

A SHORT PROOF OF BEC IN THE
GP REGIME AND BEYOND

CHRISTIAN BRENNECKE
INSTITUTE FOR APPLIED MATHEMATICS
UNIVERSITY OF BONN

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INTRODUCTION

- ▶ consider N non-interacting **BOSONS** in $\Lambda_L = \mathbb{R}^3/L\mathbb{Z}^3$ with Hamiltonian

$$H_{N,L}^{\text{free}} = \sum_{i=1}^N -\Delta_{x_i},$$

acting in a dense subspace of $L_s^2(\Lambda_L^N) = \bigotimes_{\text{SYM}}^N L^2(\Lambda_L)$

- ▶ the spectrum $\sigma(H_{N,L}^{\text{free}})$ consists of finite sums of the form

$$\sum_{p \in \Lambda^*} n_p |p|^2$$

for $n_p \in \mathbb{N}$, $\Lambda^* = \frac{2\pi}{L}\mathbb{Z}^3$ with orthonormal product eigenstates

$$\varphi_{p_1} \otimes_s \cdots \otimes_s \varphi_{p_N},$$

built up from plane waves $x \mapsto \varphi_p(x) = L^{-3/2} e^{ipx}$, for $p \in \Lambda^*$

- ▶ the normalized ground state vector ψ_N with energy $E_{N,L}^{\text{free}} = 0$ equals

$$\psi_N = \varphi_0^{\otimes N}, \quad \varphi_0 = L^{-3/2} \in L^2(\Lambda_L)$$

- ▶ in particular, if one measures $\mathcal{O} \otimes \mathbf{1} \otimes \cdots \otimes \mathbf{1}$ in the ground state ψ_N , for $\mathcal{O} = \mathcal{O}^* \in \mathcal{B}(L^2_s(\Lambda_L^k))$ and for some fixed $1 \leq k \leq N$, then

$$\langle \psi_N, \mathcal{O} \otimes \mathbf{1} \otimes \cdots \otimes \mathbf{1} \psi_N \rangle = \langle \varphi_0^{\otimes k}, \mathcal{O} \varphi_0^{\otimes k} \rangle$$

- ▶ expectations of observables are thus fully determined by $\varphi_0 \in L^2(\Lambda_L)$: we say that the system exhibits **BOSE-EINSTEIN CONDENSATION (BEC)**
- ▶ BEC has been verified experimentally in 1995, leading to the Nobel Prize in Physics for Eric Cornell, Carl Wieman and Wolfgang Ketterle
- ▶ a fundamental question in mathematical physics is thus to understand the spectrum and BEC for **INTERACTING MODELS** with energy

$$H_{N,L} = \sum_{i=1}^N -\Delta_{x_i} + \sum_{1 \leq i < j \leq N} V(x_i - x_j)$$

- ▶ a natural problem is to consider the thermodynamic limit in which the density $\rho = N/L^3$ is small, but fixed and the particle number $N \rightarrow \infty$

- ▶ if we naively view the interaction as a perturbation, we may expect

$$\frac{E_{N,L}}{N} \approx \frac{\langle \varphi_0^{\otimes N}, H_{N,L} \varphi_0^{\otimes N} \rangle}{N} \approx \frac{\rho}{2} \int_{\Lambda} V = \frac{\widehat{V}(0)}{2} \rho$$

- ▶ this ignores **PARTICLE CORRELATIONS** and turns out to be wrong, overestimating the energy - the correct formula at low density is

$$\frac{E_{N,L}}{N} \approx 4\pi a \rho, \tag{1}$$

where a denotes the **SCATTERING LENGTH OF V** , characterized by

$$a = \frac{1}{8\pi} \inf \left\{ \int_{\mathbb{R}^3} (2|\nabla f|^2 + V|f|^2), \lim_{|x| \rightarrow \infty} f(x) = 1 \right\}$$

- ▶ a heuristic idea that suggests (1) at low density is that

$$E_{N,L} \approx \#(\text{pairs of particles}) \times E_{2,L} \approx \frac{N(N-1)}{2} \frac{8\pi a}{L^3} \approx 4\pi a \rho N$$

