

## 4.1. Extreme Values

Note Title

3/23/2020

**Goal:** To investigate the behavior of different functions (such as finding the min/max value of functions or even if the min/max exists!). The process of finding the min/max of a function is called Optimization.

### Definitions:

**Absolute (Global) max:**  $f(c)$  is the absolute max. of function  $f$  on its domain  $D$  if  $f(c) \geq f(x)$  for ALL  $x$  in its interval  $[a, b]$ .  
( $f(c)$  is the largest possible value of  $f$ )

**Absolute (Global) min:**  $f(c)$  is the absolute min. of function  $f$  on its domain  $D$  if  $f(c) \leq f(x)$  for ALL  $x$  in its interval  $[a, b]$ .  
 $(f(c))$  is the smallest possible value of  $f$

Abs. min or max of  $f$  is called  
**EXTREME VALUE** or **ABS. EXTREMUM**.

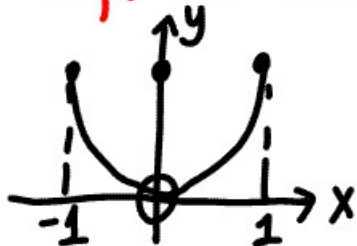
Plural of extremum is extrema or extremums.

### Extreme Value Theorem (EVT)

If a function  $f$  is continuous on a closed, bounded interval  $I$ ,

Then both abs. min and max. should exist!

**Exp) A discontinuous function:**



$f$  is NOT continuous  
 at  $x=0$  in  $[-1, 1]$ .

Although it has an abs. max at  $(-1, 1)$   
 AND  $(1, 1)$ , (yes, it's ok. to have multiple  
 abs. max/min points) it does not  
 have an abs. min.

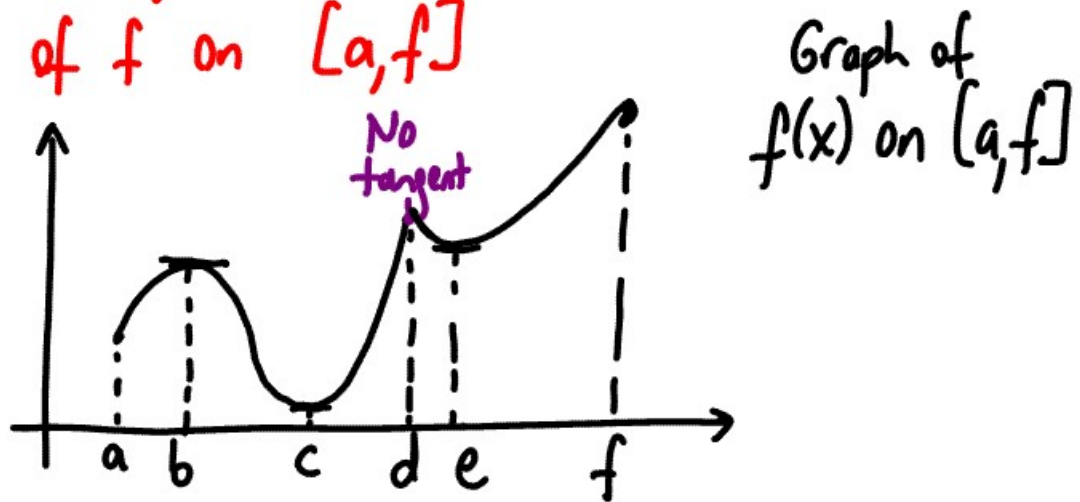
**Relative (Local) max:** If  $f(c) \geq f(x)$   
 for all  $x$  in an open interval including  
 $c$  then  $f$  has a local max. at  $x=c$ .  $\cup$

**Relative (Local) min:** If  $f(c) \leq f(x)$   
 for all  $x$  in an open interval including  
 $c$  then  $f$  has a local min. at  $x=c$ .  $\cup$

Together, they're called Relative Extrema.

What does that mean? An extremum  
 at an endpoint (such as  $x=a, b$  are  
 endpoints in  $[a, b]$ ) is NOT a relative  
 extremum.

Example: Locate the extreme values of  $f$  on  $[a, f]$



Highest point on  $f$  occurs at  $x=f$ .

