

## Donaldson's theorems on scalar curvature

§1. Linear Algebra.

### Complex Structures on Vector Spaces.

Let  $V$  be a vector space over  $\mathbb{R}$  of dimension  $2n$ . Then a complex structure on  $V$  is an element  $J \in \text{Aut}(V)$  with the property  $J^2 = -I$ .

Alternatively, a complex structure on  $V$  is a pair  $(\xi, T)$  mod equivalence where  $T$  is a complex vector space of dimension  $n$  and  $\xi : V \rightarrow T$  is an isomorphism of real vector spaces. The equivalence relation is given by  $(\xi, T) \sim (\xi', T')$  if there is an isomorphism of complex vector spaces  $T \rightarrow T'$  which makes the diagram commute.

To see the equivalence of the two definitions, let  $J : V \rightarrow V$  be such that  $J^2 = -I$  and define  $T$  as follows: Then  $J \otimes I$  defines an automorphism of the vector space  $V \otimes \mathbb{C}$ . Let  $T$  be the  $+i$  eigenspace. Then  $\bar{T}$  is the  $-i$  eigenspace. We have

$$V \otimes \mathbb{C} = T \oplus \bar{T}$$

Now  $T$  is a complex vector space and the map  $\xi : V \rightarrow T$  obtained by composing the maps  $V \rightarrow V \otimes \mathbb{C} = T \oplus \bar{T} \rightarrow T$  is an isomorphism of real vector spaces. Conversely, if  $T$  is a complex vector space and if  $\xi : V \rightarrow T$  is an isomorphism of real vector spaces, then  $J = \xi^{-1} \circ \mathbf{i} \circ \xi$  is a complex structure. Here  $\mathbf{i}$  is the map on  $T$  given by multiplication by  $i$ . Note that  $J$  depends only on the equivalence class of  $(\xi, T)$ .

A slight variant is: A complex structure on  $V$  is an equivalence class of isomorphisms  $f : V \rightarrow \mathbb{C}^n$ , where two isomorphisms are equivalent if they differ by an element of  $GL(n, \mathbb{C})$ .

If we fix a basis of  $V$ , we see that a complex structure on  $V$  is a  $2n \times 2n$  matrix  $J$  with the property  $J^2 = -I$ , where  $I$  is the  $2n \times 2n$  identity matrix. Alternatively, a complex structure is an equivalence class of isomorphisms  $f : \mathbb{R}^{2n} \rightarrow \mathbb{C}^n$  of real vector spaces.

Thus we see that  $GL(2n, \mathbb{R})$  operates transitively on the space of complex structures, with stabilizer group  $GL(n, \mathbb{C})$ . So the space of complex structures on  $\mathbb{R}^{2n}$  is just the space  $GL(2n, \mathbb{R})/GL(n, \mathbb{C})$ . Thus, if we let  $\mathcal{J}(V)$  be the space of complex structures on  $V$ , we see that

$$\mathcal{J}(V) \approx GL(2n, \mathbb{R})/GL(n, \mathbb{C}) \tag{1.1}$$

### $\mathcal{J}(V)$ as a complex manifold.

The space  $\mathcal{J}(V)$  is a smooth manifold. In fact, it has a natural structure as a complex manifold. To see this, observe that  $\mathcal{J}(V)$  is the set of equivalence classes of  $n \times 2n$  matrices  $M$  with entries in  $\mathbb{C}$  whose columns form a basis of  $\mathbb{C}^n$  viewed as a vector space over  $\mathbb{R}$ . In

other words,

$$\det \begin{pmatrix} M \\ \bar{M} \end{pmatrix} \neq 0 \quad (1.2)$$

Since such an  $M$  has maximal rank over  $\mathbb{C}$ , at least one of its  $n \times n$  minors has non-zero determinant. Suppose that the first  $n$  columns of  $M$  form a minor of non-zero determinant. Then equivalence class of  $M$  has a unique representative of the form  $(I, Z)$  where  $Z$ , according to (1.2), is an  $n \times n$  matrix such that  $Im(Z)$  is non-singular. Such  $Z$  form an open subset of  $M_{n \times n}(\mathbb{C})$ . Since  $M$  is covered by a finite number of such open sets, with holomorphic transitions, we see that  $\mathcal{J}$  is a complex manifold of dimension  $n^2$ .

### Symplectic structures on vector spaces.

A symplectic form on  $V$  is a non-degenerate alternating form  $\omega : V \times V \rightarrow \mathbb{R}$ . In other words, a symplectic form is an element  $\omega \in \Lambda^2 V^*$  which is non-degenerate.

If  $V = \mathbb{R}^{2n}$  then a symplectic form is a non-singular  $2n \times 2n$  matrix  $\omega$  such that  ${}^t\omega = -\omega$ . The standard symplectic form on  $\mathbb{R}^{2n}$  is  $\omega = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ . Since  $GL(2n, \mathbb{R})$  acts transitively on the set of symplectic forms with stabilizer  $Sp(2n, \mathbb{R})$ , we see that the set of symplectic structures on  $\mathbb{R}^{2n}$  is  $GL(2n, \mathbb{R})/Sp(2n, \mathbb{R})$ . Thus, if we let  $\mathcal{S}(V)$  be the space of symplectic structures on  $V$ , we see that

$$\mathcal{S}(V) \approx GL(2n, \mathbb{R})/Sp(2n, \mathbb{R}) \quad (1.3)$$

### $\mathcal{S}(V)$ as a symplectic manifold.

The space  $\mathcal{S}(V)$  is a smooth manifold with a natural symplectic structure. If  $\omega \in \mathcal{S}(V)$  then  $\omega + \eta \in \mathcal{S}(V)$  for sufficiently small  $\eta \in T_\omega(\mathcal{S}(V)) = \Lambda^2(V^*)$ . This shows that  $\mathcal{S}(V)$  is a manifold of dimension  $\binom{n}{2}$ .

If  $\eta_1, \eta_2 \in T_\omega(\mathcal{S}(V))$  then define

$$\Omega(\eta_1, \eta_2) = Tr(\eta_1 \omega \eta_2)$$

Then  $\Omega$  is a non-degenerate closed 2-form on  $\mathcal{S}(V)$ .

### Complex structures compatible with symplectic forms.

Let  $V$  be a finite dimensional vector space over  $\mathbb{R}$  and fix  $\omega$ , a symplectic form on  $V$ . We say that a complex structure  $J$  is compatible with  $\omega$  if  $\omega(Ju, Jv) = \omega(u, v)$  and if  $\omega(u, Ju) > 0$  if  $u \neq 0$ . Let  $\mathcal{J}_\omega(V) \subseteq \mathcal{J}(V)$  be the set of complex structures on  $V$  compatible with  $\omega$ . We have seen that  $\mathcal{J}(V)$  is a complex manifold, which is covered by coordinate neighborhoods  $\mathcal{J}(V)_i$ , with  $1 \leq i \leq \binom{2n}{n}$  where

$$\mathcal{J}(V)_i = \{Z \in M_{n \times n}(\mathbb{C}) : \det(Im(Z)) \neq 0\}$$

Let  $\mathcal{J}_{\omega,i} = \mathcal{J}_i \cap \mathcal{J}_\omega$ .

**Claim.**  $\mathcal{J}_\omega$  is a complex submanifold of  $\mathcal{J}$ . Moreover, for every  $i$ ,

$$\mathcal{J}_\omega = \mathcal{J}_{\omega,i} = \{Z \in M_{n \times n}(\mathbb{C}) : Z = {}^t Z, \text{Im}(Z) > 0\} = Sp(2n, \mathbb{R})/U(n)$$

In other words,  $\mathcal{J}_\omega$  is the Siegel upper half plane of genus  $n$ , and the natural action of  $Sp(2n; \mathbb{R})$  on  $\mathcal{J}_\omega$  is the standard Möbius action: If  $\gamma = \begin{pmatrix} A & B \\ C & D \end{pmatrix} \in Sp(2n, \mathbb{R})$  and if  $Z$  is a point in the upper half plane then

$$\gamma(Z) = (AZ + B)(CZ + D)^{-1}$$

*Proof.* A complex structure  $\xi : V \rightarrow \mathbb{C}^n$  is compatible with  $\omega$  if and only if  $\nu = \xi_*\omega$  (which is a symplectic form on the real vector space  $\mathbb{C}^n$ ) has the property:  $\nu(iz, iw) = \nu(z, w)$  for all  $z, w \in \mathbb{C}^n$ . In other words,  $\nu(z, w) = \text{Im}(\langle z, w \rangle_\xi)$  where  $\langle z, w \rangle_\xi$  is the hermitian metric on  $\mathbb{C}^n$  given by the formula:

$$\langle z, w \rangle_\xi = \nu(z, iw) + i\nu(z, w) \quad (1.4)$$

(Our convention for the definition of a hermitian pairing is:  $\langle z, aw \rangle = a\langle z, w \rangle$  and  $\langle az, w \rangle = \bar{a}\langle z, w \rangle$  for all  $a \in \mathbb{C}$ ). Since any two hermitian metrics on  $\mathbb{C}^n$  are equivalent under the action of  $GL(n, \mathbb{C})$ , we see that inside the equivalence class of  $\xi$  there is a representative (which we also call  $\xi$ ) with the property  $\langle \cdot, \cdot \rangle_\xi = \langle \cdot, \cdot \rangle$  where  $\langle \cdot, \cdot \rangle$  is the standard hermitian pairing on  $\mathbb{C}^n$ :  $\langle z, w \rangle = {}^t \bar{z}w$ . This representative is unique up to  $U(n)$ , the symmetry group of the form  $\langle \cdot, \cdot \rangle$ . Thus the set of complex structures compatible with  $\omega$  is the set of  $U(n)$  equivalence classes of isomorphisms  $\xi : V \rightarrow \mathbb{C}^n$  with the property  $\omega = \xi^*\nu$  where  $\nu = \text{Im}(\langle \cdot, \cdot \rangle)$ . Fix one such  $\xi$ . Then any other  $\xi$  must be of the form  $\eta = \xi \circ f$  where  $f \in GL(V)$ . But the condition  $\eta^*\nu = \omega$  implies,  $f^*\omega = \omega$  which means that  $f \in Sp(V, \omega)$ . This shows that  $\mathcal{J}_\omega = Sp(2n, \mathbb{R})/U(n)$ . In other words the inclusion  $\mathcal{J}_\omega(V) \hookrightarrow \mathcal{J}(V)$  is equivalent to the inclusion

$$Sp(2n, \mathbb{R})/U(n) \hookrightarrow GL(2n, \mathbb{R})/GL(n, \mathbb{C}) \quad (1.5)$$

(thus we've proved that  $U(n) = Sp(2n, \mathbb{R}) \cap GL(n, \mathbb{C})$ ). The rest of the claim follows by simple calculation.

## §2. The groups, the manifolds, and the actions.

We digress for a moment to discuss the definition of an infinite dimensional manifold. We start with the two basic examples:

Let  $M$  be a smooth manifold, and let  $N$  be a smooth manifold. Let  $C^\infty(M, N)$  be the set of smooth maps from  $M$  to  $N$ . Then  $C^\infty(M, N)$  is an example of an infinite dimensional manifold (which is actually finite dimensional when  $M$  is a finite collection of points). If  $f \in C^\infty(M, N)$  then the tangent space at  $f$  is defined as  $T_f(C^\infty(M, N)) = \Gamma(f^*TN)$ . Thus an element in the tangent space assigns, in a smooth fashion, to each point  $x \in M$  a tangent vector at the point  $f(x) \in N$ .