- ▶ for $\psi_N \in L^2_s(\Lambda_L^N)$ define its **ONE-PARTICLE DENSITY** $\gamma_N^{(1)}$ through

$$\begin{aligned}\gamma_N^{(1)}(x, y) &= \int_{\Lambda_L^{N-1}} dx_2 \dots dx_N \psi_N(x; x_2, \dots, x_N) \overline{\psi}_N(y; x_2, \dots, x_N) \\ &= (\text{tr}_{2, \dots, N} |\psi_N\rangle\langle\psi_N|)(x, y)\end{aligned}$$

so that, assuming $\|\psi_N\| = 1$, we have that

$$\gamma_N^{(1)} \in \mathcal{B}(L^2(\Lambda_L)), \quad 0 \leq \gamma_N^{(1)} \leq 1, \quad \text{tr} \gamma_N^{(1)} = 1$$

- ▶ we say that $(\psi_N)_{N \in \mathbb{N}}$ **EXHIBITS COMPLETE BEC INTO** $\varphi \in L^2(\Lambda_L)$ if

$$\lim_{N \rightarrow \infty} \langle \varphi, \gamma_N^{(1)} \varphi \rangle = 1 \quad \leftrightarrow \quad \lim_{N \rightarrow \infty} \text{tr} |\gamma_N^{(1)} - |\varphi\rangle\langle\varphi|| = 0$$

- ▶ proving that the ground state ψ_N exhibits Bose-Einstein condensation is a **CHALLENGING OPEN PROBLEM IN MATHEMATICAL PHYSICS**

- ▶ since a proof of BEC in the thermodynamic limit is currently out of reach, it is natural to study **STRONGLY DILUTED SYSTEMS** where $\rho = \rho_N \xrightarrow{N \rightarrow \infty} 0$
- ▶ set $L = L_N = N^{1-\kappa}$, $\kappa \geq 0$, and study rescaled system in $\Lambda = \mathbb{R}^3 / \mathbb{Z}^3$ with

$$H_N = \sum_{i=1}^N -\Delta_{x_i} + \sum_{1 \leq i < j \leq N} N^{2-2\kappa} V(N^{1-\kappa}(x_i - x_j)),$$

- ▶ by scaling, the scattering length of $V_N = N^{2-2\kappa} V(N^{1-\kappa} \cdot)$ is equal to $a/N^{1-\kappa}$ and, similarly, the solution f_N of the zero energy scattering equation for V_N is obtained by scaling as $f_N(\cdot) = f(N^{1-\kappa} \cdot)$, where

$$(-2\Delta + V)f = 0, \quad \lim_{|x| \rightarrow \infty} f(x) = 1$$

- ▶ the thermodynamic limit corresponds to $\kappa = \frac{2}{3}$ (at density $\rho = 1$) while the choice $\kappa = 0$ describes the well-known **GROSS-PITAIEVSKII (GP) LIMIT**
- ▶ notice that in the Gross-Pitaevskii regime, the total kinetic and potential energies are typically both of the **SAME ORDER** $\mathcal{O}(N)$
- ▶ in the GP regime, if V is sufficiently small, e.g. in the sense that $a \ll 1$, one can use simple **PERTURBATIVE ARGUMENTS** to derive BEC

THEOREM (B.-BROOKS-CARACI-OLDENBURG '24)

Let $V \in L^1(\mathbb{R}^3)$ be pointwise non-negative, radially symmetric and of compact support. Moreover, let $\kappa \in [0, \frac{1}{20})$, $\varphi_0 = 1_{|\Lambda} \in L^2(\Lambda)$ and denote by ψ_N the normalized ground state of H_N with one-particle density $\gamma_N^{(1)}$. Then

$$\lim_{N \rightarrow \infty} \langle \varphi_0, \gamma_N^{(1)} \varphi_0 \rangle = 1.$$

