is shown that with appropriate weight functions, feasibility for any fixed monotone power scheme (including uniform power), as well as feasibility with global power control, can be expressed by a fractional conflict graph, which is (O(1), O(1))-inductive independent.

The claims above concern settings where the links have fixed directions. In particular, if we apply Thm. 3 to the weighted functions from the previous paragraph, then we should add "there exists a direction of links, such that..." to the claim. This issue is easily resolved for the global power control mode, where the weight function of [29] does not depend on directions. Namely, it gives a coloring, such that whatever direction is assigned to the links, one can find a power assignment that makes it work (the power assignment could be different for different orientations of links).

For oblivious powers, the following trick applies. It is known that for a set of links with some direction and an oblivious power assignment, and with the weight function W defined in terms of the affectances, if  $W(e, S) \leq 1/2$  for all  $e \in S$  (call this <u>dual-feasibility</u>), then there is another oblivious power assignment (called the *dual* of the original one) that makes S feasible with the reversed directions of links [28]. Thus, we would like to have a coloring where each color class S is also dual-feasible. To this end, it is enough to modify CAPKRUSKAL, so that the threshold 1/2 in the acceptance condition is replaced with 1/4, and the output set S' is given by  $S' = \{e \in S : (W(S, e) \leq 1) \land (W(e, S) \leq 1/2)\}$ . Very similar methods then show that this again gives an  $O(\rho)$ -approximation to the maximum feasible forest problem. The rest of the analysis is left intact, so we obtain an  $O(\log n)$ -approximation as before, but with color classes that are both feasible and dual-feasible. Then we can replace each color class with its two copies and revert the directions of links in one of the copies. Every link thus gets a color for both directions, while the number of colors used increases by a factor of two.

Summarizing the observations above, we state the following theorem.

**Theorem 11** There is an  $O(\log n)$ -approximation to CONNECTIVITY SCHEDULING problem in the SINR model in arbitrary metric spaces. This holds both in the case of fixed monotone power assignments and for arbitrary power control. It holds even when only a subset of the node-pairs are available as links (but interferences follow the metric SINR definitions).

These are the first results that hold in general metrics. They are necessarily relative approximations, since in general metric spaces, there is no good upper bound on the connectivity number, even for complete graphs. Two simple examples are the metric induced by the star  $K_{1,t}$  with unit-length edges, and the unit metric formed by distances on the unit-length clique metric.

For the case of points in the plane (i.e., a complete link graph with conflicts induced by distances), connectivity can be achieved using  $O(\log n)$  colors [18]. Since it is not known if O(1) colors always suffice, this result is not directly implied by Thm. 3. However, it was also shown in [18] that the MST contains a feasible forest of  $\Omega(n)$  edges. The rest of our analysis (using constant-inductive independence) then implies a result matching [18].

**Corollary 12** Let P be a set of points in the plane. Then, CONNECT finds and colors a spanning tree of P with  $O(\log n)$  colors.

Steiner trees: In the geometric SINR model with a fixed monotone power scheme (with not all links available), we reduce the problem to a graph question as follows. It was observed in [15] that links of the same length class behave approximately like unit-disk graphs, where a length class refers to links whose lengths differ by at most a factor of 2. Namely, there are constants  $c_1$  and  $c_2$  such that for a set S of links of length approximately  $\ell$ , if all links are of mutual distance greater than  $c_2\ell$ , then they form a feasible set, whereas any pair of links in S of distance at most  $c_1\ell$  must be given distinct colors.

We modify the reduction to MMST to that of the graph construction so that the weight of link f along dimension e is 1 only if f is a post-neighbor of e in C and f and e are of the same length class. We then take the resulting tree and color the length classes separately (using disjoint palettes), at an extra cost of  $O(\log \Lambda)$  (the number of length classes).

# **Corollary 13** There is a $O(\log \Lambda \log n)$ -approximation algorithm for STEINER CONNECTIVITY SCHEDULING in the geometric SINR model, under any fixed monotone power scheme.

Using global power control, we can do considerably better. The main result of [20] shows that for any set L of links, there is an unweighted conflict graph  $\mathcal{C}(L)$ , such that every independent set in  $\mathcal{C}$  is feasible under the SINR model, and the chromatic number of  $\mathcal{C}$  is at most  $O(\log^* \Lambda)$  factor away from the chromatic number of L under SINR (using global power control). Moreover,  $\mathcal{C}$ is constant-inductive independent [20, Prop. 1].

**Corollary 14** There is a  $O(\log n \log^* \Lambda)$ -approximation algorithm for STEINER CONNECTIV-ITY SCHEDULING in the geometric SINR model with global power control.

A similar result with  $O(\log \log \Lambda)$ -factor holds also for certain monotone power schemes (but not, for instance, uniform power) [21].