To give an example of an infinite dimensional complex manifold, we again let  $M$  be a smooth manifold and  $N$  a complex manifold. Then  $C^\infty(M, N)$  is a complex manifold. The complex structure on the tangent space  $T_f$  is defined to be  $f^*J$ , where  $J : TN \rightarrow TN$  is the complex structure on  $N$ .

Now we describe a more general class of examples: The category of infinite dimensional manifolds based on a given finite dimensional manifold  $M$  contains the category of fiber bundles over  $M$ . Recall that a fiber bundle over  $M$  is a manifold  $F$  and a map  $F \rightarrow M$  with the property that locally,  $F = U_\alpha \times F_o$  where  $F_o$  is a fixed smooth manifold. We require that on the overlaps, the transition functions  $\phi_{\alpha\beta}(x)$  are diffeomorphisms of  $F_o$  which vary smoothly with  $x$ . Then the infinite dimensional manifold associated to  $F \rightarrow M$  is the space  $\Gamma(F/M)$  of smooth sections  $s : M \rightarrow F$ . The tangent space at  $s$  is defined to be  $T_s(\Gamma(F/M)) = \Gamma(s^*(TF^v))$ , where  $TF^v \subseteq TF$  is the subsheaf consisting of “vertical vectors”, that is,  $TF^v$  is the kernel of the map  $TF \rightarrow TM$ . Thus a tangent vector at  $s$  assigns to every  $x \in M$  a vector tangent to the fiber  $F_x$  at the point  $s(x) \in F_x \subseteq F$ .

Similarly we can define the complex manifold associated to a fiber bundle, is similar, but we require that  $F_o$  be a complex manifold and that the transition functions  $\phi_{\alpha\beta}(x)$  be biholomorphic maps which vary smoothly with  $x$ .

Now maps between fiber bundles over  $M$  give rise to maps between corresponding manifolds in the obvious way. Embeddings  $F \hookrightarrow F'$  correspond to submanifolds.

The simplest examples come from the case where  $F_o$  is a vector space, in other words, if  $F$  is a vector bundle. The associated manifold is the space of smooth sections. These manifolds are affine: This means that all the tangent spaces are canonically identified with the manifold itself.

The general definition of a manifold based on a given  $M$  is similar to the usual definition of a finite dimensional manifold: It's a topological space  $\mathcal{F}$  which is covered by “Euclidean balls over  $M$ ”: A Euclidean ball is a set of the form  $\{s \in \Gamma(E) : \|s - s_0\|_{C^\infty} < r\}$ , where  $E$  is a smooth vector bundle on  $M$  endowed with a connection  $\nabla$ ,  $s_0 \in \Gamma(E)$  is a fixed smooth section,  $r$  is a positive number, and the norm is the  $C^\infty$  norm defined by  $\nabla$ .

Next we define the relevant infinite dimensional Lie groups and the infinite dimensional manifolds upon which they act, and we calculate the infinitesimal actions of the Lie algebras.

### The groups Diff and Sym.

Let  $M$  be a smooth manifold. Then  $Diff(M)$  is the group of diffeomorphisms of  $M$ . We have  $Lie(Diff(M)) = Vect(M)$ , the space of smooth vector fields on  $M$ .

Now let  $\omega$  be a symplectic form on  $M$ . We define  $Sym(M, \omega) \subseteq Diff(M)$  to be the group of exact symplectomorphisms of  $(M, \omega)$ . Then

$$Lie(Sym(M, \omega)) = C^\infty(M)/\mathbb{R}$$

The Lie algebra imbedding  $C^\infty(M)/\mathbb{R} = Lie(Sym(M, \omega)) \hookrightarrow Lie(Diff(M)) = Vect(M)$  is given by  $f \mapsto X_f$ , where  $X_f$  is the symplectic gradient of  $f$ . In other words,

$$X_f^j = f_i \omega^{ij} \quad (2.1)$$

In other words,  $df = i_{X_f} \omega$ . The Lie algebra structure on  $C^\infty(M)/\mathbb{R}$  is given by the Poisson bracket: If  $f, g \in C^\infty(M)/\mathbb{R}$  then  $\{f, g\} = \omega(X_f, X_g)$

**The manifolds  $Aut, \mathcal{J}, \mathcal{S}, \mathcal{J}_\omega, \mathcal{C}$  and  $\mathcal{K}$ .**

Define

$$Aut = \{J : TM \rightarrow TM \mid J \text{ is a bundle automorphism}\}$$

$$\mathcal{J} = \{J : Aut \mid J^2 = -I\}$$

$$\mathcal{S} = \{\omega : TM \otimes TM \rightarrow C^\infty \mid \omega \text{ is a symplectic form}\}$$

$$\mathcal{C} = \{(J, \omega) \in \mathcal{J} \times \mathcal{S} : \omega(Ju, Jv) = \omega(u, v), \omega(u, Ju) > 0 \text{ for } u \neq 0\}$$

and for a fixed symplectic form  $\omega$ ,

$$\mathcal{J}_\omega = \{J \in \mathcal{J} : (J, \omega) \in \mathcal{C}\}$$

For  $(J, \omega) \in \mathcal{C}$  we define  $g_{(J, \omega)}(u, v) = \omega(u, Jv)$  which is a Riemannian metric on  $M$ , and we let  $\nabla_{(J, \omega)}$  be the corresponding Levi-Civita connection. Then define the space of Kahler structures on  $M$  as follows:

$$\mathcal{K} = \{(J, \omega) \in \mathcal{C} : \nabla J = 0\}$$

Finally we define  $\mathcal{J}_{int} \subseteq \mathcal{J}$  as follows:

$$\mathcal{J}_{int} = \{J \in \mathcal{J} : N(J) = 0\}$$

where  $N$  is the Nijenhuis tensor. Recall that if  $(J, \omega) \in \chi\mathcal{C}$  with  $J \in \mathcal{J}_{int}$  then  $(J, \omega) \in \mathcal{K}$ .

The discussion in §1 shows that  $\mathcal{J}, \mathcal{S}$  and  $\mathcal{J}_\omega$  are all infinite dimensional manifolds which are associated to various fiber bundles. For example,  $\mathcal{J}$  is the set of all sections of a certain  $GL(2n, \mathbb{R})/GL(n, \mathbb{C})$  bundle over  $M$ . In a similar way,  $\mathcal{S}$  is associated to a  $GL(2n, \mathbb{R})/Sp(2n, \mathbb{R})$  bundle and  $\mathcal{J}_\omega$  to a  $Sp(2n, \mathbb{R})/U(n)$  bundle.

Since  $Sp(2n, \mathbb{R})/U(n)$  is the Siegel upper half plane, which has a complex structure, we see that  $\mathcal{J}$  is a complex manifold. The submanifolds  $\mathcal{J}_{int}$  and  $\mathcal{K}$  are defined by smooth first order (non-linear) differential equations. They are not associated to a fiber bundle and are thus more difficult to understand.

**The tangent spaces.**

The tangent spaces can be described as follows:

$$T_J(Aut) = \{A : TM \rightarrow TM : A \text{ is a bundle map}\} = End(TM)$$

$$T_J(\mathcal{J}) = \{A \in End(TM) : JA + AJ = 0\}$$

$$T_\omega(\mathcal{S}) = \{\eta : TM^* \otimes TM^* \rightarrow C^\infty : \eta(u, v) = -\eta(v, u)\}$$

$$T_{(J, \omega)}(\mathcal{C}) = \{(A, \eta) : \omega(JAu, v) + \omega(u, JAv) = \eta(Ju, Jv) - \eta(u, v)\}$$

$$T_J(\mathcal{J}_\omega) = \{A \in T_J(\mathcal{J}) : \omega(JAu, v) + \omega(u, JAv) = 0\}$$

## Complex Coordinates

If  $J \in \mathcal{J}$  then we can decompose  $TM \otimes \mathbb{C} = T \oplus \bar{T}$ , where  $T$  is the  $i$  eigenspace of  $J$  and  $\bar{T}$  the  $-i$  eigenspace. Using this decomposition, one can give a simpler description of the tangent spaces above. For example, if  $A \in T_J(\mathcal{A}ut)$  then we can write

$$A = \begin{pmatrix} A_j^i & A_j^{\bar{i}} \\ A_j^{\bar{i}} & A_j^i \end{pmatrix}, \quad \overline{A_j^i} = A_j^{\bar{i}}, \quad \overline{A_j^{\bar{i}}} = A_j^i \quad (2.2)$$

with  $A_j^i \in Hom(T, T)$ ,  $A_j^{\bar{i}} \in Hom(\bar{T}, T)$ , etc. An element  $A \in T_J(\mathcal{A}ut)$  is in  $T_J(\mathcal{J})$  if and only if  $A_j^i = A_j^{\bar{i}} = 0$ . Thus we have an isomorphism  $\mu : T_J(\mathcal{J}) \rightarrow \Gamma(T \otimes \bar{T}^*)$  which we normalize as follows:

$$\mu(A) = \frac{\sqrt{-1}}{2} \cdot A_j^i \quad (2.3)$$

Since  $\Gamma(T \otimes \bar{T}^*)$  is a complex vector space, we see that  $\mathcal{J}$  has a complex structure.

If  $(J, \omega) \in \mathcal{C}$  then, with respect to the eigenspace decomposition  $TM \otimes \mathbb{C} = T \oplus \bar{T}$ ,

$$J = \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}, \quad \text{and } \omega = \begin{pmatrix} 0 & \omega_{i\bar{j}} \\ \omega_{\bar{i}j} & 0 \end{pmatrix}, \quad \overline{\omega_{i\bar{j}}} = \omega_{\bar{i}j}, \quad \omega_{i\bar{j}} = -\omega_{j\bar{i}}$$

Thus if  $J \in \mathcal{J}_\omega$  we see that

$$T_J(\mathcal{J}_\omega) = \{\mu_j^i \in T_J(\mathcal{J}) : \omega_{\bar{i}k} \mu_j^k = \omega_{\bar{j}k} \mu_i^k\}$$

Thus, if we let  $S^2(\bar{T}^*)$  be the set of symmetric tensors in  $\Gamma(\bar{T}^* \otimes \bar{T}^*)$  we have an isomorphism

$$s : T_J(\mathcal{J}_\omega) \rightarrow S^2(\bar{T}^*)$$

given by  $\mu_j^i \mapsto s_{\bar{i}\bar{j}} = \omega_{\bar{i}k} \mu_j^k$ . Again, since  $S^2(\bar{T}^*)$  is a complex vector space,  $\mathcal{J}_\omega$  has a complex structure.

Finally, the symplectic gradient (2.1) can also be written in complex coordinates: For a smooth function  $f \in C^\infty(M)/\mathbb{R}$  we have  $X_f = \xi_f + \bar{\xi}_f$  where  $\xi_f \in \Gamma(T)$  is given by

$$\xi_f^i = f_{\bar{j}} \omega^{\bar{j}i} \quad (2.4)$$

## The actions.

Now we define the actions of  $Diff(M)$  on  $\mathcal{J}$  and on  $\mathcal{S}$ : If  $\phi \in Diff(M)$  and  $J \in \mathcal{J}$ , then  $\phi \cdot J = D\phi \circ J \circ D\phi^{-1}$ , where  $D\phi : TM \rightarrow TM$  is the derivative of  $\phi$ . If  $\omega \in \mathcal{S}$  then we define  $\phi \cdot \omega = \phi^* \omega$ . These actions induce actions on  $\mathcal{C}$  and  $\mathcal{K}$  and  $\mathcal{J}_{int}$ . Note however that the manifold  $\mathcal{J}_\omega$  is invariant under  $Sym(M, \omega)$  but not under  $Diff(M)$ .

