BERMAN K-POLYSTABILITY OF Q-FANO VARIETIES ADMITTING KÄHLER-EINSTEIN METRICS. INVENT. MATH. 203 (2016)

1. Main Results

Theorem 1. (Berman) Let X be a Fano manifold. If X has a Kähler-Einstein metric then X is K-stable.

This means the Donaldson-Futaki invariant of any test configuration $(\mathcal{X}, \mathcal{L})$ is non-negative, and moreover, if it vanishes, $\mathcal{X} \approx X \times \mathbb{C}$.

To prove the main theorem, Berman first establishes a second theorem (Theorem 2 below) which relates the Donaldson-Futaki invariant to the Ding functional. To state this theorem, we first recall the necessary notation.

Let $\omega \in c_1(-K_X)$ and $D_\omega : \text{PSH}(X, \omega) \cap L^\infty(X) \to \mathbb{R}$ the Ding functional:

$$D_{\omega}(\phi) = -\mathcal{E}(\phi_0, \phi_1) - \log \int_X h e^{-\phi}$$

where $\omega = -i\partial\bar{\partial}\log h = -dd^c\log h$. The critical points of D_{ω} are the KE metrics.

Let $\rho : \mathbb{C}^{\times} \to \operatorname{Aut}(\mathcal{L} \to \mathcal{X} \to \mathbb{C})$ be a test configuration for $(X, -K_X)$. Assume $\mathcal{X} \subseteq \mathbb{P}^N \times \mathbb{C}$ for some N. Thus $\rho(\tau) \in GL(N+1, \mathbb{C})$ for all $\tau \in \mathbb{C}^{\times}$ and $\rho(\tau)X = X_{\tau}$.

Theorem 2. Let $h_{FS}e^{-\phi}$ be locally bounded S^1 invariant metric on \mathcal{L} with $\omega_{FS} + dd^c \phi \ge 0$. Then

(1.1)
$$-DF(\mathcal{X},\mathcal{L}) = \lim_{t \to \infty} \frac{d}{dt} D_{\omega}(\phi_t) + q$$

where q is a non-negative rational number. Here $\phi_t \in PSH(X, -K_X)$ is defined by

(1.2)
$$h_{FS}e^{-\phi_t} = \rho(\tau)^*([h_{FS}e^{-\phi}]|_{L_{\tau}}) ; \ e^{-t} = \log|\tau|$$

1.1. The geodesic ray $\phi_t(\mathcal{X}, \mathcal{L})$. We shall apply (1.1) to a particular path of metrics ϕ_t . Let $p : \mathcal{X}' \to \mathcal{X}$ be an S^1 equivariant log-resolution of singularities. Then $\phi(\mathcal{X}, \mathcal{L}) \in PSH(\mathcal{X}'_{\Delta}, \omega_{FS})$ is the solution to the Dirichlet problem

$$(\omega_{FS} + dd^c \phi)^{n+1} = 0 ; \ \phi|_{\partial \Delta} = \phi_0$$

and $\phi_t \in PSH(X, \omega_{FS})$ is defined by (1.2).

2. Formula for q

After replacing \mathcal{X} by a \mathcal{X}' , we may then $\mathcal{L}' \to \mathcal{X}'$ is semi-ample with $\mathcal{L}'_{(\mathcal{X}')^{\times}} \approx -K_{(\mathcal{X}')^{\times}/\mathbb{C}}$.

Let $A = \pi_*(\mathcal{L}' + K'_{\mathcal{X}'/\mathbb{C}})$. Then $A \to \mathbb{C}$ is a coherent sheaf, rank one, and torsion free. Thus A is a line bundle. To see this, recall that any coherent sheaf over \mathbb{C} is of the form

$$A = \mathbb{C}[t]^r \oplus \mathbb{C}[t]/(f_1) \oplus \cdots \oplus \mathbb{C}[t]/(f_m)$$

Thus torsion free implies free and r = 1 implies free of rank one.

