



## Joshua Horn

### Partner

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Joshua is Co-Chair of the firm's Cannabis Law practice and is nationally ranked by Chambers USA as a leading lawyer in Cannabis Law.

He leads a national team that serves the needs of businesses in the legalized cannabis and hemp sector on employment, licensing, banking, real estate and corporate financing and other services for emerging and established businesses.

Recognized by *The National Law Journal* as a Trailblazer in Cannabis Law, Joshua is a sought-after authority on the complex legal issues that arise in this nascent industry. He has advised Philadelphia City Council on the employment law challenges posed by Pennsylvania's medical marijuana program as a representative of the Philadelphia Bar Association's Medical Marijuana and Hemp Committee. He is also a guest lecturer for Stockton University's course of cannabis.

**Josh Horn "... foresaw risks and regulatory conflicts and ultimately prevailed in brilliant fashion in a complicated dispute."**

~ Chambers USA confidential interview of cannabis-sector client

He is a member of the National Cannabis Bar Association and Co-Chair of the Philadelphia Bar Association's Medical Marijuana and Hemp Committee. Josh is also a member of the Editorial Board of the *Cannabis Law Journal*.

He is also an editor of Fox's **In the Weeds** blog which helps cannabis entrepreneurs stay informed on developments in the law and legislation.

### Financial Services

Joshua has deep experience in serving the needs of major financial services companies throughout the country. He has represented financial advisory companies, individual advisors and counselors in FINRA examinations, enforcement and arbitrations. He has also represented individual brokers on disciplinary matters before FINRA and state securities commissions, and has represented companies and individuals in SEC investigations.

Joshua's practice also includes the representation of the premier merchant card processing company in various matters, including class actions, throughout the country.

Among other work, Joshua provides representation in the following areas:

- Financial services
- Trademarks and trade secrets
- Professional ethics
- Broker/dealer defense
- Broker/dealer regulatory
- SEC investigations and enforcement
- Credit card processing services
- Legal malpractice defense
- Data security
- Fair Debt Collection Practices Act
- Fair Credit Reporting Act
- Telephone Consumer Protection Act

## **Client Resources**

### **In the Weeds Blog**

In the highly regulated cannabis industry, success is reserved for those who take a thoughtful and strategic approach to entering this market. [In the Weeds](#) aims to help keep today's cannabis entrepreneur well informed. Josh tracks developments in marijuana law and business, including regulatory framework in states where cannabis has been legalized for medical and/or recreational uses and the unique issues that businesses in the cannabis industry face. Join this blog team in their examination of issues that are distinct to companies in the legal marijuana space, as well as the interplay of collateral businesses to the cannabis industry.

### **National Survey on Marijuana Laws and Regulations**

This survey has been provided by the Fox Rothschild Cannabis Law Practice as a quick reference to relevant laws and statutes governing marijuana usage in the United States.

[Click here](#) to view the survey.

### **Securities Compliance Sentinel Blog**

The highly regulated securities and financial industry has a host of complex problems—and financial institutions often find themselves on the receiving end of audits, investigations and other compliance issues. Josh shares his knowledge and experience to address cutting edge issues in the firm's [Securities Compliance Sentinel](#) blog. Join this team of bloggers in their exploration of this increasingly complex area.

### **Webinar: Dodd-Frank Fallout: What's in Store for the Investment Management Industry?**

In this webinar, attorneys in Fox Rothschild's Securities Industry Group explore and explain how Dodd-Frank will affect investment advisers, hedge funds, money market funds, mutual funds, broker-dealers and other

investment management industry participants.

[Download the Presentation \(PowerPoint File\)](#)

### **Webinar: Practical Risk Management for Financial Advisors and Supervisors**

This practical webinar is designed for executives responsible for monitoring, measuring and controlling risks. Whether you must deal with or are a regulator, board member or senior management, this presentation highlights best practices.

[Download the Presentation \(PDF File\)](#)

### **Law Consulting Coaching Podcast**

*Repurposing Content to Gain Wider Visibility and Profile*

Joshua shares how repurposing content enabled him to reach a wider audience of potential clients.

### **Attorney Authored Resources**

- [Private Placement Sales](#)
- [A Guide To Answer That Age-Old Question: So What Does It Mean To Know Your Customer?](#)
- [Private Equity and Hedge Funds: Regulatory Analysis and Structural Overview](#)
- [A Guide to Every Day Risk Avoidance Techniques and How to Address a Customer Complaint](#)
- [Crisis Management and Communications Services](#)
- [National Survey on Restrictive Covenants](#)
- [National Survey on Marijuana Laws and Regulations](#)
- [Who Does What: Broker Dealers | Registered Investment Advisers | Commodities/Futures Entities](#)

### **Before Fox Rothschild**

At Widener Law School, Joshua was a member of the Phi Kappa Phi Honor Society and received the American Jurisprudence Award, Constitutional Law II, Evidence. He also worked as the Internal Managing Editor of the *Law Review* and had his Note, "Costanza v. Department of Environmental Resources: No Jurisdiction for Declaratory Relief," published. As an undergraduate, Joshua was a member of the Periclean Academic Honor Society.

### **Beyond Fox Rothschild**

Joshua was a member of the Temple American Inn of Court. A prolific author on topics in his field, he has been a contributor to the *Appraisal Institute Newsletter*, *The Legal Intelligencer*, the *Temple Law Review* and recently contributed a chapter for *Inside the Minds* titled, "SEC Enforcement and Securities Law in the Wake of the Financial Crisis." Joshua is also a frequent blogger on the Securities Compliance Sentinel.

Joshua served as the first male President of The Shipley School Alumni Association and is a member of the Board of Trustees of The Shipley School, a co-educational independent school located in Bryn Mawr, Pennsylvania. He continues to serve on the Alumni Council of The Shipley School. Joshua also served as a board member to The Chapel of Four Chaplains, an organization that promotes "unity without uniformity" by encouraging goodwill and cooperation.

Joshua currently serves on *Cannabis Law Journal's* Editorial Advisory Board.

### Honors & Awards

- Selected to the "Best of the Bar" list by *Philadelphia Business Journal* (2019)
- Named to a list of "Distinguished Leaders" by *The Legal Intelligencer* (2019)
- Recognized by *Chambers USA* for Cannabis Law - Nationwide (2019, 2020)
- Named a Cannabis Law Trailblazer by *The National Law Journal* (2018)
- Named to a list of 250 Cannabis Law Experts by *Databird Research Journal* (2018)
- Named a "Rising Star" by *Philadelphia Magazine* and *Law & Politics Magazine* (2006)

### Practice Areas

- Cannabis Law
- Litigation
- Antitrust
- Directors' & Officers' Liability & Corporate Governance
- Financial Services Industry
- Privacy & Data Security
- Securities Entity Formation
- Securities Industry

### Bar Admissions

- Pennsylvania
- New York

### Court Admissions

- U.S. Court of Appeals, Third Circuit
- U.S. Court of Appeals, Fourth Circuit
- U.S. District Court, Eastern District of Pennsylvania
- U.S. District Court, Middle District of Pennsylvania
- U.S. District Court, District of Colorado

### Education

- Widener University School of Law (J.D.)
- Skidmore College (B.A.)

### Memberships

- National Cannabis Bar Association
- Philadelphia Bar Association
  - Co-Chair, Medical Marijuana and Hemp Committee
- Cannabis Law Journal, Editorial Board Member
- Pennsylvania Bar Association



Fox Rothschild<sup>LLP</sup>  
ATTORNEYS AT LAW

- Medical Marijuana and Hemp Law Committee