- ▶ first proof of BEC by [Lieb-Seiringer '02], valid for $\kappa \in [0, \frac{1}{10})$
- ▶ [Fournais '21] proves BEC up to $\kappa \in [0, \frac{2}{5} + \epsilon)$, extending ideas previously developed in [Brietzke-Fournais-Solovej '20, Fournais-Solovej '20]; detailed review in [Fournais-Girardot-Junge-Morin-Olivieri '23]
- ▶ [Lieb-Seiringer '02], [Fournais '21] employ **LOCALIZATION ARGUMENTS** to reduce the analysis to systems with GP scaling and small scattering length
- ▶ [Adhikari-B.-Schlein '21] provides alternative proof for $\kappa \in [0, \frac{1}{43})$ avoiding localization, but extending operator expansion methods developed in [B.-Schlein '19], [Bocato-B.-Cenatiempo-Schlein '18, '19, '20]
- ▶ in [BBCO '24] our goal is to simplify the proof of [Adhikari-B.-Schlein '21] and to clarify its structure, combining previous ideas with **[BROOKS '23]**

- [Adhikari-B.-Schlein '21] is **BASED ON THE HEURISTICS** that

$$\begin{aligned}
 \psi_N &\approx C \prod_{1 \leq i < j \leq N} f_N^{(ij)} \varphi_0^{\otimes N} = \prod_{1 \leq i < j \leq N} (1 - (1 - f_N^{(ij)})) \varphi_0^{\otimes N} \\
 &\approx C \left(1 - \sum_{1 \leq i < j \leq N} (1 - f_N^{(ij)}) + \dots \right) \varphi_0^{\otimes N} \\
 &\approx C \exp \left(-\frac{1}{2} \sum_{p, q, r \in \Lambda^*} \eta_r a_{p+r}^* a_{q-r}^* a_p a_q \right) \varphi_0^{\otimes N} \\
 &\approx e^{-B_\eta} \varphi_0^{\otimes N},
 \end{aligned}$$

where $f_N^{(ij)} = f(N^{1-\kappa}(x_i - x_j))$, $\eta_r = \widehat{(1 - f_N)}(r)$ and

$$B_\eta = \frac{1}{2} \sum_{\substack{p, q, r \in \Lambda^* \\ |r| > N^\alpha; |p|, |q| \leq N^\alpha}} \eta_r a_{p+r}^* a_{q-r}^* a_p a_q - \text{h.c.}$$

- recall that, by the scattering equation, $(1 - f_N)$ solves

$$(-2\Delta + V_N)(1 - f_N) = V_N$$

- ▶ one can then derive a suitable coercivity bound on

$$e^{B_\eta} H_N e^{-B_\eta} = H_N - [H_N, B_\eta] + \frac{1}{2} [[H_N, B_\eta], B_\eta] + \dots$$

and use that $e^{\pm B_\eta} \mathcal{N}_+ e^{\mp B_\eta}$ is comparable to $\mathcal{N}_+ = \sum_{p \neq 0} a_p^* a_p$

- ▶ the main effect of $e^{B_\eta}(\cdot)e^{-B_\eta}$ is to renormalize the potential for $|p| \leq N^\alpha$:

$$\widehat{V}(p/N^{1-\kappa}) \mapsto \widehat{V}_{\text{ren}}(p) = \widehat{V}f(p/N^{1-\kappa}) \approx 8\pi\alpha$$

- ▶ if $\kappa > 0$ is small enough, one then finds $0 < c < 1$ so that

$$\begin{aligned} e^{B_\eta} H_N e^{-B_\eta} &\geq c \sum_{p \in \Lambda^*} |p|^2 a_p^* a_p + \frac{N^\kappa}{2N} \sum_{\substack{p, q, r \in \Lambda^* \\ |r| \leq N^\alpha}} \widehat{V}_{\text{ren}}(r) a_{p+r}^* a_{q-r}^* a_p a_q + o(N) \\ &\geq 4\pi\alpha N^{1+\kappa} + c\mathcal{N}_+ - CN^{\kappa+3\alpha} + o(N) \end{aligned}$$

- ▶ **QUESTION:** the crucial renormalizations are essentially due to commutators linear in B_η - is there a simpler proof without operator expansions?
- ▶ **QUESTION:** how explain the emergence of V_{ren} in a more structural way?