Now let s be a trivializing section of A over \mathbb{C} . Thus $s \in H^0(\mathcal{X}, \mathcal{L} + K_{\mathcal{X}/\mathbb{C}})$ and for all $\tau \in \mathbb{C}$ we have $0 \neq s|_{X_{\tau}} \in H^0(X_{\tau}, (\mathcal{L} + K_{\mathcal{X}/\mathbb{C}})|_{X_{\tau}})$. In particular, $s|_{X_{\tau}}$ is nowhere vanishing if $\tau \in \mathbb{C}^{\times}$. This means the divisor of s is supported on the central fiber:

$$(\pi) = \sum_{i} m_i E_i, \ m_i > 0$$
$$(s) = \sum_{i} c_i E_i, \ c_i \ge 0$$

Note that $(\pi) = (\tau)$ so we must have $m_i < c_i$ for some *i* (otherwise, replace *s* by s/τ).

There is a natural (singular) metric Φ_A on A defined by

$$||s||^2_{\Phi_A}(\tau) = \int_{X'_{\tau}} (s \wedge \bar{s}) e^{-\phi} ; \ \tau \neq 0$$

Before stating the formula for q we need one more construction: Let $\bar{\mathcal{X}} \to \mathbb{P}^1$ be the trivial completion of $\mathcal{X} \to \mathbb{C}$ and $\bar{\mathcal{L}} \to \bar{\mathcal{X}}$ the trivial completion of $\mathcal{L} \to \mathcal{X}$. This means that $\bar{\mathcal{X}}|_{\mathbb{P}^1\setminus\{0\}} = \mathcal{X}$ and $\bar{\mathcal{X}}|_{\mathbb{P}^1\setminus\{0\}} = X \times \{\mathbb{P}^1\setminus\{0\}\}$. Thus $\bar{\mathcal{X}} \subseteq \mathbb{P}^1 \times \mathbb{P}^N$ is a closed submanifold.

Proposition 1. We have

(2.1)
$$q = \max_{i} \frac{m_i - 1 - c_i}{m_i} + \frac{1}{c_1(L)^n} \sum_{i} c_i E_i \cdot \overline{\mathcal{L}}' \cdots \overline{\mathcal{L}}' \in \mathbb{Q}$$

3. Proof that $q \ge 0$

We wish to prove $q \ge 0$. To do this, we rewrite (2.1) as follows. First note that by flatness,

$$\sum_{i} m_i E_i \cdot \bar{\mathcal{L}}' \cdots \bar{\mathcal{L}}' = c_1(L)^n$$

Thus, setting

$$t_i = m_i \frac{E_i \cdot \bar{\mathcal{L}}' \cdots \bar{\mathcal{L}}'}{c_1(L)^n}$$

we see $\sum_{i \in I} t_i = 1$ where $I = \{i : t_i > 0\}$. Moreover

$$q = \max_{i} \left(\frac{m_i - 1}{m_i} - \frac{c_i}{m_i} \right) + \sum_{i \in I} \frac{c_i}{m_i} t_i$$

If $i_0 \in I$ is the index where the minimum of $\frac{c_i}{m_i}$ is attained, we see $q \ge \frac{m_{i_0}-1}{m_{i_0}} \ge 0$.

We have thus reduced the proof of the main theorem to the proof of Proposition 1. We first review some of the necessary tools.

4. Weight-Slope identity

Let $\eta \to \mathbb{C}$ be a holomorphic line bundle and $\rho : \mathbb{C}^{\times} \to \operatorname{Aut}(\eta \to \mathbb{C})$ a \mathbb{C}^{\times} action. Let $w \in \mathbb{Z}$ be the weight of η at the origin.

Proposition 2. Let $h = h_0 e^{-\Phi}$ a metric on η (here h_0 is a fixed smooth metric). Then

$$\lim_{\tau \to 0} \frac{\log \|\rho(\tau)s_1\|_{h}}{\log |\tau|} = w_{\eta} - l_{\Phi}$$

where

$$l_{\Phi} = \lim_{\tau \to 0} \frac{\Phi(\tau)}{\log |\tau|}$$

5. The weight-slope identity for the Chow bundle.

Let $\mathcal{L} \to \mathcal{X} \to \mathbb{C}$ be a test configuration, let ϕ be a locally bounded metric on \mathcal{L} , and $C = \langle \mathcal{L}, ..., \mathcal{L} \rangle \to \mathbb{C}$ the Chow line bundle. Since ϕ is locally bounded, one easily shows the Deligne metric $\Phi_D = \langle \phi, ..., \phi \rangle$ is locally bounded, so $l_{\Phi_D} = 0$. Let $\phi_t = \rho(\tau)^* \phi_\tau$ where $t = -\log |\tau|$. Thus ϕ_t is a family of metrics on $L \to X$ where, as usual, we make the identification $X = X_1$. Then the weight-slope identity plus S. Zhang's theorem implies