Now we calculate the infinitesimal action of  $Diff(M)$  on  $\mathcal{A}ut$  and on  $\mathcal{S}$ . If  $v \in Vect(M) = Lie(Diff(M))$ , and if  $J \in \mathcal{A}ut$ , we have

$$v \cdot J = \mathcal{L}_v J \in T_J(\mathcal{J}) \quad (2.5)$$

where  $\mathcal{L}_v$  is the Lie derivative (this is essentially the definition of the Lie derivative). Note that if  $J \in \mathcal{J}$  then  $J^2 = -1$  so we have  $AJ + JA = 0$  where  $A = \mathcal{L}_v J$ . In other words,  $\mathcal{L}_v J \in T_J(\mathcal{J})$  as expected.

Next we re-write (2.5) in terms of coordinates: Let  $v$  be a smooth vector field let  $\phi_{tv}$  be the 1-parameter family of diffeomorphisms associated to  $v$ . Then by definition,

$$v \cdot J = \left. \frac{d}{dt} \right|_{t=0} D\phi_{tv} \circ J(\phi_{tv}(x)) \circ D\phi_{tv}^{-1} =$$

$$\left. \frac{d}{dt} \right|_{t=0} (\delta_p^i + tv_p^i) \left( J_k^p + tJ_{k;l}^p v^l \right) (\delta_j^k - tv_j^k) = (v_p^i J_j^p - J_p^i v_j^p) + J_{j;l}^i v^l$$

Now suppose that  $\nabla$  is a connection on  $TM$  with the property:  $\nabla J = 0$  (this is the case, for example, when  $M$  is Kähler). Choosing normal coordinates:

$$(v \cdot J)_j^i = v_p^i J_j^p - J_p^i v_j^p \quad (2.6)$$

Since the right side of (2.6) is a tensor, we see that (2.6) holds in any coordinate system, where, as usual,  $v_j^i$  denotes covariant differentiation.

Equation (2.6) takes a particularly simple form if  $J \in \mathcal{J}$ :

$$\mu(v \cdot J) = \bar{\partial}v \quad (2.7)$$

In other words, if we identify  $T_J(\mathcal{J})$  with  $\Gamma(T \otimes \bar{T}^*)$ , we obtain:

$$(v \cdot J)_j^i = v_k^i \quad (2.8)$$

Again (2.7) and (2.8) hold when  $J \in \mathcal{J}$  and when  $\nabla J = 0$ . For example, they hold when  $J \in \mathcal{J}_{int}$  is compatible with a symplectic form  $\omega$ .

To prove (2.6) and (2.7), we simply calculate the right side of (2.6):

$$\begin{pmatrix} v_j^i & v_{\bar{j}}^i \\ v_{\bar{j}}^i & v_j^i \end{pmatrix} \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} - \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix} \begin{pmatrix} v_j^i & v_{\bar{j}}^i \\ v_{\bar{j}}^i & v_j^i \end{pmatrix} = \begin{pmatrix} 0 & -2\frac{\sqrt{-1}}{2}v_j^i \\ 2\frac{\sqrt{-1}}{2}v_{\bar{j}}^i & 0 \end{pmatrix}$$

Applying (2.3) we get (2.8).

### §3. The lifted groups, manifolds and actions.

Let  $M$  be a compact manifold and let  $\pi : L \rightarrow M$  be a complex line bundle on  $M$ . Let  $\mathcal{A}ut(M)$  be the diffeomorphism group of  $M$ . Define  $\mathcal{A}ut(M, L)$  to be the group of bundle automorphisms of  $L$ : Thus  $\mathcal{A}ut(M, L)$  consists of all pairs  $\mathcal{F} = (F, \tilde{F})$  where  $F : M \rightarrow M$  and  $\tilde{F} : L \rightarrow L$  are diffeomorphisms with the properties:  $\pi\tilde{F} = F\pi$  and  $\tilde{F}(\lambda x) = \lambda F(x)$  for all  $\lambda \in \mathbb{C}$  and all  $x \in L$ . Clearly the map  $\mathcal{A}ut(M, L) \rightarrow \mathcal{A}ut(M)$  mapping  $(F, \tilde{F}) \mapsto F$  is a homomorphism. It's image is the group  $\mathcal{A}ut_0(M)$  consisting off all diffeomorphisms such that  $F^*c_1(L) = c_1(L)$ .



The Lie algebra of  $\text{Aut}(M)$  (and of  $\text{Aut}_0(M)$ ) is the space  $\text{Vect}(M)$  consisting of all smooth vector fields on  $M$ . The Lie algebra of  $\text{Aut}(M, L)$  is given by the space of vector fields on  $L^\times$  (the complement of the zero section in  $L$ ) which are  $\mathbb{C}^\times$  invariant. If we choose on  $L$  a hermitian metric  $h$  and a unitary connection  $A$ , then we can describe the Lie algebra in a very convenient fashion:

$$\text{Lie}(\mathcal{A}ut(M, L)) = \{(\tilde{X} + \psi \mathbf{t}) : X \in \text{Vect}(M), \psi \in C^\infty(M, \mathbb{C})\}$$

where  $\tilde{X}$  is the horizontal lift of the vector field  $X$ ,  $\mathbf{t}$  is the vector field on  $L^\times$  generated by the infinitesimal action of  $U(1)$  and  $C^\infty(M, \mathbb{C})$  is the space of complex valued smooth functions on  $M$ .

Now fix  $(M, \omega)$ , a compact symplectic manifold, and  $(L, h, A)$ , a complex vector bundle with hermitian metric and unitary connection, satisfying the following curvature property:

$$F_A = -i\omega \tag{3.1}$$

We let  $\mathcal{G}_0 = \mathcal{A}ut(M, \omega)$  be the group of exact symplectomorphisms of  $M$ . Recall that the Hamiltonian construction gives an isomorphism  $\text{Lie}(\mathcal{A}ut(M, \omega)) = C^\infty(M)/\mathbb{R}$ . We now define  $\mathcal{G} = \mathcal{A}ut((M, \omega), (L, A, \omega))$  to be the subgroup of  $\mathcal{A}ut(M, L)$  which preserve  $h$  and  $A$ . Thus an element  $\mathcal{F} = (F, \tilde{F}) \in \mathcal{A}ut(M, L)$  is in  $\mathcal{A}ut((M, \omega), (L, A, \omega))$  if  $|F(x)|_h = |x|_h$  for all  $x \in L$  and if  $\tilde{F}^*A = A$ : Recall that if  $F : N \rightarrow M$  is a smooth map, and  $E \rightarrow M$  a vector bundle with connection  $A$ , the  $F^*E$  is a vector bundle on  $N$  with connection  $F^*A$ . There are various (equivalent) ways of defining  $F^*A$ : in local coordinates,  $A = (A_{ij})$  is a matrix of one forms on  $M$ . Then  $F^*A = (F^*A)_{ij}$  is a matrix of one forms on  $N$  defined by  $(F^*A)_{ij} = F^*(A_{ij})$ . Thus  $F^*A$  is characterized by the formula:

$$\nabla_{(F^*A)}(F^*s) = F^*(\nabla_A s)$$

for every section  $s$  of  $E$ . More geometrically, we can view the pullback of a connection via holonomy maps as follows: The connection  $A$  assigns to each path  $\gamma$  in  $M$  an isomorphism:  $A_\gamma : L_{\gamma(0)} \rightarrow L_{\gamma(1)}$ . If  $\sigma$  is a path on  $N$ , define  $(F^*A)_\sigma : (F^*L)_{\sigma(0)} \rightarrow (F^*L)_{\sigma(1)}$  by the formula  $(F^*A)_\sigma = A_{F\sigma}$ , where we make the canonical identification  $(F^*L)_{\sigma(t)} = L_{F\sigma(t)}$ . These holonomy isomorphisms determine  $F^*A$ .

Now we calculate the lie algebra  $\text{Lie}(\mathcal{G})$ : Since  $\mathcal{G} \subseteq \mathcal{A}ut(M, L)$ , every element in  $\mathcal{G}$  must be of the form  $V = \tilde{X} + \psi \mathbf{t}$  for some  $X \in \text{Vect}(M)$  and some  $\psi \in C^\infty(M, \mathbb{C})$ . Then  $V \in \text{Lie}(\mathcal{G})$  if and only if  $\mathcal{L}_V(|x|_h) = 0$  and  $\mathcal{L}_V A = 0$ , where  $\mathcal{L}$  is the Lie derivative. The infinitesimal action of  $V$  on the metric is given by

$$\mathcal{L}_V(|x|_h) = \left. \frac{d}{dt} \right|_{t=0} |\exp(it\psi)x|_h = -\text{Im}(\psi)|x|_h$$

Since the elements in  $\mathcal{G}$  are required to preserve the metric, we must have  $\text{Im}(\psi) = 0$ , that is,  $\psi = f \in C^\infty(M, \mathbb{R})$ , a real valued function.

Next we calculate the infinitesimal action of  $V$  on the connection: We view  $A$  as a one-form on  $L(1)$  (the elements of  $L$  of norm one) with values in  $i\mathbb{R}$  (the lie algebra of  $U(1)$ ). Then

$$(\mathcal{L}_V A)(Z) = V(A(Z)) - A([V, Z]) = 0 \quad (3.2)$$

for all  $Z = \tilde{Y} + g\mathbf{t}$ , where  $Y \in Vect(M)$  and  $g \in \mathbb{C}^\infty(M)$ , where  $\mathcal{L}$  is the lie derivative acting on one-forms. Now  $A(Z) = A(\tilde{Y} + g\mathbf{t}) = g$  since  $A$  kills horizontal vectors and  $A(\mathbf{t}) = 1$ . Thus  $V(A(Z)) = V(g) = (\tilde{X} + f\mathbf{t})(g) = X(g)$  since  $g$  is constant on fibers and is thus killed by  $\mathbf{t}$ . Thus (3.2) becomes

$$X(g) = A([V, Z])$$

for all  $g$  and all  $Y$ . Now

$$[V, Z] = [\tilde{X} + f\mathbf{t}, \tilde{Y} + g\mathbf{t}] = [\tilde{X}, \tilde{Y}] + [f\mathbf{t}, \tilde{Y}] + [\tilde{X}, g\mathbf{t}] + [f\mathbf{t}, g\mathbf{t}]$$

Now

$$[\tilde{X}, \tilde{Y}] = \widetilde{[X, Y]} + iF_A(X, Y)\mathbf{t}$$

using the definition of curvature. But we are assuming that  $F_A = -i\omega$ . Thus we obtain  $A([\tilde{X}, \tilde{Y}]) = \omega(X, Y)$ . Now

$$[\tilde{X}, g\mathbf{t}] = \mathcal{L}_{\tilde{X}}g\mathbf{t} = X(g)\mathbf{t} + \mathcal{L}_{\tilde{X}}\mathbf{t} = X(g)\mathbf{t}$$

and

$$[f\mathbf{t}, g\mathbf{t}] = \mathcal{L}_{f\mathbf{t}}(g\mathbf{t}) = f(\mathcal{L}_{\mathbf{t}}g) \cdot \mathbf{t} + fg\mathcal{L}_{\mathbf{t}}\mathbf{t} = 0 + 0 = 0$$

Thus

$$X(g) = A([V, Z]) = \omega(X, Y) + X(g) - Y(f)$$

which implies  $\omega(X, Y) = Y(f) = df(Y)$  for all  $Y$ , in other words,  $X = X_f$ . Thus, we see that

$$Lie(\mathcal{G}) = \{\tilde{X}_f + f\mathbf{t} : f \in C^\infty(M)\} \approx C^\infty(M)$$

where the isomorphism is an isomorphism of Lie algebras (where  $C^\infty(M)$  has the lie algebra structure given by the Poisson bracket). The map  $\mathcal{G} \rightarrow \mathcal{G}_0$  induces a map on Lie algebras  $C^\infty(M) \rightarrow C^\infty(M)/\mathbb{R}$  which is just the canonical quotient map.