IDEAS FROM THE PROOF: TWO-BODY CASE

- ▶ consider the two-body Hamiltonian that acts on $L_s^2(\Lambda^2)$ by

$$\mathcal{H}_2 = -\Delta_{x_1} - \Delta_{x_2} + V_N = -\Delta_{x_1} - \Delta_{x_2} + N^{2-2\kappa} V(N^{1-\kappa}(x_1 - x_2))$$

and let us recall a simple proof of the fact that $\inf \sigma(\mathcal{H}_2) \approx \frac{8\pi\mathfrak{a}}{N^{1-\kappa}}$

- ▶ key observation: BEC is trivial in this case, in the sense that

$$4\pi^2 \langle \zeta_2, \mathcal{N}_+ \zeta_2 \rangle \leq \inf \sigma(\mathcal{H}_2) \leq \langle \varphi_0 \otimes \varphi_0, \mathcal{H}_2 \varphi_0 \otimes \varphi_0 \rangle \leq \frac{C}{N^{1-\kappa}}$$

- ▶ given this a priori information, let's compare \mathcal{H}_2 to a Schrödinger operator with eigenstate $\varphi_0 \otimes \varphi_0$ based on the **SCHUR COMPLEMENT FORMULA**
- ▶ setting $\Pi_L = |\varphi_0 \otimes \varphi_0\rangle\langle \varphi_0 \otimes \varphi_0|$ and $\Pi_H = \mathbf{1} - \Pi_L$, one finds that

$$\mathcal{H}_2 = (1 + \eta^*)(-\Delta_{x_1} - \Delta_{x_2} + \Pi_L V_{\text{ren}} \Pi_L + \Pi_H V_N \Pi_H)(1 + \eta),$$

where η and V_{ren} are defined by

$$\begin{aligned}\eta &= \Pi_H (\Pi_H \mathcal{H}_2 \Pi_H)^{-1} \Pi_H V_N \Pi_L, \\ V_{\text{ren}} &= V_N - V_N \Pi_H (\Pi_H \mathcal{H}_2 \Pi_H)^{-1} \Pi_H V_N\end{aligned}$$

- ▶ anticipating that approximately

$$\eta \approx ((-2\Delta + V_N)^{-1} V_N)(x_1 - x_2) \approx (1 - f)(N^{1-\kappa}(x_1 - x_2)),$$

it is not hard to prove that

$$\langle \varphi_0 \otimes \varphi_0, V_{\text{ren}} \varphi_0 \otimes \varphi_0 \rangle = \langle \varphi_0 \otimes \varphi_0, V_N(1 - \eta) \varphi_0 \otimes \varphi_0 \rangle \approx \frac{8\pi\alpha}{N^{1-\kappa}}$$

- ▶ combined with the identity $2 = a_0^* a_0 + \mathcal{N}_+$ in $L_s^2(\Lambda^2)$ and the fact that

$$(1 + \eta^*) \Pi_L V_{\text{ren}} \Pi_L (1 + \eta) = \Pi_L V_{\text{ren}} \Pi_L,$$

we obtain the lower bound

$$\mathcal{H}_2 \geq \frac{4\pi\alpha}{N^{1-\kappa}} (a_0^* a_0 a_0^* a_0 - a_0^* a_0 + o(1)) \approx \frac{8\pi\alpha}{N^{1-\kappa}}$$

- ▶ **NOTE:** using basic properties of $\eta = \mathcal{O}(1/N^{1-\kappa})$, a matching upper bound on the ground state energy can be obtained using the trial state

$$\frac{(1 + \eta)^{-1} \varphi_0 \otimes \varphi_0}{\|(1 + \eta)^{-1} \varphi_0 \otimes \varphi_0\|}$$

IDEAS FROM THE PROOF: N-BODY CASE

- ▶ consider now the N -particle setting in $L^2_s(\Lambda^N)$ with Hamiltonian

$$H_N = \sum_{r \in \Lambda^*} |r|^2 a_r^* a_r + \frac{N^\kappa}{2N} \sum_{p, q, r \in \Lambda^*} \widehat{V}(r/N^{1-\kappa}) a_{p+r}^* a_{q-r}^* a_p a_q$$

- ▶ **QUESTION:** how mimic the two-body proof and what is a good substitute for the a priori information on BEC (which we would like to prove)?
- ▶ setting $\mathcal{N}_{>N^\alpha} = \sum_{|p|>N^\alpha} a_p^* a_p$, notice by Markov that

$$\langle \psi_N, \mathcal{N}_{>N^\alpha} \psi_N \rangle \leq N^{-2\alpha} \sum_{p \in \Lambda^*} |p|^2 \langle \psi_N, a_p^* a_p \psi_N \rangle \leq CN^{1+\kappa-2\alpha}$$