(5.2)
$$w_{\rm C} = \lim_{t \to \infty} \frac{d}{dt} \mathcal{E}(\phi_0, \phi_t)$$

6. The weight-slope identity for the adjoint bundle.

(6.3)
$$\lim_{t \to \infty} -\frac{d}{dt} \log \int_X (s_1 \wedge \bar{s}_1)^{1/r} e^{-\phi_t} = \lim_{t \to \infty} -\frac{d}{dt} \log \int_X e^{-\phi_t} = w_A - l_{\Phi_A}$$

In the second integral we view $e^{-\phi_t}$ as a metric on $-K_X$ using the isorphism defined by s_1 .

7. The CM line bundle

We want to apply the weight-slope formula to particular line bundle $\eta \to \mathbb{C}$ whose weight is $-D(\mathcal{X}, \mathcal{L})$.

Theorem 3. (Phong-Ross-S) Let X be Fano and $(\mathcal{X}, \mathcal{L})$ a test configuration. Assume \mathcal{X} is \mathbb{Q} -Gorenstein and define

(7.4)
$$\eta = -\frac{1}{(n+1)c_1(L)^n} \langle \mathcal{L}, ..., \mathcal{L} \rangle + \frac{1}{c_1(L)^n} \langle \mathcal{L} + K_{\mathcal{X}/\mathbb{C}}, \mathcal{L}, ... \mathcal{L} \rangle$$

Then

(7.5)
$$-DF(\mathcal{X},\mathcal{L}) = w_{\eta'}$$

If \mathcal{X} is only normal, then let $\mathcal{X}' \to \mathcal{X}$ be an equivariant resolution so $K_{\mathcal{X}'/\mathbb{C}}$ is defined. Define η' as in (7.5) but with \mathcal{X}, \mathcal{L} replaced by $\mathcal{X}', \mathcal{L}'$. Then

$$(7.6) -DF(\mathcal{X}, \mathcal{L}) = w_{\eta'}$$

8. Decomposition of the CM line bundle

Our goal is to calculate $w_{\eta'}$. We first decompose η' as follows:

(8.7)
$$\eta' = F' + I' = -C' + A' + I'$$

Where

(8.8)
$$F' = -\frac{1}{(n+1)c_1(L)^n} \langle \mathcal{L}', ..., \mathcal{L}' \rangle + \pi'_* (\mathcal{L}' + K_{\mathcal{X}'/\mathbb{C}}) = -C' + A'$$

and

$$I' = \left(\frac{1}{c_1(L)^n} \langle \mathcal{L}' + K_{\mathcal{X}'/\mathbb{C}}, \mathcal{L}', \dots \mathcal{L}' \rangle - \pi'_* (\mathcal{L}' + K_{\mathcal{X}'/\mathbb{C}})\right)$$

To compute w(C') and w(A') we use the weight-asymptote identity twice:

$$w(F) = -w_{\mathcal{C}} + w_A = -\left(\lim_{t \to \infty} \frac{d}{dt} \mathcal{E}(\phi_0, \phi_t)\right) + \left(-\lim_{t \to \infty} \frac{d}{dt} \log \int_X e^{-\phi_t} + l_{\Phi_A}\right)$$

We obtain

$$w(F') = \lim_{t \to \infty} D_{\omega_0}(\phi_t) + l_{\Phi_A}$$

Thus

$$-DF(\mathcal{X}, \mathcal{L}) = \lim_{t \to \infty} D_{\omega_0}(\phi_t) + q$$

where

$$q = l_{\Phi_{A'}} + w(I')$$

Here $l_{\Phi_{A'}}$ is a Lelong number and, as we shall see, w(I') is an intersection number.