#### §4. The mirror principle.

As before, we let  $\mathcal{H} = \{(\underline{s}, I) : \underline{s} \text{ is a basis of } H^0(\mathcal{L}_I^k)\}$  and we define, for  $a > 0$ ,

$$\mu_a = \{(\underline{s}, I) \in \mathcal{H} : \sum_{\alpha=0}^N |s_\alpha|_{h_0}^2 = a\}$$

We wish to show:

$$\mu_a/\mathcal{G} = \mathcal{H}/\mathcal{G}^c \quad (4.1)$$

To do this, we first prove the following:

**Lemma (mirror principle).** *Fix  $(\underline{s}, I) \in \mathcal{H}$ . There is a natural diffeomorphism of infinite dimensional manifolds:*

$$\mathcal{G}^c(\underline{s}, I)/\mathcal{G} \approx \text{Herm}(\mathcal{L}_I) \quad (4.2)$$

where  $\text{Herm}(\mathcal{L}_I) = \{h : \mathcal{L}_I \rightarrow \mathbb{R} : h \text{ is a hermitian metric with positive curvature} \}$

*Proof.* We first define a map  $\mathcal{G}^c(\underline{s}, I) \rightarrow \text{Herm}(\mathcal{L}_I)$  as follows: Let  $(\underline{s}', I') \in \mathcal{G}^c(\underline{s}, I)$  and choose  $\mathcal{F} \in \text{Aut}(M, L)$  such that  $\mathcal{F}(\underline{s}', I') = (\underline{s}, I)$ . Thus  $\mathcal{F} = (F, \tilde{F})$ , where the map  $F : (M, I) \rightarrow (M, I')$  is biholomorphic,  $\tilde{F} : \mathcal{L}_I \rightarrow \mathcal{L}_{I'}$  is a holomorphic isomorphism of line bundles, and  $\underline{s} = \tilde{F}^{-1} \underline{s}' F$ .

The choice of  $\mathcal{F}$  is unique, since if  $(\underline{s}, I) = (\underline{s}', I')$  then  $\mathcal{F}(F, \tilde{F})$  has the property:  $F$  is a holomorphic automorphism of  $(M, I)$  such that  $\tilde{F} \underline{s} = sF$ . Evaluating at any  $x \in M$ :  $\tilde{F}_x(\underline{s}(x)) = \underline{s}(F(x))$ . But  $\tilde{F}_x$  is a non-zero complex number, and thus  $\underline{s}(x)$  and  $\underline{s}(F(x))$  define the same point in projective space. But we are assuming that  $\underline{s}$  provides an embedding of  $M$  into projective space. Thus  $x = F(x)$  and  $F$  is the identity. Thus  $\underline{s}(x) = \tilde{F}_x \cdot \underline{s}(x)$ . Since at least one of the elements in the basis  $\underline{s}$  is non-zero, we see that  $\tilde{F}_x = 1$ . This shows that  $\mathcal{F}$  is unique.

Now define  $h = \mathcal{F}(h_0)$ , that is,  $h = h_0 \circ \tilde{F}$ . Then  $R(h) = F^*(R(h_0)) = F^*(\omega)$ . Since  $I'$  is compatible with  $\omega$ , we have that  $I = \mathcal{F}(I')$  is compatible with  $F^*(\omega)$ . In other words,  $F^*(\omega)$  is a positive  $(1, 1)$  form, and thus  $R(h)$  is positive, that is,  $h = h(\underline{s}', I') \in \text{Herm}(\mathcal{L}_I)$ .

Note that  $h(s', I') = h(s'', I'')$  if  $(s', I') = \mathcal{F}(s'', I'')$  for some  $\mathcal{F} \in \mathcal{G}$ . We claim the converse is true as well: Assume  $h(s', I') = h(s'', I'')$ . Then there is an  $\mathcal{F} \in \text{Aut}(M, L)$  such that  $\mathcal{F}(s', I') = (s'', I'')$  and such that  $\mathcal{F}(h_0) = h_0$ . Now consider the two connections:  $A$  and  $\mathcal{F}^*A$ . The complex structure on  $\mathcal{L}_{I'}$  is compatible with both, and the metric  $h_0$  is compatible with both. Since the connection compatible with the metric and the complex structure is uniquely determined, we conclude that  $A = \mathcal{F}^*(A)$ , and thus  $\mathcal{F} \in \mathcal{G}$ .

We now see that the map  $h : \mathcal{G}^c(\underline{s}, I)/\mathcal{G} \rightarrow \text{Herm}(\mathcal{L}_I)$  is well defined and injective. It remains to prove that it is surjective. So let  $h \in \text{Herm}(\mathcal{L}_I)$ . Then  $R(h) = \omega + i\partial\bar{\partial}\phi > 0$ . By Moser's lemma, there is  $F : M \rightarrow M$  such that  $F^*(\omega) = \omega + i\partial\bar{\partial}\phi$ . This shows that  $c_1(F^*L) = c_1(L)$ , so there is a diffeomorphism  $(G, \tilde{G}) : (M, F^*L) \rightarrow (M, L)$  where  $G$  is the identity. Thus there exists  $\tilde{F}$  such that  $\mathcal{F} = (F, \tilde{F}) \in \text{Aut}(M, L)$ . Now the curvature of  $\mathcal{F}(h_0)$  is  $\omega + i\partial\bar{\partial}\phi$  and thus  $\mathcal{F}(h_0) = ah$  for some  $a > 0$ . Replacing  $\tilde{F}$  by  $a^{-1}\tilde{F}$ , we conclude  $\mathcal{F}(h_0) = h$ , and thus our map is surjective.

Now we establish (4.1): Note that for every  $(s', I') \in \mathcal{G}^c(s, I)$  we have

$$\sum_{\alpha} |s'_{\alpha}|_{h_0}^2 = \sum_{\alpha} |s_{\alpha}|_h^2$$

where  $h = h(s', I')$ . Thus there exists  $(s', I') \in \mathcal{G}^c(s, I)$  with the property  $\sum_{\alpha} |s'_{\alpha}|_{h_0}^2 = a$  if and only if there exists  $h \in \text{Herm}(\mathcal{L}_I)$  with the property

$$\sum_{\alpha} |s_{\alpha}|_h^2 = a \tag{4.3}$$

Now for a fixed  $(s, I) \in \mathcal{H}$  there is clearly a unique  $h$  satisfying (4.3), namely:

$$h = a \cdot \frac{h_0}{\sum_{\alpha} |s_{\alpha}|_{h_0}^2}$$

Thus, by the mirror lemma, for a fixed  $(s, I) \in \mathcal{H}$  there is, up to the action of  $\mathcal{G}$ , a unique  $(s', I') \in \mathcal{G}^c(s, I)$  such that  $(s', I') \in \mu_a$ .

### §5. Moment maps: Uniqueness and existence of zeros.

Let  $G$  be a compact Lie group acting on a Kahler manifold  $(Z, \omega, I)$ . Let  $h$  be a invariant inner product on  $Lie(G)$  (which always exists when  $G$  is compact) and let  $\nu : Z \rightarrow Lie(G)$  be a moment map for the action of  $G$ , where we identify  $Lie(G)$  with  $Lie(G)^*$  using  $h$ .

For  $z \in Z$ , let  $\sigma_z : Lie(G) \rightarrow TZ_z$  be the infinitesimal action:  $\sigma_z(\xi) = \frac{d}{dt}|_{t=0} \exp(t\xi) \cdot z$ . Let  $I : TZ \rightarrow TZ$  be the complex structure. Then to say that  $\nu$  is a moment map is to say:

$$\langle d\nu(w), \xi \rangle_{Lie(G)} = \langle w, I\sigma_z(\xi) \rangle_{TZ_z} \quad (5.1)$$

for all  $w \in TZ_z$  and all  $\xi \in Lie(G)$ . Replacing  $w$  by  $Iw$ , and using the fact that the metric is invariant under  $I$ , we can restate (5.1) as follows:

$$d\nu \circ I = \sigma^* \quad (5.2)$$

Using the relation  $g_{ij} = \omega_{ik}I_j^k$ , we can rewrite (5.1) using indices as follows:

$$\nu_j^{\alpha} h_{\alpha\beta} = \sigma_{\beta}^i \omega_{ij} \quad (5.3)$$

### Uniqueness of moment map zeros.

Let  $Lie(G)^c = Lie(G) \otimes \mathbb{C}$  be the complexified Lie algebra. Let  $G^c$  be the associated complexified group. Then  $Lie(G^c) = Lie(G)^{\mathbb{C}}$  and  $G \subseteq G^c$  is a maximal compact subgroup.

If  $G$  is the set of real points of a linear algebraic group over  $\mathbb{R}$  (i.e., a subgroup of  $GL(n)$  defined by polynomial equations with coefficients in  $\mathbb{R}$ ), then  $G^c$  is just the set of complex points. For example,

$$U(n) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in M_n(\mathbb{R}), a = d, b = -c, a^t a + b^t b = I, b^t a = a^t b \right\}$$

where we identify  $u = a + bi \in U(n)$  with the  $2n \times 2n$  matrix  $\begin{pmatrix} a & b \\ -b & a \end{pmatrix}$ . Thus

$$U(n)^c = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in M_n(\mathbb{C}), a = d, b = -c, a^t a + b^t b = I, b^t a = a^t b \right\}$$

where  $M_n(\mathbb{R})$  (*resp.*  $M_n(\mathbb{C})$ ) is the set of  $n \times n$  matrices with entries in  $\mathbb{R}$  (*resp.*  $\mathbb{C}$ ). Note that  $U(n)^c \approx GL(n, \mathbb{C})$  via the map  $\begin{pmatrix} a & b \\ -b & a \end{pmatrix} \mapsto a + bi$ . Similarly  $SU(n)^c = SL(n, \mathbb{C})$ .

The action of  $G$  on  $(Z, \omega)$  extends to an action of  $G^c$  on  $Z$  (which no longer preserves  $\omega$ ). To see this, we first extend infinitesimally by defining

$$\sigma_z(\xi_1 + i\xi_2) = \sigma_z(\xi_1) + I\sigma_z(\xi_2) \quad (5.4)$$

and then the action of  $g = \exp(\xi_1 + i\xi_2)$  is obtained by integrating (5.4).

Let  $z \in Z$ . We are interested in the solutions to the moment map equation:

$$\nu(gz) = 0$$

Note that by the equivariance of  $\nu$ , if  $\nu(z) = 0$  then  $\nu(gz) = 0$  for all  $g \in G$ .

The solution to the moment map equation may not always exist, but if it does, it is unique modulo the action of  $G$ :

**Lemma (uniqueness of moment map zero)** *Let  $z \in Z$ , and assume  $\nu(z) = 0$ .*

1. *Assume that  $\nu(gz) = 0$ , for some  $g \in G^c$ . Then we can factor  $g$  as follows:*

$$g = g_0 \exp(i\xi)$$

where  $g_0 \in G$ ,  $\xi \in \text{Lie}(G)$  and  $\exp(it\xi) \cdot z = z$  for all  $t \in \mathbb{R}$ .

2. *If  $\nu(gz) = 0$ , for some  $g \in G^c$ , then  $z = g_0 z$  for some  $g_0 \in G$ .*

3. *We have the following isomorphism of discrete groups:*

$$\frac{z^G}{(z^G)^0} \approx \frac{z^{G^c}}{(z^{G^c})^0}$$

where  $H^0$  denotes the connected component of the identity of a topological group  $H$ , and  $z^G = \{g \in G : gz = z\}$ .