- ▶ in other words, the a priori information on BEC can be replaced by the weaker information that $\langle \psi_N, \mathcal{N}_{>N^\alpha} \psi_N \rangle = o(N)$, FOR EVERY $\alpha > \frac{\kappa}{2}$
- ▶ such a priori information, and generalizations thereof to observables like

$$N^{-1} \mathcal{K} \mathcal{N}_{>N^\alpha} \quad \text{for} \quad \mathcal{K} = \sum_{r \in \Lambda^*_+} |r|^2 a_r^* a_r,$$

is the main tool in [Adhikari-B.-Schlein '21] to control the errors

- ▶ based on the weaker a priori information, we can lower bound

$$\begin{aligned}
 V_N &= \Delta_{x_1} + \Delta_{x_2} + (1 + \eta^*) (-\Delta_{x_1} - \Delta_{x_2} + \Pi_L V_{\text{ren}} \Pi_L + \Pi_H V_N \Pi_H) (1 + \eta) \\
 &\geq (-\Delta_{x_1} - \Delta_{x_2}) \eta + \eta^* (-\Delta_{x_1} - \Delta_{x_2}) + \eta^* (-\Delta_{x_1} - \Delta_{x_2}) \eta + \Pi_L V_{\text{ren}} \Pi_L,
 \end{aligned}$$

- ▶ here, Π_L projects onto the low-momentum space

$$\overline{\text{span}(\varphi_k \otimes \varphi_l : k, l \in P_L)} \quad \text{for} \quad P_L = \{p \in \Lambda^* : |p| \leq N^\alpha\}$$

- ▶ this implies the simple **N-BODY LOWER BOUND**

$$H_N \geq \sum_{r \in \Lambda_+^*} |r|^2 c_r^* c_r + \frac{1}{2} \sum_{\substack{p, q, r \in \Lambda^* \\ p, q, p+r, q-r \in P_L}} \langle \varphi_{p+r} \otimes \varphi_{q-r}, V_{\text{ren}} \varphi_p \otimes \varphi_q \rangle a_{p+r}^* a_{q-r}^* a_p a_q - R_N$$

for an explicit, self-adjoint error term R_N and where

$$c_r = a_r + \sum_{(p, q) \in P_L^2} \langle \varphi_{p+q-r} \otimes \varphi_r, \eta \varphi_p \otimes \varphi_q \rangle a_{p+q-r}^* a_p a_q$$

- ▶ similarly as in the two-body case, one can prove that

$$\begin{aligned} & \frac{1}{2} \sum_{\substack{p,q,r \in \Lambda^* \\ p,q,p+r,q-r \in P_L}} \langle \varphi_{p+r} \otimes \varphi_{q-r}, V_{\text{ren}} \varphi_p \otimes \varphi_q \rangle a_{p+r}^* a_{q-r}^* a_p a_q \\ & \geq 4\pi a N^{1+\kappa} - CN^\kappa \mathcal{N}_{>N^\alpha} - CN^{\kappa+3\alpha} \end{aligned}$$

- ▶ on the other hand, recalling that $\mathcal{K} = \sum_{r \in \Lambda_+^*} |r|^2 a_r^* a_r$, we lower bound

$$\sum_{r \in \Lambda_+^*} |r|^2 c_r^* c_r \geq \sum_{|r| \leq N^\alpha} c_r^* c_r \geq \sum_{r \in \Lambda_+^* : |r| \leq N^\alpha} a_r^* a_r - CN^{\kappa-\alpha-1} \mathcal{K} \mathcal{N}_{>N^\alpha},$$