9. Calculation of l_{Φ_A}

We wish to show

(9.9)
$$l_{\Phi_A} = \max_i \frac{m_i - 1 - c_i}{m_i}$$

One easily sees that the Lelong number can be characterized as follows:

$$l_{\Phi_A} = \inf \left\{ l : \int_{\Delta^*} \frac{e^{-(\Phi_A - l \log |\tau|^2)}}{|\tau|^2} \, d\tau \wedge d\bar{\tau} < \infty \right\}$$

Now

$$\int_{\Delta^*} \frac{e^{-(\Phi_A - l\log|\tau|^2)}}{|\tau|^2} d\tau \wedge d\bar{\tau} = \int_{\Delta^*} \int_{X_\tau} (s \wedge \bar{s})^{1/r} e^{-\phi} \frac{1}{|\tau|^{2(1-l)}} d\tau \wedge d\bar{\tau} = \int_{\mathcal{X}'} \Omega$$

Where Ω is a positive measure on \mathcal{X}' .

Let $p \in \mathcal{X}'_0$ and choose $p \in U \subseteq \mathcal{X}'$ a coordinate neighborhood. Let $E_{i_1}, ..., E_{i_r}$ be the divisors passing through p, and let $(z_0, ..., z_n)$ be holomorphic coordinates on U. On U,

$$\tau = e^{\alpha(z)} z_{i_1}^{m_{i_1}} \cdots z_{i_r}^{m_r}$$

$$(s \wedge \bar{s})^{1/r} e^{-\phi} d\tau \wedge d\bar{\tau} = e^{\beta(z)} z_{i_1}^{c_{i_1}} \cdots z_{i_r}^{c_{i_r}} dz \wedge d\bar{z}$$

where $\alpha(z), \beta(z)$ are bounded functions on U. Thus

$$\int_{U} \Omega = \int_{U} e^{\alpha + \beta} \prod_{\mu=1}^{r} |z_{i_{\mu}}^{2}|^{c_{i_{\mu}} - (1-l)m_{i_{\mu}}} dz \wedge d\bar{z}$$

which is finite if and only if $c_i - (1 - l)m_i > -1$ for all *i* and this establishes (9.9)

10. Calculation of w(I')

This is inspired by Xiaowei Wang's formula for $DF(\mathcal{X}, \mathcal{L})$:

Lemma 1. Let $\overline{I} \to \mathbb{P}^1$ be a line bundle with \mathbb{C}^{\times} action. Then

$$\log I = w_0 - w_\infty$$

We postpone the proof. Let $\overline{I} \to \mathbb{P}^1$ be

$$I = \left(\frac{1}{c_1(L)^n} \langle \bar{\mathcal{L}}' + K_{\bar{\mathcal{X}}'/\mathbb{C}}, \bar{\mathcal{L}}', ...\bar{\mathcal{L}}' \rangle - \pi'_*(\bar{\mathcal{L}}' + K_{\bar{\mathcal{X}}'/\mathbb{P}^1})\right)$$
$$w(I) = \deg \bar{I} = \frac{1}{c_1(L)^n} \deg \langle \bar{\mathcal{L}}' + K_{\bar{\mathcal{X}}'/\mathbb{C}}, \bar{\mathcal{L}}', ...\bar{\mathcal{L}}' \rangle - \deg \pi'_*(\bar{\mathcal{L}}' + K_{\bar{\mathcal{X}}'/\mathbb{P}^1})$$

But the Deligne curvature formula (D1) in §6 implies

$$\deg \langle \bar{\mathcal{L}}' + K_{\bar{\mathcal{X}}'/\mathbb{C}}, \bar{\mathcal{L}}', \dots \bar{\mathcal{L}}' \rangle = \int_{\mathbb{P}^1} \pi'_* \left[c_1(\bar{\mathcal{L}}' + K_{\bar{\mathcal{X}}'/\mathbb{C}}) \wedge c_1(\bar{\mathcal{L}}') \wedge \dots \wedge c_1(\bar{\mathcal{L}}') \right]$$
$$= \int_{\bar{\mathcal{X}}} c_1(\bar{\mathcal{L}}' + K_{\bar{\mathcal{X}}'/\mathbb{C}}) \wedge c_1(\bar{\mathcal{L}}') \wedge \dots \wedge c_1(\bar{\mathcal{L}}') = (\bar{\mathcal{L}}' + K_{\bar{\mathcal{X}}'/\mathbb{C}}) \cdot \bar{\mathcal{L}}' \dots \bar{\mathcal{L}}'$$