*Proof.* We start with the proof of statement 1. Define  $Q_z$ , and endomorphism of  $\text{Lie}(G)$ , as follows:

$$Q_z = \sigma_z^* \sigma_z = d\nu \circ I \circ \sigma_z$$

Assume  $\nu(z) = \nu(gz) = 0$  for some  $g \in G^c$ . Write  $g = g_0 \exp(i\xi)$  for some  $g_0 \in G$  and some  $\xi \in \text{Lie}(G)$ . Then we have  $\nu(z) = \nu(g_0 \exp(i\xi)z) = \nu(\exp(i\xi)z) = 0$ . Let  $z(t) = \exp(it\xi) \cdot z$ . Then

$$z'(t) = I\sigma_{z(t)}(\xi) = \sigma_{z(t)}(i\xi) \quad (5.5)$$

Define

$$f(t) = \langle \xi, \nu(z(t)) \rangle$$

Then

$$f'(t) = \langle \xi, d\nu(I\sigma_{z(t)}(\xi)) \rangle = \langle \xi, Q_{z(t)}\xi \rangle = \langle \sigma_{z(t)}\xi, \sigma_{z(t)}\xi \rangle \quad (5.6)$$

where in the first equality we've made use of (5.2).

Now we are assuming  $f(0) = f(1) = 0$ . Equation (5.6) implies  $\sigma_{z(t)}\xi = 0$  for all  $t$ . Thus, by (5.5), we have  $z'(t) = 0$  for all  $t$ , which shows that  $z(t)$  is constant, and this proves part one. Parts two and three are immediate consequences of part one.

We can rephrase part two as follows: Let  $Z^s \subseteq Z$  denote the set of stable elements, that is, those elements whose complex orbits meet the set  $\nu(z) = 0$ . Then

$$Z//G = \frac{\{z \in Z : \nu(z) = 0\}}{G} = \frac{Z^s}{G^c} \quad (5.7)$$

where, for the moment, we view (5.7) as a bijection of sets.

### The gradient flow and existence of moment map zero.

The solutions to the equation  $\nu(g \cdot z) = 0$ , are the same as the solutions to  $\phi(g \cdot z) = 0$ , where  $\phi(z) = |\nu(z)|^2$ . We shall try to solve this equation by flowing along the descending gradient lines of  $\phi$ .

First we compute the gradient of  $\phi$ : Since  $\phi : Z \rightarrow \mathbb{R}$ , we have  $\text{grad}_z \phi \in T_z Z$ . We claim:

$$\text{grad}_z \phi = 2I\sigma_z(\nu(z)) \quad (5.8)$$

To see this, we start with the definition:  $\phi(z) = \langle \nu(z), \nu(z) \rangle_{\text{Lie}(G)}$ . Thus, for  $w \in T_z Z$ ,

$$\langle \text{grad}_z \phi, w \rangle_{TZ} = d\phi(w) = 2\langle d\nu, \nu \rangle_{\text{Lie}(G)}(w) = 2\langle d\nu(w), \nu \rangle_{\text{Lie}(G)} = 2\langle w, I\sigma_z(\nu(z)) \rangle_{TZ}$$

The first equality is the definition of  $\text{grad}_z \phi$ . Since this holds for all  $w$ , we obtain (5.8).

Remark: Equation (5.8) shows that if  $\text{grad}_z \phi = 0$ , then either  $\nu(z) = 0$  or  $z$  has a non-discrete stabilizer group (that is, there is a one parameter subgroup of  $G$  fixing  $z$ ). Thus, if we assume that all stabilizers are discrete, the critical points of  $\phi$  are in 1-1 correspondence with the zeros of the moment map.

Using equation (5.8), we see that the descending gradient flow equation is:

$$\frac{dz}{dt} = -I\sigma_z(\nu(z)); \quad z(0) = z_0. \quad (5.9)$$

We also consider the lifted equation

$$\frac{d\xi}{dt} = \nu(\exp(i\xi(t)) \cdot z_0); \quad \xi(0) = 0. \quad (5.10)$$

where  $\xi : \mathbb{R} \rightarrow \text{Lie}(G)$  is an unknown function.

### Lemma on the gradient flow.

1. Equation (5.9) preserves the  $G^c$  orbits, that is,  $z(t) \in \Gamma = G^c z_0$  for all  $t$ .
2. Equations (5.9) and (5.10) have solutions  $z(t)$  and  $\xi(t)$  which exist for all  $t \in \mathbb{R}$ .
3. a) If the flow  $\xi(t)$  has an accumulation point  $\xi_1 \in \text{Lie}(G)$ , then  $z_1 = \exp(i\xi_1) \cdot z_0$  is a critical point of  $\phi$ .  
 b) Moreover, if the points of  $\Gamma$  have discrete stabilizers, then  $z_1$  is the unique (modulo  $G$  action) zero of the moment map and  $\lim_{t \rightarrow \infty} \xi(t) = \xi_1$ ,  $\lim_{t \rightarrow \infty} z(t) = z_1$ .
4. If  $V$  is compact, then the flow lines converge to the critical set of  $\phi$ .

*Proof.* Part 1. follows from the simple equation:  $-I\sigma_z(\nu(z)) = \sigma_z(-i\nu(z))$  which says that the tangent line of the flow stays inside the tangent space of the  $G^c$  orbit.

More explicitly, let's consider the lifted equation

$$\frac{d\xi}{dt} = \nu(\exp(i\xi(t)) \cdot z_0); \quad \xi(0) = 0. \quad (5.10)$$

where  $\xi : \mathbb{R} \rightarrow Lie(G)$  is an unknown function. Since  $\nu(\exp(i\xi) \cdot z_0)$  is a smooth vector field on  $Lie(G)$ , equation (5.10) has a solution  $\xi$  which exists for  $t$  is some interval  $[0, T_\infty)$ . Choose  $T_\infty$  to be maximal. Thus  $T_\infty \in (0, \infty]$ .

Let  $z(t) = \exp(\xi(t)) \cdot z_0$ . Then we clearly have  $z(t) \in G^c z_0$  for all  $t$ . We claim that  $z(t)$  is a solution (and thus the unique solution) to (5.9). To see this, we differentiate:

$$\frac{dz}{dt} = \sigma_{z(t)}(\xi'(t)) = \sigma_{z(t)}(-i\nu(z(t))) = -I\sigma_{z(t)}(\nu(z(t)))$$

which shows that  $z(t)$  is a solution to (5.9).

To finish the proof of part one and two of the lemma, we must show that  $T_\infty = \infty$ : Since  $|\nu(z(t))|$  is a decreasing function, we see that  $|\xi'(t)|$  is a bounded function. Thus, if  $T_\infty < \infty$ , the curve  $\xi : [0, T_\infty)$  has bounded length. Thus  $\lim_{t \rightarrow T_\infty} \xi(t) = \xi_1$  exists. But now the gradient flow (5.10) with initial condition  $\xi(T_\infty) = \xi_1$  has a smooth solution on some interval  $(T_\infty - \epsilon, T_\infty + \epsilon)$  which patches together with the solution  $\xi : [0, T_\infty) \rightarrow V$  to give a solution on  $[0, T_\infty + \epsilon)$ , contradicting the maximality of  $T_\infty$ .

Now we prove part three: If  $\xi(t_n)$  converges to  $\xi_1 \in Lie(G)$ , then we wish to show that  $\text{grad}_{z_1} \phi = 0$ , where  $z_1 = \exp(i\xi_1)$ . First observe that  $|\nu(t)|$  is decreasing, and thus has a limit  $c \geq 0$ . If  $c = 0$ , then  $\nu(z_1) = 0$ , and thus  $z_1$  is a critical point of  $\phi$ . Assume therefore that  $c > 0$ , and fix  $\epsilon > 0$ . Then  $|\text{grad}_{t_n}| > \epsilon$  implies that  $|\text{grad}_t| = |\sigma_{z(t)}(\nu(z(t)))| > \epsilon/2$  on some interval  $[t_n, t_n + \delta]$ , where  $\delta$  depends only on  $\epsilon$  (Reason:  $|\text{grad}\phi| = |\sigma_z(\nu(z))|$  is a uniformly continuous function of  $z$  on compact sets. Since  $|\nu(z(t))|$  is decreasing, it's bounded above, which means that the velocity of  $\xi(t)$  is bounded above. So  $\xi(t)$  stays close to  $\xi(t_n)$  for a bounded amount of time. For that time interval,  $\sigma_{z(t)}$  is bounded above which means that  $z'(t)$  is bounded above. Thus  $z(t)$  stays close to  $z(t_n)$  for a bounded amount of time depending on  $\epsilon$ ).

Now observe that if  $z(t)$  is a solution to (5.9), then

$$\int_0^T \left| \frac{dz}{dt} \right|^2 dt = \int_0^T |\text{grad}\phi|^2 dt = - \int_0^T \frac{d}{dt} |\nu(z(t))|^2 dt = \nu(z(0)) - \nu(z(T)) \leq \nu(z(0))$$

The first equality follows from (5.9). The second from the fact that  $-|\text{grad}\phi| = \frac{d\phi}{ds}$ , where  $s$  is the arc length parameter, and the fact that  $\frac{ds}{dt} = |z'(t)| = |\text{grad}\phi|$ .

Thus  $\left| \frac{dz}{dt} \right|$  is in  $L^2([0, T_\infty))$ . But it might not be in  $L^1$  (that is,  $z(t)$  need not have bounded length).

Returning now to the proof of part three, the fact that  $|\text{grad}_t \phi|$  is bounded in  $L^2$  says that the set of  $n$  for which  $|\text{grad}_t| = |\sigma_{z(t)}(\nu(z(t)))| > \epsilon/2$  on some interval  $[t_n, t_n + \delta]$  is a finite set. But this shows that  $\text{grad}_{t_n} \phi \rightarrow 0$ , which completes the proof of part 3a).

To prove 3b): We have already seen that if the stabilizers are discrete, then every critical point of  $\phi$  is a moment map zero. And the uniqueness of  $z_1$  modulo  $G$  action has also been proved. To prove  $\lim \xi(t) = \xi_1$ , let  $A$  be an annulus centered at  $\xi$  with finite inner and outer radius. Then  $\xi^{-1}(A)$  is a disjoint union of bounded intervals. If this union is infinite for every  $A$ , then every  $A$  contains an accumulation point  $\xi_A$  which, by uniqueness, must satisfy  $\exp(i\xi_A) \cdot z_0 = g_A \exp(i\xi_1) \cdot z_0$  for some  $g_A \in G$ . But the  $\xi_A \rightarrow \xi_1$  as we take smaller and smaller annuli. Thus, for every pair  $A, B$  we have

$$g_A^{-1} g_B \exp(i(\xi_B - \xi_1)) \cdot z_0 = \exp(i(\xi_A - \xi_1)) \cdot z_0 \quad (*)$$

Since  $G$  is compact, we can choose a family of pairs such that  $g_A^{-1} g_B \rightarrow 1$ . But then (\*) together with the assumption that the stabilizer of  $z_0$  is discrete, implies that  $g_A = g_B$  and  $\xi_A = \xi_B = \xi_1$ . But the  $\xi_A$  are in different annuli, as  $A$  varies, so this is a contradiction. Thus we conclude that for some  $A$ ,  $\xi^{-1}(A)$  is a finite union of intervals. This shows that  $\xi(t) \rightarrow \xi_1$  and completes the proof of part 3.

The proof of part 4 is similar, and we omit it.

Next we prove Proposition 17, which guarantees the existence of a solution to the moment map equation under the assumption that the operator  $Q_z$  is bounded below.