- ▶ controlling $\mathcal{K} \mathcal{N}_{>N^\alpha}$ as in [Adhikari-B.-Schlein '21] essentially by

$$\mathcal{K} \mathcal{N}_{>N^\alpha} = O(N^{1+\kappa}) \times O(N^{1+\kappa-2\alpha})$$

and combining this with similar bounds on R_N implies for **SMALL** κ that

$$H_N \geq 4\pi a N^{1+\kappa} + c \mathcal{N}_+ + o(N)$$

- ▶ finally, let us point out that

$$\begin{aligned}
 c_r &= a_r + \sum_{(p,q) \in P_L^2} \langle \varphi_{p+q-r} \otimes \varphi_r, \eta \varphi_p \otimes \varphi_q \rangle a_{p+q-r}^* a_p a_q \\
 &= a_r + \frac{1}{2} \sum_{u,p,q \in \Lambda^*} \langle \varphi_{p+u} \otimes \varphi_{q-u}, \eta \varphi_p \otimes \varphi_q \rangle [a_r, a_{p+u}^* a_{q-u}^* a_p a_q],
 \end{aligned}$$

so that the identification $\eta \approx (1-f)(N^{1-\kappa}(x_1 - x_2))$ in $L_s^2(\Lambda^2)$ suggests

$$e^{B_\eta} c_r e^{-B_\eta} \approx c_r - [a_r, B_\eta] \approx a_r + [a_r, B_\eta] - [a_r, B_\eta] = a_r$$

- ▶ in particular, we expect that

$$\sum_{r \in \Lambda_\dagger^*} |r|^2 e^{B_\eta} c_r^* c_r e^{-B_\eta} \approx \sum_{r \in \Lambda_\dagger^*} |r|^2 a_r^* a_r$$

and one can derive similarly the emergence of V_{ren} , connecting the key effects of the conjugation $e^{B_\eta}(\cdot)e^{-B_\eta}$ to the Schur complement formula

- ▶ these structural observations can also be used to give a simple proof e.g. of the dynamical stability of BEC in the GP regime [B.-Kroschinsky '24]

NOTATION

- ▶ the creation and annihilation operators a_p^*, a_q for $p, q \in \Lambda^*$ satisfy

$$[a_p, a_q^*] = \delta_{pq}, \quad [a_p, a_q] = [a_p^*, a_q^*] = 0$$

and they act on a suitable, dense subspace of $\mathcal{F} = \mathbb{C} \oplus \bigoplus_{n=1}^{\infty} L_s^2(\Lambda^n)$

- ▶ a_p is the adjoint of a_p^* , which acts on $\xi \in L_s^2(\Lambda^{n-1})$ as

$$\begin{aligned} (a_p^* \xi)(x_1, \dots, x_n) &= (\varphi_p \otimes_s \xi)(x_1, \dots, x_n) \\ &= \frac{1}{\sqrt{n}} \sum_{j=1}^n \varphi_p(x_j) \xi(x_1, \dots, x_{j-1}, x_{j+1}, \dots, x_n) \in L_s^2(\Lambda^n) \end{aligned}$$

- ▶ in particular, we count the number of particles with momenta $p \in \Lambda^*$ via

$$N = \langle \psi_N, \sum_{i=1}^N \mathbf{1}_{x_i} \psi_N \rangle = N \langle \psi_N, \sum_{p \in \Lambda^*} |\varphi_p\rangle \langle \varphi_p|_{x_1} \psi_N \rangle = \sum_{p \in \Lambda^*} \langle \psi_N, a_p^* a_p \psi_N \rangle$$

- ▶ similarly, one can express H_N through the a_p, a_q^* in form sense as

$$H_N = \sum_{p \in \Lambda^*} |p|^2 a_p^* a_p + \frac{N^\kappa}{2N} \sum_{p, q, r \in \Lambda^*} \widehat{V}(r/N^{1-\kappa}) a_{p+r}^* a_{q-r}^* a_p a_q$$

- ▶ denote by \mathcal{N}_+ the **NUMBER OF EXCITATIONS** operator

$$\mathcal{N}_+ = \sum_{p \in \Lambda_+^*} a_p^* a_p \quad \text{for} \quad \Lambda_+^* = \Lambda^* \setminus \{0\},$$

- ▶ then, we observe that proving complete BEC into φ_0 is equivalent to

$$\lim_{N \rightarrow \infty} \langle \varphi_0, \gamma_N^{(1)} \varphi_0 \rangle = \lim_{N \rightarrow \infty} \frac{1}{N} \langle \psi_N, a_0^* a_0 \psi_N \rangle = 1 \leftrightarrow \lim_{N \rightarrow \infty} \frac{1}{N} \langle \psi_N, \mathcal{N}_+ \psi_N \rangle = 0$$