Short reliable links: Recall that the parameter  $\zeta$  in Thm. 5 was defined as the number of colors required to color an MST in the complete graph setting, i.e., when  $\mathcal{G}$  is the complete graph. For Euclidean SINR with general power control,  $\zeta = O(\min(\log n, \log^* \Lambda))$ , where  $\Lambda$  is the ratio between the length of the longest and the shortest possible link [18, 23]. Even though n and  $\Lambda$  are formally speaking unrelated, it is beyond reasonable to assume that  $\log^* \Lambda = O(\log^* n)$ .

**Corollary 15** For a graph  $\mathcal{G}$  that contains all short links, an MST achieves a  $O(\max(\log^* n, \Pi))$ -approximation in the Euclidean SINR setting with power control.

**Hardness**: A special Missing Links variant of the geometric case is where the nodes/links are embedded in the plane and all interferences are either zero or follow the SINR model (with either fixed power or global power control).

**Theorem 16** The geometric Missing Links variant is  $n^{1-\epsilon}$ -hard to approximate, for any  $\epsilon > 0$ . It is also  $\Lambda^{2-\epsilon}$ -hard, where  $\Lambda$  is the ratio between the longest to the shortest node distance. This holds even if all unavailable links are missing links.

**Proof:** We embed the instance of Thm. 9 in the plane. The nodes of  $V_1$  are located in a unit square in a mesh pattern,  $1/\sqrt{n}$  apart in  $\sqrt{n}$  columns  $\sqrt{n}$  abreast. At a unit distance, a similar unit square holds the nodes of  $V_2$ . The length of an edge in  $\mathcal{G}$  (in distance in the plane) is then between 1 and 4.

An induced matching in  $\mathcal{G}$  corresponds to a set of links with no mutual interference. On the other hand, a pair of links that are incident on a common edge or share a vertex, will receive interference from each other according to the SINR formula (using the shared edge or each other). Given that distances along available edges vary only by a constant factor, the interference between the links is a constant (specifically, at least  $1/4^{\alpha}$ , where  $\alpha$  is the "pathloss" constant of the SINR model). Thus, in the setting where the SINR threshold is at least the reciprocal of that constant (i.e.,  $\beta \geq 4^{\alpha}$ ), feasible sets are necessarily induced matchings in  $\mathcal{G}$ . We can then conclude by recalling a "signal-strengthening" result [13] that shows that varying the threshold by a constant factor only affects the number of colors by a constant factor.

The longest node distance is at most  $\log n$ , which is from the root of the binary tree to its leaves, while the shortest distance is  $1/\sqrt{n}$ . Thus,  $\Lambda \leq 4\sqrt{n} \log n$ , and  $n^{1-\epsilon} \geq \Lambda^{2-\epsilon'}$ , for some  $\epsilon' \geq \epsilon/3$ .

We can restrict the available edges incident to (non-leaf) nodes on the binary tree to the tree edges alone. Thus, non-leaf nodes in the tree must be connected via the tree edges. Then, all unavailable edges are missing edges.  $\hfill \Box$ 

## 7 Discussion

Many related problems are left addressing; we list the most prominent ones.

- Latency minimization: Bounding the time it takes for a packet to filter through the tree from a leaf to a root (and back). This requires optimizing both the height of the tree as well as the ordering of the links in the coloring.
- Directed case: Finding an arborescence. This requires new techniques, as our argument crucially depends on the graph being undirected.
- Distributed algorithms: This relates also to the issue of detecting or learning whether a link is usable/reliable or not.

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### A SINR Definitions

For completeness, we include here various definitions and facts regarding the SINR model.

The <u>abstract SINR</u> model has two key properties: (i) signal decays as it travels from a sender to a receiver, and (ii) interference – signals from other than the intended transmitter – accumulates. Transmission succeeds if and only if the interference is below a given threshold. The <u>Metric SINR</u> model additionally assumes geometric path-loss: that signal decays proportional to a fixed polynomial of the distance, where the pathloss constant  $\alpha$  is assumed to be an arbitrary but fixed constant between 1 and 6. This assumption is valid with  $\alpha = 2$  in free space and perfect vacuum [12, Sec. 3.1]. In the Euclidean SINR model, the distances are planar.