Hence

$$w(I) = \frac{1}{c_1(L)^n} (\bar{\mathcal{L}}' + K_{\bar{\mathcal{X}}'/\mathbb{C}}) \cdot \bar{\mathcal{L}}' \cdots \bar{\mathcal{L}}' - \deg \pi'_* (\bar{\mathcal{L}}' + K_{\bar{\mathcal{X}}'/\mathbb{P}^1})$$

We claim that w(I) doesn't change if we replace $\overline{\mathcal{L}}'$ by $\overline{\mathcal{L}}' \otimes (\pi')^* O_{\mathbb{P}^1}(m)$ (see Lemma below). This means, in the calculation of w(I), we may assume

$$\deg \pi'_*(\mathcal{L}' + K_{\bar{\mathcal{X}}'/\mathbb{P}^1}) = 0$$

In order to proceed with the calculation, we need some notation. Write

$$\operatorname{div}(\pi^*\tau) = \sum_i m_i E_i$$

Thus $m_i \ge 1$ for all *i* is given by $m_i = \operatorname{ord}_{E_i} \pi^* \tau$.

Let s be a nowhere vanishing section of $\pi'_*\mathcal{L}' + K_{\bar{X}'/\mathbb{C}}$. Thus s is a section of $\mathcal{L}' + K_{\bar{X}'/\mathbb{C}}$ with the property $\tau \not| s$ so

$$\operatorname{div}(s) = \sum_{i} c_i E_i$$

with $c_i \ge 0$ for all *i* and $c_i < m_i$ for some *i*. We conclude

(10.10)
$$w(I) = \frac{1}{c_1(L)^n} (\bar{\mathcal{L}}' + K_{\bar{\mathcal{X}}'/\mathbb{C}}) \cdot \bar{\mathcal{L}}' \cdots \bar{\mathcal{L}}' = \frac{1}{c_1(L)^n} \sum_i c_i E_i \cdot \bar{\mathcal{L}}' \cdots \bar{\mathcal{L}}' \ge 0$$

11. Weight-degree identity

Let $F \to \mathbb{P}^1$ be a line bundle with \mathbb{C}^{\times} action. We wish to show that

$$\deg F = w_0 - w_\infty$$

Without lose of generality, we may assume deg $F \ge 0$ (otherwise replace F by -F). Let s be a holomorphic section whose zeros are $\alpha_1, ..., \alpha_d \in \mathbb{C}^{\times}$. Then

$$\frac{\rho(\tau)(s)}{s} = c(\tau) \frac{(z - \tau \alpha_1) \cdots (z - \tau \alpha_d)}{(z - \alpha_1) \cdots (z - \alpha_d)}$$

Taking the limit as $z \to \infty$ we see that $c(\tau) = \tau^{w_{\infty}}$. Plugging in z = 0 we get

$$\tau^{w_0} = \tau^{w_\infty} \tau^{\deg F}$$

This proves the identity.

12. Twisting Lemma

Lemma 2. w(I) doesn't change if we replace $\overline{\mathcal{L}}'$ by $\overline{\mathcal{L}}' \otimes (\pi')^* O_{\mathbb{P}^1}(m)$ *Proof.* Note that

$$\deg \pi'_{*}(\mathcal{L}' + K_{\bar{\mathcal{X}}'/\mathbb{P}^{1}} + (\pi')^{*}O_{\mathbb{P}^{1}}(m)) = \deg \left([\pi'_{*}(\mathcal{L}' + K_{\bar{\mathcal{X}}'/\mathbb{P}^{1}})] \otimes O_{\mathbb{P}^{1}}(m)) \right)$$