Assume that the stabilizers of all points under the  $G$  action are discrete. This implies that  $\sigma_z$  is injective for all  $z$  and thus  $Q_z$  is invertible for all  $z \in Z$ . In other words, the eigenvalues of  $Q$ , which are all non-negative real numbers, are strictly positive. Let  $\Lambda_z$  be the operator norm of  $Q_z^{-1} : \text{Lie}(G) \rightarrow \text{Lie}(G)$ , defined using the metric  $h$  on  $\text{Lie}(G)$ . Thus  $\Lambda_z$ , the inverse of the smallest eigenvalue of  $Q_z$ , is a positive continuous function on  $Z$ .

**Proposition 17.** *Let  $z_0 \in Z$  and let  $\delta > 0$ . Assume that  $\Lambda_z \leq 1$  for all  $z = \exp(i\xi)$  with  $|\xi| \leq \delta$ . Suppose that  $|\nu(z_0)| < \delta$ . Then there is a point  $w = \exp(i\eta) \cdot z_0$  with  $|\eta| < \delta$  and  $\nu(w) = 0$ .*

*Proof.* Let  $\xi(t)$  be the solution to (5.10). Let  $s : [0, \infty) \rightarrow \mathbb{R}$  be the arc length function: Thus  $s(T) = \int_0^T |\xi'(t)| dt$ . Since  $s$  is an increasing function of  $t$ , there are two possibilities: A)  $\lim_{t \rightarrow \infty} s(t) < \delta$  or B)  $\lim_{t \rightarrow \infty} s(t) \geq \delta$ .

If A) holds, let  $\eta = \lim_{t \rightarrow \infty} \xi(t)$  and let  $w = \exp(\eta) \cdot z_0$ . Then we must have  $\nu(w) = 0$ , for otherwise,  $|\xi'(t)| = |\nu(\exp(\xi(t)) \cdot z_0)| \rightarrow |\nu(w)| > 0$  as  $t \rightarrow \infty$  which implies that  $\lim_{t \rightarrow \infty} s(t) = \int_0^\infty |\xi'(t)| dt = \infty$ . This contradicts A), so we conclude that  $\nu(w) = 0$ . Moreover,  $|\eta| < \delta$ , so the proposition is proved if A occurs.



Thus we may assume that B) holds. Then, letting  $z(t) = \exp(\xi(t)) \cdot z_0$  and  $\nu(t) = \nu(z(t))$ ,

$$\frac{d}{ds} |\nu(t)| = \frac{d}{ds} \langle \nu(t), \nu(t) \rangle^{\frac{1}{2}} = \frac{1}{2} \frac{2 \langle d\nu(\sigma(-i\nu(t), \nu(t))) \rangle}{|\nu(t)|} \cdot \frac{dt}{ds}$$

where we use (5.9) to establish the second equality. Now  $\frac{ds}{dt} = |\nu(t)|$ . Equation (5.2) yields:

$$-\frac{d}{ds} |\nu(t)| = \frac{\langle Q_z(\xi)\nu, \nu \rangle}{\langle \nu, \nu \rangle} \geq 1 \quad (5.11)$$

provided  $s \leq \delta$ . Here we are using the assumption that the smallest eigenvalue of  $Q_z$  is at least one, inside the closed ball of radius  $\delta$ . But we are assuming that  $|\nu(0)| < \delta$ . Hence (5.11) shows that  $|\nu(t)| = 0$  for some  $s = s(t) < \delta$ , and this proves the proposition.

## §6. The symplectic quotients.

Whenever a Lie group  $H$  acts on a symplectic manifold  $(W, \omega)$ , one can ask for the existence of an equivariant moment map  $\mu$ . If such a  $\mu$  exists, and if the action is discrete, then one can construct the symplectic quotient,  $W//H$ , which is defined by  $W//H = \mu^{-1}(0)/H$ . It turns out that  $W//H$  has a natural structure of symplectic manifold. If  $W$  has additional structure (eg a line bundle compatible with  $\omega$ , or a complex structure compatible with  $\omega$ ), then the symplectic quotient also has the same additional structure. We construct the symplectic quotient in this section, and we show how the additional structure descends to the symplectic quotient.

### The symplectic quotient of $(W, \omega)$ .

We start with the simplest setting: Let  $H$  act on a symplectic manifold  $(W, \omega)$ . There may not be a moment map for the action of  $H$  on  $W$ , but if one exists, it is essentially unique:

#### Theorem (uniqueness of moment maps)

1. If  $\mu_H$  is a moment map for the action of  $H$ , then the set of all moment maps is the set  $\mu_H + c$ , where  $c$  ranges over all elements of  $[Lie(H), Lie(H)]^0$  the set of elements in  $Lie(H)^*$  which kills  $[Lie(H), Lie(H)]$ .
2. If  $H$  is semi-simple (by definition, this means that we have  $[Lie(H), Lie(H)] = Lie(H)$ ) then there exists a unique moment map  $\mu_H$  for the action of  $H$ .

Examples of semi-simple Lie groups are  $SU(n), SO(n), Sp(n), SL(n)$ , for  $n \geq 2$ , and any product of such groups.

We are interested in the sets  $\mu^{-1}(0)$ , where  $\mu$  ranges over the moment maps for  $H$ . By the theorem above, this is equivalent to fixing a moment map  $\mu$ , and considering the sets  $\mu^{-1}(c)$  where  $c \in [Lie(H), Lie(H)]^0$ . Let  $W//H = \mu^{-1}(c)/H$ .

If  $H$  acts freely, then it turns out that  $W//H$  has the structure of a smooth symplectic manifold, known as the ‘‘symplectic quotient’’ of  $W$ :

**Theorem (Marsden-Weinstein-Meyer).** *Assume that  $H$  is compact and that it acts freely on  $\mu^{-1}(c)$ .*

1. *The set  $\mu^{-1}(c) \subseteq W$  is a smooth manifold.*
2. *The set  $W//H$  has the structure of a smooth manifold and the map  $\pi : \mu^{-1}(c) \rightarrow W//H$  is a smooth principal  $H$  bundle.*
3. *There is a symplectic form  $\omega_{red}$  on  $W//H$  with the property  $\pi^*\omega_{red} = \iota^*\omega$  where  $\iota$  is the inclusion map:  $\iota : \mu^{-1}(c) \hookrightarrow W$ .*

*Proof of parts one and three.* Let  $w \in \mu^{-1}(c)$ . Then  $d\mu : T_w W \rightarrow Lie(H)^*$ .

Claim:  $\text{codim}(Ker(d\mu)) = \text{dim}(H)$ .

To see this, recall the moment map conditon:

$$d\mu(\xi)(Y) = \omega(X_\xi, Y) \quad (6.2)$$

for all  $\xi \in Lie(H)$  and all  $Y \in T_w W$ . Thus  $Y \in Ker(d\mu)$  if and only if  $0 = d\mu(\xi)(Y) = \omega(X_\xi, Y)$  for all  $\xi \in Lie(H)$ . Since  $H$  acts freely the  $X_\xi$  span a subspace of  $T_w W$  of dimension  $\text{dim} H$ . The claim now follows from the assumption that  $\omega$  is non-degenerate. We now see that  $d\mu$  has maximal rank at all points of  $\mu^{-1}(c)$  which implies that  $\mu^{-1}(c)$  is a smooth manifold, and completes the proof of part one.

Next we prove part three: Consider the linear maps

$$Lie(H) \rightarrow \sigma T_s W \rightarrow d\mu Lie(H)^*$$

where  $\sigma$  is the map  $\xi \mapsto X_\xi$ . Since  $\mu$  is constant on the orbit of  $w$ , we have  $\text{im}(\sigma) \subseteq \text{ker}(d\mu)$ .

Claim:  $\text{ker}(d\mu) = \text{ann}(\text{im}(\sigma))$  where

$$\text{ann}(\text{im}(\sigma)) = \{Y \in T_w W : \omega(X_\xi, Y) \text{ for all } \xi \in Lie(H)\} \quad (6.2a)$$

is the annihilator of the image of  $\sigma$ .

To prove the claim, observe first that (6.2) implies that  $\text{ker}(d\mu) \subseteq \text{ann}(\text{im}(\sigma))$ . Furthermore, we have seen that  $\text{codim}(\text{ker}(d\mu)) = \text{dim}(H)$ . On the other hand, since  $H$  acts freely,  $\text{dim}(\text{im}(\sigma)) = \text{dim}(H)$ . Since  $\omega$  is non-degenerate,  $\text{codim}[\text{ann}(\text{im}(\sigma))] = \text{dim}(H)$ .

Now the claim implies that we have a non-degenerate pairing induced by  $\omega$ :

$$\frac{\text{ker}(d\mu)}{\text{im}(\sigma)} \times \frac{\text{ker}(d\mu)}{\text{im}(\sigma)} \rightarrow \omega_{red} \mathbb{R}$$

On the other hand, we have a canonical isomorphism

$$T_{[w]}(W//H) = T_{[w]}(\mu^{-1}(c)/H) = \frac{\text{ker}(d\mu)}{\text{im}(\sigma)} \quad (6.3)$$

where  $[w] = wH \subseteq \mu^{-1}(c)/H$ . This proves part three.

Remark: We are assuming in the above that  $H$  acts freely. If we only assume that the stabilizers are finite, then the above proof shows that  $\mu^{-1}(c)$  is still a smooth manifold and that  $W//H$  is a symplectic *orbifold*.

Example 1. The simplest example is  $H = U(1)$ ,  $W = \mathbb{C}^{N+1}$ ,  $\omega = -i \sum dw_j \wedge d\bar{w}_j$ . Then  $Lie(U(1)) = i\mathbb{R}$  so  $Lie(U(1))^* = i\mathbb{R}$  where the pairing sends  $(ix, iy) \mapsto xy$ . Define  $\mu : \mathbb{C}^{N+1} \rightarrow i\mathbb{R}$  to be the map  $\mu(w) = i \sum |w_j|^2$ . Then we claim  $\mu$  is a moment map. To see this, let  $\xi = ix \in Lie(U(1))$ . We must show

$$d\mu(\xi)(Y) = \omega(X_\xi, Y) = (\iota_{X_\xi}\omega)(Y)$$

for every tangent vector  $Y$ , where  $X_\xi$  is the infinitesimal action of  $\xi$ , that is, identifying the complexification of the tangent space of  $\mathbb{C}^{N+1}$  with  $\mathbb{C}^{N+1} \oplus \mathbb{C}^{N+1}$ :  $X_\xi(w) = ix \cdot w - ix \cdot \bar{w}$ . Thus  $\iota_{X_\xi}\omega = x \sum (w_j d\bar{w}_j + \bar{w}_j dw_j)$ . On the other hand,  $\mu(\xi) = x \sum |w_j|^2$ . Thus we see  $d\mu(\xi) = \iota_{X_\xi}\omega$ .

Now let  $c = i$ , so that

$$\mu^{-1}(c) = \{(w_0, \dots, w_N) \in \mathbb{C}^{N+1} \setminus \{0\} : \sum |w_i|^2 = 1\}$$

and  $W//H = \mathbb{C}P^N$ , where  $\omega_{red}$  is the Fubini-Study form.

Example 2. Let  $(V, h)$  be a hermitian vector space and let

$$W = \{\underline{s} = (s_0, \dots, s_N) : \text{the } s_i \text{ form a basis of } V\}$$

Then  $T_{\underline{s}}(W) = \{\underline{\sigma} = (\sigma_0, \dots, \sigma_N) : \sigma \in V\}$ . Define  $\omega(\underline{\sigma}, \underline{\sigma}') = \sum_j Im\langle \sigma_j, \sigma'_j \rangle$ . Then  $\omega$  is a symplectic form on  $W$ , and  $U(h)$ , the unitary group of  $h$ , acts on  $(W, \omega)$ .