Lowest point on  $f$  occurs at  $x=c$ .

Abs. max. value is  $f(f)$

Abs. min. value is  $f(c)$ .

Peak (local max)

$f(b), f(d)$

Valley (local min)

$f(c), f(e)$

Note:  $f(e) > f(b)$  which is ok!

**Critical # / point:**  $f$  is defined at  $x=c$   
 and either  $f'(c)=0$  or  $f'(c)$  DNE;  
 then  $x=c$  is a **critical #**, and  $(c, f(c))$   
 is a **critical point**.

If  $f(c)$  is a local extremum,  
 Then  $c$  is a critical # of  $f$ .

BUT; it doesn't imply that a local  
 extremum must occur at each critical #.

E.g:  $f(x)=x^3$ ,  $f'(x)=3x^2=0 \Rightarrow f'(0)=0$

So; 0 is a critical #. But; no local  
 extremum at  $x=0$ !

## Procedure for finding Abs. Extrema

$f(x)$  is a continuous function on  $[a, b]$

① find critical #s of  $f$ :  $[f'(x)=0 \text{ or DNE}]$

identify the endpoints  $[x=a, b]$

② evaluate  $f$  at endpoints  $a$  and  $b$   
and at each critical #  $c$ .

compare the values in step 2.

smallest value is abs. min.

largest value is abs. max.

Ex1) Find the abs. min/max. of  
 $f(x) = 2x^3 - 6x^2 + 1$  on  $[1, 4]$

Step 1) All polynomials are cont. in their domain.

critical #s:  $f'(x) = 0$  or DNE

$$f'(x) = 6x^2 - 12x = 6x(x-2) = 0 \Rightarrow x = 0, x = 2$$

not in  $[1, 4]$

endpoints  $x = 1, 4$  on  $[1, 4]$

Step 2)	$x$	$f(x) = 2x^3 - 6x^2 + 1$
critical #	2	$2 \cdot 2^3 - 6 \cdot 2^2 + 1 = -7 \rightarrow \text{min}$
endpoints	1	$2 \cdot 1^3 - 6 \cdot 1^2 + 1 = -3$
	4	$2 \cdot 4^3 - 6 \cdot 4^2 + 1 = 33 \rightarrow \text{max}$

Abs. min value is  $-7$  at  $x = 2$ .

Abs. max. value is  $33$  at  $x = 4$ .

Exp2) You try it!

Find abs. extreme values of  $f(x) = x^3 + 3x^2 - 24x - 4$

on  $[-4, 4]$

Poll answers:

A) abs. max. value is -32

B) abs. max. value is 76

C) abs. max. value is 12

D) None of the above



## Exp2) Solution

Find abs. extreme values of  $f(x) = x^3 + 3x^2 - 24x - 4$   
on  $[-4, 4]$

①  $f(x)$  is cont. on  $[-4, 4]$

$f'(x) = 0$  or DNE to find critical #s

$$f'(x) = 3x^2 + 6x - 24 = 3(x^2 + 2x - 8)$$

$$f'(x) = 3(x+4)(x-2) = 0 \Rightarrow \underbrace{x = -4, x = 2}_{\text{critical \#s on } [-4, 4]}$$

endpoints:  $x = -4, 4$

$x$	$f(x) = x^3 + 3x^2 - 24x - 4$
-4	$-64 + 3 \cdot 16 - 24 \cdot 4 - 4 = 76$ <b>MAX</b>
2	$2^3 + 3 \cdot 2^2 - 24 \cdot 2 - 4 = -32$ <b>MIN</b>
4	$4^3 + 3 \cdot 4^2 - 24 \cdot 4 - 4 = 12$

Abs. max. value is 76. (B)