Formally, a link  $l_v = (s_v, r_v)$  is given by a pair of nodes, sender  $s_v$  and a receiver  $r_v$ , which are located in a metric space. Let d(x, y) denote the distance between points x and y in the metric, and use the shorthand  $d_{vw} = d(s_v, r_w)$ . The strength of a signal transmitted from point x as received at point y is  $d(x, y)^{\alpha}$ . The interference  $I_{uv}$  of sender  $s_u$  (of link  $l_u$ ) on the receiver  $r_v$  (of link  $l_v$ ) is  $P_u/d_{uv}^{\alpha}$ , where  $P_v$  is the power used by  $s_v$ . When u = v, we refer to  $I_{vv}$  as the signal strength of link  $l_v$ . If a set S of links transmits simultaneously, then the signal to noise and interference ratio (SINR) at  $l_v$  is

$$\operatorname{SINR}_{v} := \frac{I_{vv}}{N + \sum_{u \in S} I_{uv}} = \frac{P_{v}/d_{vv}^{\alpha}}{N + \sum_{u \in S} P_{v}/d_{uv}^{\alpha}}, \qquad (3)$$

where N is the ambient noise. The transmission of  $l_v$  is *successful* iff  $SINR_v \ge \beta$ , where  $\beta \ge 1$  is a hardware-dependent constant.

Additional definitions: Power, affectance, separability We will work with a total order  $\prec$  on the links, where  $l_v \prec l_w$  implies that  $d_{vv} \leq d_{ww}$ . A power assignment  $\mathcal{P}$  is monotone if both  $P_v \leq P_w$  and  $\frac{P_w}{d_{ww}^\alpha} \leq \frac{P_v}{d_{vv}^\alpha}$  hold whenever  $l_v \prec l_w$ . This captures the main power strategies, including uniform and linear power.

The affectance  $a_w^{\mathcal{P}}(v)$  [13, 28] of link  $l_w$  on link  $l_v$  under power assignment  $\mathcal{P}$  is the interference of  $l_w$  on  $l_v$  normalized to the signal strength (power received) of  $l_v$ , or

$$a_w(v) = \min\left(1, c_v \frac{P_w}{P_v} \frac{d_{vv}^{\alpha}}{d_{wv}^{\alpha}}\right)$$

where  $c_v = \frac{\beta}{1-\beta N/(P_v/d_{vv}^{\alpha})} > \beta$  is a factor depending only on universal constants and the signal strength  $P/d_{vv}^{\alpha}$  of  $l_v$ , indicating the extent to which the ambient noise affects the transmission. We drop  $\mathcal{P}$  when clear from context. Furthermore let  $a_v(v) = 0$ . For a set S of links and link  $l_v$ , let  $a_v(S) = \sum_{l_w \in S} a_v(w)$  be the *out-affectance* of v on S and  $a_S(v) = \sum_{l_w \in S} a_w(v)$  be the *in-affectance*. Assuming S contains at least two links we can rewrite Eqn. 3 as  $a_S(v) \leq 1$  and this is the form we will use. A set S of links is *feasible* if  $a_S(v) \leq 1$  and more generally K-feasible if  $a_v(S) \leq 1/K$ .

The following theorem shows that the interference model assumptions of Sections 2 and 4 hold for geometric SINR. This fact is widely known, see e.g., [18]. We outline a proof for completeness.

**Theorem 17 ([18])** If a link set is s-sparse, then it can be colored using O(s) colors under geometric SINR, and if it is d-dense, then it requires  $\Omega(d)$  colors.

**Proof:** The former claim essentially follows from the results of [15]. Here is a crude sketch of a proof. Let L be a s-sparse set of links of length at most  $\ell$ . Partition the plane into squares of side  $\ell$ . Assign each link to a square where it has an endpoint, ties broken arbitrarily. It is easy to color the squares using constant number of colors, such that for each color class C, the distances between the squares in C are greater than  $c\ell$ , where c is a constant of our choice. Let C be any color class. Using sparsity, partition the set of links assigned to the squares in C into at most ssubsets  $S_1, S_2, \ldots, S_k$ , such the intersection of each  $S_i$  and each square in C is at most a single link. Then a standard area argument (see, e.g. [15]) shows that if the constant c is sufficiently large,  $S_i$  are feasible sets (e.g. under uniform power assignment). Note that it is important here that all links have length at most  $\ell$ , so they are "attached" to their corresponding squares.

Now consider a subset  $S \subseteq L$  that is s(L)-dense, and let  $\ell$  be the minimum link length in S, and let X be a  $\ell$ -by- $\ell$  square with s(L) endpoints from S. Let  $T \subseteq S$  be the subset of links with endpoints in X, and note that  $|T| \ge s(L)/2$ . The distance between any two points within X is at most  $\sqrt{2\ell}$ . It follows that no pair of links in T can coexist in a  $\sqrt{2}^{\alpha}$ -feasible set. That is, T, and therefore also L, requires  $|T| \ge s(L)/2$  colors when  $\beta \ge \sqrt{2}^{\alpha}$ . By signal strengthening, the exact value of  $\beta$  changes the chromatic number of the set only by a constant factor.  $\Box$