Define  $\mu : W \rightarrow u(N+1)$  by

$$\mu(\underline{s}) = \frac{1}{2}i\langle s_\alpha, s_\beta \rangle_h$$

where we identify  $u(h) = u(N+1)$  and  $u(h)^* = u(N+1)^*$ . The first identification is via the basis  $\underline{s}$ , and the second via the invariant pairing on  $u(N+1)$  given by the formula  $\langle A, B \rangle_{u(N+1)} = Tr(AB^*) = -Tr(AB)$ .

Then we claim  $\mu$  is a moment map. To see this, let  $\xi = iA \in u(N+1)$ . Then

$$\begin{aligned} d\mu(\xi)_{\underline{s}}(\underline{\sigma}) &= \lim_{t \rightarrow 0} \frac{\mu(\xi)(\underline{s} + t\underline{\sigma}) - \mu(\xi)(\underline{s})}{t} = \frac{1}{2} \sum (\langle \underline{\sigma}_\alpha, \underline{s}_\beta \rangle + \langle \underline{s}_\alpha, \underline{\sigma}_\beta \rangle) \bar{A}_{\alpha\beta} = \\ & \sum_{\alpha, \beta} Re\langle \sigma_\alpha, A_{\alpha\beta} s_\beta \rangle \end{aligned}$$

On the other hand,  $X_\xi = i \sum A_{\alpha\beta} s_\beta$  so

$$\omega(X_\xi, \underline{\sigma}) = \sum_\alpha Im\langle iA_{\alpha\beta} s_\beta, \sigma_\alpha \rangle = \sum_{\alpha, \beta} Re\langle \sigma_\alpha, A_{\alpha\beta} s_\beta \rangle$$

Now the annihilator of  $[Lie(H), Lie(H)]$  is the set of scalar diagonal elements. Let  $c = i\lambda$  where  $\lambda \in \mathbb{R}$ . Now  $\mu^{-1}(0) = 0$ , so the action of  $H$  is not free. So let's consider the case

$c \neq 0$ :  $\mu^{-1}(2i)$  is the set of bases which are orthonormal. Since any two orthonormal bases are in the same  $H$  orbit, we see that  $W//H$  is a single point in this case.

### Symplectic quotient of $(W, \omega; L, h, A)$

Assume, as above, that we are given an action of a compact Lie group  $H$  on a symplectic manifold  $(W, \omega)$  and an equivariant moment map  $\mu$ , such that  $H$  acts freely on  $W$ .

Assume as well that we are given a hermitian complex line bundle on  $W$ , with unitary connection  $(L, h, A)$ , compatible with  $\omega$ , that is, assume that the property

$$F_A = -i\omega$$

Then the action of  $H$  on  $(W, \omega)$  extends to an action of  $H$  on  $(L, h, A)$ , covering the action on  $W$  (and preserving  $h$  and  $A$ ).

To see this, let  $\mathcal{G}_0 = \text{Aut}(W, \omega)$  and let  $\mathcal{G} = \text{Aut}(L, h, \omega)$ . Then we proved in §3 that  $\text{Lie}(\mathcal{G}_0) = C^\infty(W)/\mathbb{R}$  and  $\text{Lie}(\mathcal{G}) = C^\infty(W)$ . We are given a homomorphism  $H \rightarrow \mathcal{G}_0$ , which, on the level of Lie algebras is the map  $\xi \mapsto H_\xi$ , where  $H_\xi$  is the unique element of  $C^\infty(W)/\mathbb{R}$  whose symplectic gradient is the vector field  $\sigma_w(\xi)$  (the infinitesimal action).

Now define  $\text{Lie}(H) \rightarrow C^\infty(W)$  by the rule:  $\xi \rightarrow \mu(w)(\xi)$ , where  $\mu : W \rightarrow \text{Lie}(H)^*$  is the moment map. This is clearly a lift of the map  $\text{Lie}(H) \rightarrow C^\infty(W)/\mathbb{R}$ , and thus defines a lifted homomorphism  $H \rightarrow \mathcal{G}$ .

Now  $L|_{\mu^{-1}(0)}$  is a line bundle on a smooth manifold together with an  $H$  action. Now let  $U \subseteq W//H$  be an open subset and let  $\pi : \mu^{-1}(0) \rightarrow W//H$  be the canonical quotient map. Then we define a line bundle  $L_{red}$  on  $W//H$  as follows: Let  $s : U \rightarrow \mu^{-1}(0)$  be any section of  $\pi$ , viewed as a principal  $H$  bundle (which exists, provided  $U$  is sufficiently small). Then  $L_{red}|_U = s^*L$ . Since there is a canonical isomorphism  $s^*L = s'^*L$  for any sections  $s, s'$ , this is well defined and patches together to define  $L_{red}$ . Thus we have

$$L_{red}(U) = \{s \in L(\pi^{-1}(U)) : s \text{ is } H \text{ invariant} \}$$

in other words,  $s \in L_{red}(U)$  is a section  $s : \pi^{-1}(U) \rightarrow L$  satisfying  $s(hw) = \rho(h)s(w)$  where  $\rho$  is the canonical isomorphism  $\rho : L \rightarrow h^*L$ . Sometimes we refer to  $\rho$  as a “factor of automorphy”.

The metric and the connection clearly descend as well. Thus  $(L_{red}, h_{red}, A_{red})$  is a hermitian line bundle with connection on  $(W//H, \omega_{red})$ .

In example 1 of the previous section,  $H = U(1)$ ,  $W = \mathbb{C}^{N+1}$ , and  $\omega = -i \sum dz_j \wedge d\bar{z}_j$ . Let  $L$  be the trivial line bundle  $\mathbb{C}^{N+1} \times \mathbb{C}$ , with the metric:  $|(w, z)| = e^{-|w|^2}|z|$ . The curvature of the metric is  $\omega$ . Now  $U(1)$  acts on  $L$ : If  $\exp(ix) \in U(1)$  then  $\exp(ix) \cdot (w, z) = (\exp(ix)w, \exp(ix)z)$ . The action clearly preserves the metric and the connection (which we take to be the trivial connection).

Now we compute the quotient:  $\mu^{-1}(c) = S^{2n+1} = \{w : |w| = 1\}$ . The sections of  $L_{red}$  are the functions  $f$  on  $S^{2n+1}$  which transform by the rule:  $f(\zeta w) = \zeta f(w)$  for  $\zeta \in U(1)$ . The principal  $U(1)$  bundle  $S^{2n+1} \rightarrow \mathbb{C}P^n = S^{2n}$  is the standard Hopf bundle, and the line bundle  $L_{red}$  is the Hopf line bundle on complex projective space.

The linear maps  $f : \mathbb{C}^{N+1} \rightarrow \mathbb{C}$  are all global sections of  $L_{red}$ . If  $f$  is such a linear map, then  $\|f([z])\| = \frac{|f(z)|}{|z|}$  where  $z \in \mathbb{C}^{N+1}$  is any representative of  $[z] \in \mathbb{C}P^N$ .

### Symplectic Quotient of a Kahler Manifold.

Let  $H$  be a compact Lie group acting freely (*resp.* with finite stabilizers) on a symplectic manifold  $(W, \omega)$  and fix an equivariant moment map  $\mu : W \rightarrow Lie(H)^*$ . Then we saw in the previous section that

$$(W//H, \omega_{red})$$

is a symplectic manifold (*resp.* orbifold) where  $W//H = \mu^{-1}(0)/H$ . Moreover, the map  $\mu^{-1}(0) \rightarrow W//H$  is a principal  $H$  bundle.

**Lemma on the Kahler quotient.** *Assume that  $(W, \omega, I)$  is a Kahler manifold and that  $H$  acts freely on  $W$ .*

1. *Then  $Z = W//H$  has a natural almost complex structure  $I_{red}$ , compatible with  $\omega_{red}$ .*
2. *If  $z = Hw \in Z$  for some  $w \in \mu^{-1}(c)$ , we have a canonical isomorphism of complex vector spaces:*

$$T_w W / T_w(H^c w) = T_z Z \quad (6.5)$$

(*in fact, this is how  $I_{red}$  is defined*). *This gives us a canonical isomorphism*

$$T_z Z = T_w(H^c w)^\perp \subseteq T_w W \quad (6.6)$$

*where the hermitian inner product on  $T_w W$  is the one defined by  $\omega$  and  $I$ : For  $u, v \in T_w W$ ,*

$$\langle u, v \rangle = \omega(u, Iv) + i\omega(u, v) = g(u, v) + i\omega(u, v) \quad (6.7)$$

(*where  $g$  is the Riemannian metric*). *Moreover, the restriction of  $\langle, \rangle$  to  $T_z Z$  gives a hermitian structure on  $T_z Z$  whose imaginary part is  $\omega_{red}$ .*

3. *Assume the action of  $H$  extends to an action of  $H^c$  on  $W^s$  via biholomorphic maps. Then  $(Z, \omega_{red}, I_{red})$  is a Kahler manifold.*

*Proof.* We start with the proof of statements one and two.

Claim:

$$T_{[w]}(W//H) = \frac{\ker(d\mu)}{\text{im}(\sigma)} = \{u \in T_w W : \langle u, \sigma(\xi) \rangle = 0 \text{ for all } \xi \in Lie(H^c)\} \quad (6.8)$$

*Proof of claim.* The first equality is (6.3). We thus have a canonical identification:

$$T_{[w]}(W//H) = \{u \in \ker(d\mu) : g(u, \sigma(\xi)) = 0 \text{ for all } \xi \in Lie(H)\}$$

This follows from the fact that  $g$  is a metric on the real vector space  $\ker(d\mu)$ . On the other hand, (6.2a) implies

$$\ker(d\mu) = \{u \in T_w W : \omega(u, \sigma(\xi)) = 0 \text{ for all } \xi \in \text{Lie}(H)\}$$

Thus (6.7) implies (6.8), and the claim is proved. Since the right side of (6.8) is clearly a complex subspace of  $T_w W$ , we have defined an almost complex structure  $I_{red}$  on  $T_w W$ , which is clearly compatible with  $\omega_{red}$ . This proves parts one and two.

Now we sketch the proof of part three: (which I don't fully understand): First observe that  $H^c$  has no continuous isotropy groups (since  $\sigma(i\xi) = I\sigma(\xi)$ ). Thus (5.4a) implies that  $H^c$  acts freely on  $W$ . In particular, the orbits  $H^c w$  are smooth complex manifolds (isomorphic to  $H^c$ ).

Now for  $w \in \mu^{-1}(0)$  relation (6.5) implies

$$T_{[w]}(W//H) = (T_w W)/T_w(H^c w) \quad (6.9)$$

One way to prove statement 3 is to show directly (by showing that the Nijenhuis tensor vanishes) that the complex structure induced by (6.9) is integrable.

Another way to prove 3 is to use the fact that was proved earlier:

$$W//H = W^s/H^c \quad (6.10)$$

where  $W^s \subseteq W$  is the subset of points  $w \in W$  for which there is a zero of the moment map in the orbit  $H^c w$ . It turns out that  $W^s$  is always open (I'm not sure why). Thus  $W^s/H^c$  has a natural complex structure (I'm not sure why. I guess: If  $U \subseteq W//H = W^s/H^c$ , then a smooth function  $f : U \rightarrow \mathbb{C}$  is holomorphic if and only if  $\pi \circ f$  is a holomorphic function on  $U$ , where  $\pi : W^s \rightarrow W^s/H^c$  is the canonical quotient map. But it's not clear that there exist non-constant holomorphic functions...).