- ▶ a natural strategy to prove BEC consists of showing a **COERCIVITY BOUND**

$$H_N \geq 4\pi\alpha N^{1+\kappa} + c\mathcal{N}_+ + o(N) \quad (2)$$

and combining this with a matching upper bound on $E_N = \inf \sigma(H_N)$

- ▶ **NOTE:** since¹ $E_N = 4\pi\alpha N^{1+\kappa} + c_{LHY} N^{\frac{5}{2}\kappa} + o(N^{\frac{5}{2}\kappa})$, such a strategy and suitably adjusted variants thereof become harder the larger $\kappa \geq 0$

¹[Yau-Yin '09, Basti-Cenatiempo-Schlein '21] (upper bound) and [Fournais-Solovej '20 '23, Haberberger-Hainzl-Nam-Seiringer-Triay '23] (lower bound)

BEC IN GP FOR SMALL INTERACTIONS:

- ▶ based on a simple argument introduced in [Brietzke-Fournais-Solovej '20], BEC can be proved easily in the GP regime if **\mathbf{a} IS SUFFICIENTLY SMALL**
- ▶ we follow [Nam-Napiórkowski-Ricaud-Triay '22] and recall that $f_N = f(N.)$ ($\kappa = 0$) is the solution of the zero energy scattering eq. for $V_N = N^2 V(N.)$

$$(-2\Delta + V_N)f_N = 0, \quad f_N(x) \xrightarrow{|x| \rightarrow \infty} 1$$

- ▶ setting $P_0 = |\varphi_0\rangle\langle\varphi_0|$, the positivity of V_N implies the operator bound

$$(1 - P_0 \otimes P_0 f_N(x_1 - x_2)) V_N(x_1 - x_2) (1 - f_N(x_1 - x_2) P_0 \otimes P_0) \geq 0$$

- ▶ plugging this into the many body Hamiltonian, one finds that

$$\begin{aligned} H_N \geq \sum_{p \in \Lambda_+^*} \left(|p|^2 a_p^* a_p + \frac{1}{2} \widehat{V}f(p/N) b_p^* b_{-p}^* + \frac{1}{2} \widehat{V}f(p/N) b_p b_{-p} \right) \\ + \frac{1}{2} \int_{\Lambda} (2f_N - f_N^2) V_N a_0^* a_0^* a_0 a_0, \end{aligned}$$

where $b_p^* = N^{-\frac{1}{2}} a_p^* a_0$, for $p \in \Lambda^*$, denote modified creation operators

- ▶ using $\widehat{V}f(0) = 8\pi\alpha$, $a_p^*a_p \geq b_p^*b_p$ and the general lower bound

$$\begin{aligned} & A_p(b_p^*b_p + b_{-p}^*b_{-p}) + B_p(b_p^*b_{-p}^* + b_p b_{-p}) \\ & \geq -(A - \sqrt{A^2 - B^2}) \frac{[b_p, b_p^*] + [b_{-p}, b_{-p}^*]}{2}, \end{aligned}$$

we shift H_N by $\mu\mathcal{N}_+$ for some $0 < \mu < 4\pi^2 - 8\pi\alpha$, ASSUMING α TO BE SMALL ENOUGH, and basic manipulations then imply that

$$H_N \geq 4\pi\alpha N + (\mu - 16\pi\alpha)\mathcal{N}_+ + O(1)$$

- ▶ combining this with the upper bound $E_N \leq 4\pi\alpha N + O(1)$, we conclude that the ground state ψ_N exhibits complete BEC into φ_0 :

$$4\pi\alpha N + O(1) \geq \langle \psi_N, H_N \psi_N \rangle \geq 4\pi\alpha N + c \langle \psi_N, \mathcal{N}_+ \psi_N \rangle + O(1)$$

- ▶ NOTE: for $\kappa > 0$, the potential energy has size $\mathcal{O}(N^{1+\kappa}) \gg \mathcal{O}(N)$ which prohibits the application of similar perturbative arguments