= $\deg [\pi'_{*}(\mathcal{L}' + K_{\bar{\mathcal{X}}'/\mathbb{P}^{1}})] + m$

On the other hand, if in the integral

$$\frac{1}{c_1(L)^n} \int_{\bar{\mathcal{X}}} c_1(\bar{\mathcal{L}}' + K_{\bar{\mathcal{X}}'/\mathbb{C}}) \wedge c_1(\bar{\mathcal{L}}') \wedge \dots \wedge c_1(\bar{\mathcal{L}}')$$

we replace $c_1(\bar{\mathcal{L}}')$ with $c_1(\bar{\mathcal{L}}') + m(\pi')^* \omega_{FS}$ and $c_1(\bar{\mathcal{L}}' + K_{\bar{\mathcal{X}}'/\mathbb{C}})$ with $c_1(\bar{\mathcal{L}}' + K_{\bar{\mathcal{X}}'/\mathbb{C}}) + m(\pi')^* \omega_{FS}$ and expand, only terms with at most one $m(\pi')^* \omega_{FS}$ will survive. And since $c_1(\bar{\mathcal{L}}' + K_{\bar{\mathcal{X}}'/\mathbb{C}}) = 0$ on a generic fiber, only

$$\frac{1}{c_1(L)^n} \int_{\bar{\mathcal{X}}} m(\pi')^* \omega_{FS} \wedge c_1(\bar{\mathcal{L}}') \wedge \dots \wedge c_1(\bar{\mathcal{L}}') = \frac{1}{c_1(L)^n} m c_1(L)^n = m$$

survives. The two copies of m appear with opposite signs, and thus cancel.

13. Theorem 2 implies Theorem 1

We give a sketch: Let ϕ_0 be a Kähler-Einstein metric and let $\phi = \phi(\mathcal{X}, \mathcal{L})$ the geodesic associated to $(\mathcal{X}, \mathcal{L})$. Then $D_{\omega}(\phi_t)$ is convex (Berndtsson) so (1.1) implies $-D(\mathcal{X}, \mathcal{L}) \geq 0$. If equality holds, we must show there is a biholomorphic map $f : X \approx X_0$.

Since $\rho(\tau) : X \to X_{\tau}$ we might try $f(x) = \lim_{\tau \to 0} \rho(\tau)(x)$. But such an f is usually not continuous, let alone holomorphic. If n = 1 the image of f is typically a finite set of points (we gave an example of this last time).

But if equality holds then $D_{\omega}(\phi_t)$ is linear and hence (Berndtsson) ϕ_t is a smooth geodesic associated to a non-zero holomorphic vector field V. Let $\sigma_V(\tau) : X \to X$ be the 1parameter family of biholomorphic maps (here $\tau \in \mathbb{C}^{\times}$) and define $f : X \to X_0$ by $f(x) = \lim_{\tau \to 0} \rho(\tau)\sigma(\tau)(x)$. We saw that f is onto, holomorphic and generically finite. Moreover, by the result of Li-Xu, we may assume X_0 is normal. Hence, by Zariski's main theorem, f is biholomorphic.

14. Deligne pairing

We want to apply the weight-slope formula to certain hermitian line bundle $(\eta, h_{\eta}) \to \mathbb{C}$ whose weight is $-D(\mathcal{X}, \mathcal{L})$. To explain the construction of η we first recall the concept of Deligne pairing.

Let $\pi : \mathcal{X} \to \mathcal{B}$ be a flat projective morphism between algebraic varieties with \mathcal{B} smooth. Let $\mathcal{L}_0..., \mathcal{L}_n \to \mathcal{X}$ be line bundles equipped with locally bounded hermitian metrics $\phi_0, ..., \phi_n$ (so the curvature of \mathcal{L}_j is $dd^c \phi_j$). Then

$$\langle \mathcal{L}_0, ..., \mathcal{L}_n
angle o \mathcal{B}$$

is a line bundle equipped with the Deligne metric $\Phi_D = \langle \phi_0, ..., \phi_n \rangle$. It satisfies

(1) The curvature of Φ_D is given by the current

$$dd^c \Phi_D = \pi_* (dd^c \phi_0 \wedge \dots \wedge dd^c \phi_n)$$

(2) If ϕ_j, ψ_j are locally bounded metrics on \mathcal{L}_j then

$$\Phi_D - \Psi_D = (n+1)\mathcal{E}(\phi,\psi) = \sum_{j=0}^n \int_{\mathcal{X}/B} (\phi_j - \psi_j) \bigwedge_{k < j} (dd^c \phi_k) \bigwedge_{k > j} (dd^c \psi_k)$$