Finally we prove 3b): Let  $\xi \in \text{Lie}(H)$ , and  $z = H^c w \in Z$  where  $\mu(w) = 0$ . The  $\sigma(i\xi) = I\sigma(\xi) \in T_w W$  is the infinitesimal action of  $i\xi$  on  $w$ . Statement 3b) says that

$$\pi(\sigma(i\xi)) = \pi(I\sigma(\xi)) = I_{red}\sigma(\xi) \quad (*)$$

where

$$\pi : T_w W \rightarrow T_z Z$$

is the projection map. But the projection map is a map of complex vector spaces (by definition of  $I_{red}$ ). Thus  $\pi I = I_{red}\pi$ . This proves (\*).

In the example above, we give  $W = \mathbb{C}^{N+1}$  the usual complex structure. Then  $W^s$  is the set of non-zero points,  $H^c = \mathbb{C}^\times$  and every  $H^c$  orbit meets  $S^{2n+1}$  uniquely up to the action of  $H$ . If  $z \in \mathbb{C}^{N+1} \setminus 0$ , the tangent space at a point  $[z] \in \mathbb{C}P^N$  is  $z^\perp$  and the Fubini-Study metric is the euclidean metric on  $z^\perp$ .

**The action of  $G \times H$**

Let  $G$  and  $H$  be Lie groups acting on a symplectic manifold  $(W, \omega)$  which commute, in other words, assume that we are given an action of  $G \times H$  on  $W$ . Let  $\mu_G$  and  $\mu_H$  be equivariant moment maps for the actions of  $G$  and  $H$ .

Observe that if  $g \in G$  is a fixed element, then  $\mu_H(gw)$  is a moment map for the action of  $H$ . Thus  $\mu_H(gw) - \mu_H(w)$  is a constant, depending on  $g \in G$ . We shall make the following assumptions:

$$\mu_H(gw) = \mu_H(w) \text{ and } \mu_G(hw) = \mu_G(w) \text{ for all } g \in G, h \in H \text{ and } w \in W \quad (A)$$

For example, if  $G$  and  $H$  are semi-simple, then assumption (A) is automatic.

Let  $Z_H = W//H$  and  $Z_G = W//G$ . Then (A) implies that  $G$  acts symplectically on  $Z_H$  and  $H$  act symplectically on  $Z_G$ . More precisely, if  $w \in \mu_H^{-1}(0)$  so that  $z = Hw \in Z_H$ , and if  $g_o \in G$ , then

$$g_o z = g_o(Hw) = H(g_o w)$$

In other words:

$$\pi_o(g_o w) = g_o(\pi_o w)$$

where  $\pi_o : \mu^{-1}(0) \rightarrow Z_H$  is the canonical quotient map.

Moreover,  $\mu_G$  defines an equivariant moment map for the action of  $G$  on  $Z_H$ , and similarly for  $\mu_H$ .

Now assume that  $(W, \omega)$  is Kähler. In order to simplify the discussion, assume as well that every point in  $W$  is  $H$  stable and  $G$  stable. Then  $Z_H = W/H^c$  and  $Z_G = W/G^c$ .

Now  $G$  acts on  $W$  and  $Z = Z_H$ , so  $G^c$  also acts on  $W$  and  $Z = Z_H$  (whenever a compact group acts on a Kähler manifold, then the action extends to an action of  $G^c$  which is given, infinitesimally by the formula  $\sigma(i\xi) = I\sigma(\xi)$ ). We wish to show that these two actions are compatible:

**Lemma on the action of  $G^c$  on  $Z_H$ .** *Let  $\pi : W \rightarrow Z_H$  be the canonical quotient map. Then*

$$\pi(gw) = g\pi(w)$$

for all  $w \in \mu^{-1}(0)$  and  $g \in G^c$ .

*Proof.* It suffices to prove this infinitesimally: Thus, we must show that for  $\xi \in Lie(G)$ , the following formula holds:

$$\pi_*(\sigma_w(i\xi)) = I_{red}\sigma_z(\xi)$$

where  $\pi_* : T_w W \rightarrow T_z Z$ ,  $\sigma_w : Lie(G) \rightarrow T_w W$  is the infinitesimal action of  $G$  on  $T_w W$  and  $\sigma_z : Lie(G) \rightarrow T_z Z$  is the infinitesimal action of  $G$  on  $T_z W$ , where  $z = \pi w$ .

The uniqueness of moment map zeros lemma says that  $\pi|_{\mu^{-1}(0)} = \pi_o$ . Thus () implies

$$\pi_*(\sigma_w(\xi)) = \sigma_z(\xi) \quad ()$$

for all  $\xi \in Lie(G)$ . On the other hand,  $\sigma_w(i\xi) = I\sigma(\xi)$  (by definition). Thus () follows from () and the fact that  $\pi_*I = I_{red}\pi$  (this is the definition of  $I_{red}$ ). This proves the lemma, and shows as well that () holds for all  $\xi \in Lie(G^c)$ .

### §7. Zeros of the moment map: The line bundle point of view.

Let  $K$  be a compact Lie group acting on a Kahler manifold  $(V, \omega, I)$ . Then the complexified group satisfies  $Lie(K^c) = Lie(K) \otimes \mathbb{C}$ , and  $K^c$  acts on  $(V, I)$ , preserving the complex structure, but not the Kahler form or the metric.

Let  $\nu : V \rightarrow Lie(H)$  be an equivariant moment map, where we identify  $Lie(H)$  with its dual via an invariant metric on  $Lie(H)$ . Let  $\phi(x) = |\nu(x)|^2$ . Then  $\text{grad}_x \phi = 2\sigma(I\nu(x))$  and the gradient flow is:

$$\frac{dz}{dt} = -\sigma(I\nu(x)) ; z(0) = z_0 \quad (7.1)$$

If  $\xi(t)$  is a solution to

$$\frac{d\xi}{dt} = -\nu(\exp(i\xi(t)) \cdot z_0) ; \xi(0) = 0 \quad (7.2)$$

then  $z(t) = \exp(i\xi(t)) \cdot z_0$  is a solution to (7.1).

Let  $\Gamma = H^c z_0$ . We have seen that the flow  $z(t)$  stays inside  $\Gamma$ . If the stabilizers of points in  $\Gamma$  are discrete, then either  $z(t)$  has a limit in  $\Gamma$ , and the limit is the unique (up to  $G$  action) moment map zero, or  $z(t)$  has no accumulation point in  $\Gamma$ .

A very useful way of interpreting the zeros of the moment map is via the norm on the line bundle  $\mathcal{L}$ : Let  $(L, h, A)$  be a complex hermitian line bundle with unitary connection on a Kahler manifold  $(V, \omega, I)$ . Let  $\mathcal{L} = (L, I)$  be the holomorphic line bundle determined by  $I$ . Let  $\nu : V \rightarrow Lie(K)$  be an equivariant moment map. Then  $\nu$  allows us to lift the action of  $K^c$  to  $\mathcal{L}$  as follows: If  $\xi \in Lie(K)$  then the infinitesimal action of  $K$  on  $\mathcal{L}$  is given by

$$\hat{\sigma}(\xi) = \widetilde{\sigma(\xi)} + \nu(\xi)\mathbf{t}$$

where  $\mathbf{t}$  is the infinitesimal action of  $U(1)$ . This gives the infinitesimal action of  $K$  on  $\mathcal{L}$  which integrates to an action of  $K$ . We thus get as well an action of  $K^c$  on  $\mathcal{L}$ :

$$\hat{\sigma}(\Xi) = [\sigma(\xi_1) + I\sigma(\xi_2)] + [\nu(\xi_1) + i\nu(\xi_2)] \quad (7.3)$$

$\Xi = \xi_1 + i\xi_2 \in Lie(K^c)$  (where  $\xi_1, \xi_2 \in Lie(K)$ ).

Now let  $\tilde{\Gamma} \subseteq \mathcal{L}$  be a fixed orbit for  $K^c$  acting on  $\mathcal{L}$ . Then  $\tilde{\Gamma}$  is a smooth manifold which lies over an orbit  $\Gamma \subseteq V$  (also a smooth manifold). Define

$$h : \tilde{\Gamma} \rightarrow \mathbb{R}$$

by

$$h(\gamma) = -\log |\gamma|^2$$



Let  $Q = K^c/K$  and fix  $\gamma_o \in \tilde{\Gamma}$ . Define

$$H : Q \rightarrow \mathbb{R}$$

by

$$H(g) = h(g \cdot \gamma_o)$$

Thus  $\tilde{\Gamma} = H(Q)$ .

**Theorem.**

1. If  $\gamma \in \tilde{\Gamma}$ , then  $\gamma$  is a critical point of  $h$  if and only if  $\nu(\pi(\gamma)) = 0$ .

2. For  $\xi \in \text{Lie}(K)$  let  $H_\xi(t) = H(\exp(it\xi))$ . Then

$$H'_\xi(t) = 2\langle \nu(\exp(it\xi) \cdot x_o), \xi \rangle \quad (7.4)$$

and

$$H''_\xi(t) = 2\langle \sigma_x(\xi), \sigma_x(\xi) \rangle \quad (7.5)$$

where  $x = x(t) = \exp(it\xi) \cdot \gamma_o$ .

3. The gradient flow lines of  $H$  on  $Q$  map to the gradient flow lines of  $\Phi$  on  $\Gamma$ .

*Proof.* If  $\Xi \in \text{Lie}(K^c)$  then  $\hat{\sigma}(\Xi)$  is a smooth vector field on  $\tilde{\Gamma}$ . We wish to compute the lie derivative  $\mathcal{L}_{\hat{\sigma}(\Xi)}h$ .

Claim:

$$(\mathcal{L}_{\hat{\sigma}(\Xi)}h)(\gamma) = 2\langle \nu(x), \xi_2 \rangle \quad (7.6)$$

where  $x = \pi(\gamma) \in V$  (here  $\pi : L \rightarrow V$ ).

*Proof.* Clearly  $\mathcal{L}_{\hat{X}}h = 0$  for any vector field  $X$  on  $V$  (since  $|\gamma|^2$  is infinitesimally constant in the horizontal direction). Thus, (7.3) implies

$$(\mathcal{L}_{\hat{\sigma}(\Xi)}h)(\gamma) = -\frac{d}{dt} \log \left| \exp(it[\nu(\xi_1) + i\nu(\xi_2)]\gamma) \right|^2 = -\frac{d}{dt} \exp(-2t\nu(\xi_2))$$

which yields (7.6). Taking  $\Xi = i\xi$  in (7.6) we get (7.4). Differentiating one more time we get

$$H''_\xi(t) = 2\langle d\nu(\sigma_x(i\xi)), \xi \rangle = 2\langle \sigma_x^* \sigma_x(\xi), \xi \rangle = 2\langle \sigma_x(\xi), \sigma(\xi) \rangle$$

and this proves (7.5). Now (7.4) says that  $\text{grad}_g H = \nu(g \cdot x_o)$ . Thus the gradient flow equation of  $H$  is just given by (7.2), whose solutions, as we have seen, map to the gradient flow of  $\Phi$ . To prove statement one, observe that  $\mathcal{L}_{\hat{\sigma}(\Xi)}h(\gamma) = 0$  for all  $\Xi$  if and only if  $\nu(x) = 0$ .

Now  $g$  is a critical point of  $H$ , if and only if  $g\gamma_o$  is a critical point of point of  $h$ . Thus a moment map zero exists if and only if  $H$  has a critical point. Moreover, (7.5) shows that the critical points of  $H$  are all global minima, and that the global minima are all in the isotropy group of the moment map zero. Thus, if the isotropy group is discrete,  $H$  can have at most one critical point.