Exp) Find the abs. extrema of  
 $f(x) = x^{2/3}(5-2x)$  on  $[-1, 2]$

Solution:

$$\textcircled{1} f(x) = 5x^{2/3} - 2 \cdot x^{5/3}$$

$$f'(x) = 5 \cdot \frac{2}{3} \cdot x^{-1/3} - 2 \cdot \frac{5}{3} \cdot x^{2/3}$$

$$= \frac{10}{3} \cdot x^{-1/3} - \frac{10}{3} \cdot x^{2/3}$$

$$= \frac{10}{3} x^{-1/3} (1 - x^{3/3}) = \frac{10(1-x)}{3\sqrt[3]{x}}$$

critical #s:  $f'(x) = 0$  or DNE

$$f'(x) = 0 \Rightarrow 1 - x = 0 \Rightarrow x = 1$$

$$f'(x) \text{ DNE} \Rightarrow \sqrt[3]{x} = 0 \Rightarrow x = 0$$

} critical #s  
on  $[-1, 2]$

endpoints  $x = -1, x = 2$

$x$	$f(x) = x^{2/3} (5 - 2x)$
0	0 MIN
1	$1^{2/3} (5 - 2) = 3$
-1	$(-1)^{2/3} (5 + 2) = 7$ MAX
2	$2^{2/3} (5 - 4) = 2^{2/3}$

The abs. max. value is 7 at  $x = -1$ .

The abs. min. value is 0 at  $x = 0$ .

Exp) Find the abs. extreme values of

$$f(x) = x - \frac{2x}{x+2} \text{ on } [-1, 4]$$

①  $f(x)$  is cont. on  $[-1, 4]$

Although  $x = -2$  is a point of discont.  
it's NOT on the given interval.

$$f'(x) = 1 - \frac{4}{(x+2)^2}$$

critical #s:  $f'(x) = 1 - \frac{4}{(x+2)^2} = 0$  or DNE

$$f'(x) = 0 \Rightarrow 1 = \frac{4}{(x+2)^2} \Rightarrow 4 = (x+2)^2$$

$$\begin{array}{l} x+2=2 \\ x=0 \end{array} \quad \begin{array}{l} x+2=-2 \\ x=-4 \end{array}$$

only critical # not in  $[-1, 4]$

$f'(x)$  ONE: recall  $x = -2$  is NOT in  $[-1, 4]$

endpoints are  $x = -1, x = 4$

②

$x$	$f(x) = x - \frac{2x}{x+2}$
$-1$	$-1 - \frac{2(-1)}{-1+2} = 1$
$4$	$4 - \frac{2 \cdot 4}{4+2} = \left(4 - \frac{8}{6}\right)_{\text{max}}$
$0$	$0$ MIN

Abs. min value is  $0$  at  $x = 0$

Abs. max. value is  $\left(4 - \frac{8}{6} = \frac{8}{3}\right)$  at  $x = 4$

You try It!

Find abs. min/max values for

$$f(x) = \frac{9}{x} + x - 3 \quad \text{on } [1, 9]$$

Poll choices:

A) Abs. min at  $x=3$

B) Abs. min. at  $x=1$

C) Abs. min at  $x=9$

D) Abs. min at  $x=0$

E) Abs. min at  $x=-3$

You try it! Solution

Find abs. min/max values for

$$f(x) = \frac{9}{x} + x - 3 \text{ on } [1, 9]$$

Step 1  $f(x)$  is NOT continuous at  $x=0$   
however, 0 is not in  $[1, 9]$

$$f(x) = 9 \cdot x^{-1} + x - 3$$

$$f'(x) = -9x^{-2} + 1$$

critical #s  $\rightarrow f'(x) = 0$  or DNE

$$f'(x) = 0 \Rightarrow \frac{-9}{x^2} + 1 = 0 \Rightarrow \frac{-9}{x^2} = -1 \Rightarrow 9 = x^2$$

$x = 3$     ~~$x = -3$~~   
 not in  $[1, 9]$

only  $x=3$  is a critical #

$f'(x)$  DNE :  $x=0$ , however, as stated before  
 $x=0$  is NOT in  $[1,9]$

endpoints:  $x=1, 9$

<u>Step 2</u>	$x$	$f(x) = \frac{9}{x} + x - 3$
critical #	3	$f(3) = \frac{9}{3} + 3 - 3 = 3$ MIN
endpoints	1	$f(1) = 9 + 1 - 3 = 7$ MAX
	9	$f(9) = \frac{9}{9} + 9 - 3 = 7$ MAX

The absolute max. is 7, the abs. max points are:  $(1,7)$  and  $(9,7)$ .

(It's OK that the abs. max. values occur at multiple  $x$ -values)

The abs. min. is 3, the abs. min point is:  $(3,3)$